

**MECHANISM OF NEURAL PRECURSOR CELL
EXPRESSED DEVELOPMENTALLY DOWN-
REGULATED 4-LIKE (NEDD4L) IN
MODULATING FERROPTOSIS VIA
UBIQUITINATION OF SOLUTE CARRIER
FAMILY 7 MEMBER 11 (SLC7A11) IN
OESOPHAGEAL SQUAMOUS CELL
CARCINOMA**

CHEN ZHEN

UNIVERSITI SAINS MALAYSIA

2024

**MECHANISM OF NEURAL PRECURSOR CELL
EXPRESSED DEVELOPMENTALLY DOWN-
REGULATED 4-LIKE (NEDD4L) IN
MODULATING FERROPTOSIS VIA
UBIQUITINATION OF SOLUTE CARRIER
FAMILY 7 MEMBER 11 (SLC7A11) IN
OESOPHAGEAL SQUAMOUS CELL
CARCINOMA**

by

CHEN ZHEN

**Thesis submitted in fulfilment of the requirements
for the degree of
Doctor of Philosophy**

November 2024

ACKNOWLEDGEMENT

First and foremost, I must sincerely thank my main supervisor Dr. Nor Hazwani Ahmad, who supported me with sufficient guidance and encouragement throughout my PhD journey. She is so nice and always provides help in various aspects of my life. The work presented in this thesis would be impossible to be completed without her patience and professional guidance. In addition, the deepest appreciation also goes to my co-supervisor Prof. Xiumin Li. She provided a lot of selfless guidance and help for my experiments and research design. It is difficult for me to finish my experiments and studies smoothly without her kind help.

Moreover, I would like to thank my co-supervisors, Dr. Siti Razila Abdul Razak and Prof. Tao Han, for their valuable guidance, help and support throughout my PhD study. Besides, I need to sincerely thank my lab mates and friends from Universiti Sains Malaysia and Xinxiang Medical University. They helped me a lot with experimental techniques and also gave me a lot of emotional support that cannot be ignored. Certainly, I would also like to thank the staff of AMDI and library, as well as the lecturers from Xinxiang Medical University. They provided me unforgettable technical support and learning environment. I need to thank all the experts who participated in the review. Their valuable insights enhanced the rationality of the project design.

Last but not the least, I must deliver my greatest gratefulness to my family, specifically my dear parents, sisters and my beloved husband. Their selfless love, support and help filled me with motivation and energy to solve the difficulties during my PhD study.

TABLE OF CONTENTS

ACKNOWLEDGEMENT	ii
TABLE OF CONTENTS.....	iii
LIST OF TABLES	ix
LIST OF FIGURES	xi
LIST OF ABBREVIATIONS	xvi
LIST OF SYMBOLS	xix
ABSTRAK	xx
ABSTRACT	xxiii
CHAPTER 1 INTRODUCTION.....	1
1.1 Research background	1
1.2 Problem statement	4
1.3 Hypothesis	6
1.4 Objectives of the study	6
1.4.1 General objective.....	6
1.4.2 Specific objectives.....	6
CHAPTER 2 LITERATURE REVIEW.....	7
2.1 Epidemiology of OSCC	7
2.1.1 Statistics and risk factors.....	7
2.1.2 Genetic factors.....	9
2.2 Diagnosis of OSCC	12
2.3 Staging of OSCC	16
2.4 Therapy for OSCC	17
2.4.1 Surgery	17
2.4.2 Chemotherapy	18
2.4.3 Immunotherapy	19

2.4.4	Radiotherapy	20
2.4.5	Molecular targeted therapy.....	21
2.5	NEDD4L	23
2.5.1	Molecular structure and function of NEDD4L	23
2.5.2	Role of NEDD4L in cancer.....	24
2.5.2(a)	Role of NEDD4L in cell proliferation	24
2.5.2(b)	Role of NEDD4L in cell migration and invasion	25
2.5.2(c)	Role of NEDD4L in cell death	26
2.6	SLC7A11.....	27
2.6.1	Molecular structure and function of SLC7A11.....	27
2.6.2	Dua role of SLC7A11 in cancer	28
2.6.3	Role of SLC7A11 in cancer therapy	30
2.7	Ferroptosis	31
2.8	The hallmarks of ferroptosis	35
2.9	Mechanism of ferroptosis.....	35
2.9.1	Iron metabolism.....	35
2.9.2	Lipid metabolism.....	36
2.9.3	Amino acid metabolism	37
2.10	Dual role of ferroptosis in cancer	39
2.10.1	Ferroptosis as a tumour suppressor mechanism.....	39
2.10.2	Ferroptosis as a tumour activator mechanism	41
2.11	Post-translational modifications of ferroptosis in cancers	42
2.11.1	Ubiquitination.....	42
2.11.1(a)	Ferroptosis regulated by E3s	43
2.11.1(b)	Ferroptosis regulated by DUBs	50
2.11.2	Methylation	53
2.11.3	Phosphorylation.....	54

2.11.4	Acetylation	56
2.12	Roles of ferroptosis in cancer therapy	57
2.12.1	Role of ferroptosis in chemotherapy	57
2.12.1(a)	Synthetic compounds	58
2.12.1(b)	Natural compounds	60
2.12.2	Role of ferroptosis in radiotherapy	65
2.12.3	Role of ferroptosis in immunotherapy	66
2.12.4	Role of ferroptosis in nanotherapy	68
2.12.5	Role of ferroptosis in sonodynamic therapy	69
2.12.6	Role of ferroptosis in photodynamic therapy	70
CHAPTER 3	METHODOLOGY	72
3.1	Study design	72
3.2	Materials	79
3.2.1	Chemicals and reagents	79
3.2.2	Antibodies	80
3.2.3	Kits	81
3.2.4	Consumables	82
3.2.5	Instruments and equipment	82
3.3	Cell culture	84
3.3.1	Cell lines	84
3.3.2	Cell thawing	84
3.3.3	Cell trypsinisation	85
3.4	Transfection of siRNA	85
3.5	Transfection of plasmids	85
3.6	Extraction of protein	86
3.7	Western blot	87
3.8	Bioinformatic study	89

3.8.1	RNA-sequencing (seq) analysis	89
3.8.2	Database analysis	90
3.8.2(a)	University of Alabama at Birmingham Cancer (UALCAN) database.....	90
3.8.2(b)	Gene expression profiling interactive analysis (GEPIA) database	90
3.8.2(c)	UbiBrowser database	91
3.9	Part 1: The impact of silencing NEDD4L on cell migration, invasion and proliferation in EC9706 and Eca109 cell lines.....	91
3.9.1	Migration assay	91
3.9.2	Invasion assay	92
3.9.3	CCK8 assay	92
3.9.4	Clone formation assay	93
3.9.5	Statistical analysis	93
3.10	Part 2: To verify the effect of silencing NEDD4L on <i>in vivo</i> tumourigenic of OSCC within BALB/c nude mice	94
3.10.1	Xenograft tumour model	94
3.10.2	Statistical analysis	95
3.11	Part 3: The effect of silencing NEDD4L on ferroptosis in EC9706 and Eca109 cell lines.....	95
3.11.1	RNA extraction	95
3.11.2	Quantitative real-time polymerase chain reaction (qRT-PCR) assay	96
3.11.3	ROS assay	97
3.11.4	Cell death assay	98
3.11.5	TEM assay.....	99
3.11.6	MMP assay	99
3.11.7	Statistical analysis	100
3.12	Part 4: The interaction between NEDD4L and SLC7A11 in EC9706 and Eca109 cell lines.....	100

3.12.1	Rescue assay.....	100
3.12.2	Immunofluorescence assay	101
3.12.3	Nucleocytoplasmic separation assay	101
3.12.4	Co-immunoprecipitation assay.....	102
3.12.5	Statistical analysis	103
3.13	Part 5: The specific regulatory mechanism of NEDD4L on SLC7A11 in EC9706 and HEK293T cell lines	103
3.13.1	CHX assay.....	103
3.13.2	MG132 assay.....	104
3.13.3	Ubiquitination-based IP assay	104
3.13.4	Statistical analysis	105
CHAPTER 4	RESULTS.....	106
4.1	Silencing of NEDD4L triggers cell migration, invasion and proliferation in OSCC	106
4.1.1	NEDD4L expression is low in oesophageal carcinoma.....	106
4.1.2	Silencing effect of NEDD4L in OSCC	107
4.1.3	Silencing of NEDD4L stimulates cell migration in OSCC.....	108
4.1.4	Silencing of NEDD4L triggers cell invasion in OSCC.....	110
4.1.5	Silencing of NEDD4L promotes cell proliferation in OSCC.....	111
4.2	Silencing of NEDD4L accelerates tumour growth <i>in vivo</i>	114
4.3	Silencing of NEDD4L prevents ferroptosis in OSCC cells	116
4.3.1	Silencing of NEDD4L affects the ferroptosis signalling pathway	116
4.3.2	Silencing of NEDD4L influences the mRNA expression levels of ferroptosis marker genes	119
4.3.3	Silencing of NEDD4L inhibits the accumulation of ROS in OSCC cells	120
4.3.4	Silencing of NEDD4L reduces erastin-induced cell death.....	121
4.3.5	Silencing of NEDD4L partially attenuates mitochondrial damage in EC9706 cells	124

4.3.6	Silencing of NEDD4L increases mitochondrial membrane potential in EC9706 cells	125
4.4	NEDD4L negatively interacts with SLC7A11 in OSCC	126
4.4.1	SLC7A11 expression is high in oesophageal carcinoma	126
4.4.2	NEDD4L expression reversely relates with SLC7A11 level	128
4.4.3	Silencing of SLC7A11 rescues the proliferation ability of cells with the NEDD4L silencing	134
4.4.4	NEDD4L interacts with Δ CT domain of SLC7A11 through its WW and HECT domain	138
4.5	NEDD4L regulates SLC7A11 through poly-ubiquitination in OSCC	142
4.5.1	NEDD4L modulates SLC7A11 stability	142
4.5.2	NEDD4L regulates SLC7A11 through K48-linked poly-ubiquitination	144
4.5.3	The effect of NEDD4L on facilitating SLC7A11 ubiquitination depends on its E3 ligase activity	149
CHAPTER 5 DISCUSSION		152
5.1	The impact of silencing NEDD4L on cell migration, invasion and proliferation in OSCC	152
5.2	The effect of silencing NEDD4L on tumour growth <i>in vivo</i>	154
5.3	The influence of silencing NEDD4L on ferroptosis	155
5.4	The relationship between NEDD4L and SLC7A11 in OSCC	161
5.5	The specific mechanism of NEDD4L in regulating SLC7A11 in OSCC	165
CHAPTER 6 CONCLUSION AND FUTURE RECOMMENDATION		171
6.1	Conclusion	171
6.1.1	General conclusion	171
6.1.2	Specific conclusion	171
6.2	Study limitations and future recommendations	173
REFERENCES		175
APPENDICES		
LIST OF PUBLICATIONS		

LIST OF TABLES

	Page
Table 2.1 The genes identified as susceptibility factors in OSCC	10
Table 2.2 Endoscopic screening methods in the diagnosis of OSCC	13
Table 2.3 Potential diagnostic biomarkers for OSCC	16
Table 2.4 Evaluation of representative clinical trials of molecular targeted therapy for OSCC.....	23
Table 2.5 Main features of apoptosis, autophagy, cuproptosis, ferroptosis, necroptosis and pyroptosis	33
Table 2.6 Ferroptosis-related proteins regulated by E3 ubiquitin ligases	48
Table 2.7 Ferroptosis-related proteins regulated by deubiquitinating enzymes	52
Table 2.8 Synthetic and natural compounds regulating ferroptosis in cancer therapy.....	62
Table 3.1 The list of chemicals and reagents	79
Table 3.2 The list of antibodies used in this study	81
Table 3.3 The list of kits used in this study.....	81
Table 3.4 The list of consumables.....	82
Table 3.5 The list of instruments and equipment.....	83
Table 3.6 The list of siRNAs used in this study.....	85
Table 3.7 The list of plasmids used in this study	86
Table 3.8 The composition of 1×loading buffer	87
Table 3.9 The composition of 10% separating gel.....	87
Table 3.10 The composition of 5% stacking gel.....	88
Table 3.11 The composition of 5×running buffer.....	89
Table 3.12 The composition of 5×transfer buffer	89

Table 3.13	The composition of 1×PBS	89
Table 3.14	The composition for synthesising cDNA.....	97
Table 3.15	The primer sequences for qRT-PCR.....	97
Table 4.1	E3 ligases interact with SLC7A11	130

LIST OF FIGURES

		Page
Figure 2.1	Distribution of cases and deaths for the top 10 most common cancers in 2020 for both sexes	9
Figure 2.2	TNM staging diagram of OSCC	17
Figure 2.3	The structure of NEDD4L.....	24
Figure 2.4	The structure and function of SLC7A11	28
Figure 2.5	Morphological and biochemical characteristics of apoptosis, autophagy, cuproptosis, ferroptosis, necroptosis and pyroptosis	32
Figure 2.6	Metabolic pathways of ferroptosis and crosstalk with other types of cell death mechanisms	38
Figure 3.1	Summary flowchart of the methodology.....	73
Figure 3.2	Summary flowchart for the first objective	74
Figure 3.3	Summary flowchart for the second objective.....	75
Figure 3.4	Summary flowchart for the third objective	76
Figure 3.5	Summary flowchart for the fourth objective.....	77
Figure 3.6	Summary flowchart for the fifth objective.....	78
Figure 3.7	The flowchart of <i>in vivo</i> study	95
Figure 4.1	Differential expression of NEDD4L in human cancers based on UALCAN database	107
Figure 4.2	The silencing effect of NEDD4L in Eca109 A) and EC9706 B) cells was detected by western blot	107
Figure 4.3	The migration images of EC9706 cells were captured by microscope	109
Figure 4.4	The effect of silencing NEDD4L on the migration of EC9706 cells	109

Figure 4.5	Trans-well assay results depicting the influence of siNEDD4L transfection on EC9706 cells	110
Figure 4.6	The effect of silencing NEDD4L on EC9706 cell invasion was assessed by trans-well assay.....	111
Figure 4.7	The effect of silencing NEDD4L on Eca109 A) and EC9706 B) cell proliferation was assessed by CCK8 assay	112
Figure 4.8	The representative images from clone formation assay of silencing NEDD4L for two weeks in Eca109 A) and EC9706 B) cells	113
Figure 4.9	The effect of silencing NEDD4L on Eca109 A) and EC9706 B) cells proliferation was assessed by clone formation assay.....	114
Figure 4.10	The effect of silencing NEDD4L on tumour growth was assessed by xenograft mice model.....	115
Figure 4.11	Volcano plot of significantly up and down-regulated genes after silencing of NEDD4L	117
Figure 4.12	Heat map illustrating the effect of silencing NEDD4L on the expression of ferroptosis-related genes in EC9706 cells	117
Figure 4.13	The relationship between NEDD4L expression and <i>BECN1</i> A), <i>HMOX1</i> B), <i>IREB2</i> C), <i>KEAP1</i> D), <i>LPCAT3</i> E) and <i>DECRI</i> F) in oesophageal cancer.....	118
Figure 4.14	The effect of silencing NEDD4L on the mRNA expression of <i>GPX4</i> , <i>FTH1</i> and <i>ACSL4</i> in Eca109 (A) and EC9706 (B) cells was assessed by qRT-PCR assay.....	120
Figure 4.15	The effect of silencing NEDD4L on ROS level in Eca109 A and B) and EC9706 C and D) cells was assessed by highly sensitive DCFH-DA dye	121
Figure 4.16	The representative of the dot plot from Annexin-V-FITC/PI flow cytometry analysis after silencing NEDD4L for 48 hours in Eca109 A) and EC9706 B) cells	122

Figure 4.17	The percentage of stained Eca109 and EC9706 cells following Annexin V/PI analysis by flow cytometry after silencing NEDD4L for 48 hours	123
Figure 4.18	The representative of the mitochondrial ultrastructural images from transmission electron microscopy after silencing NEDD4L for 48 hours in EC9706 cells.....	125
Figure 4.19	The representative of the images from fluorescence microscope after silencing NEDD4L for 48 hours in EC9706 cells	126
Figure 4.20	Differential expression of SLC7A11 in human cancers based on UALCAN database	127
Figure 4.21	Comparison of SLC7A11 expression levels between oesophageal cancer (n = 182) compared to normal tissue (n = 286) based on GEPIA database	127
Figure 4.22	Comparison of SLC7A11 expression levels in normal, OAC and OSCC based on UALCAN database.....	128
Figure 4.23	E3 ligases interact with SLC7A11 in the UbiBrowser database.....	129
Figure 4.24	The effect of silencing NEDD4L on SLC7A11 protein levels in Eca109 A) and EC9706 B) cells was detected by western blot	132
Figure 4.25	The effect of overexpression NEDD4L on SLC7A11 protein levels in HEK293T cells was detected by western blot	133
Figure 4.26	The silencing effect of SLC7A11 in Eca109 A) and EC9706 B) cells was detected by western blot	133
Figure 4.27	The expression levels of SLC7A11 after silencing NEDD4L and co-silencing NEDD4L and SLC7A11 for 48 hours in Eca109 A) and EC9706 B) cells were detected by western blot.....	134
Figure 4.28	The effect of silencing NEDD4L and co-silencing NEDD4L and SLC7A11 on Eca109 A) and EC9706 B) cell proliferation was assessed by CCK8 assay	135

Figure 4.29	The representative images from clone formation assay of silencing NEDD4L and co-silencing NEDD4L and SLC7A11 for two weeks in Eca109 A) and EC9706 B) cells	137
Figure 4.30	The effect of silencing NEDD4L and co-silencing NEDD4L and SLC7A11 on Eca109 A) and EC9706 B) cells proliferation was assessed by clone formation assay	137
Figure 4.31	Intracellular localisation image of NEDD4L and SLC7A11 in EC9706 cells was captured by laser scanning confocal microscope	138
Figure 4.32	The nuclear and cytoplasmic distribution of NEDD4L and SLC7A11 in EC9706 were detected by western blot	139
Figure 4.33	The interaction between NEDD4L and SLC7A11 at the protein level was verified by Co-IP assay	140
Figure 4.34	Structural diagram of NEDD4L domains and deletion mutants used for Co-IP assays	140
Figure 4.35	Structural diagram of SLC7A11 domain and deletion mutants used for Co-IP assays	140
Figure 4.36	The domains of NEDD4L responsible for the interaction with SLC7A11 was detected by Co-IP assay	141
Figure 4.37	The domain of SLC7A11 responsible for the interaction with NEDD4L was detected by Co-IP assay	142
Figure 4.38	The effects of silencing NEDD4L and overexpression NEDD4L on the half-life of SLC7A11 were evaluated in EC9706 A and B) and HEK293T C and D) cells, respectively	143
Figure 4.39	The effects of silencing NEDD4L and overexpression NEDD4L on SLC7A11 expression after 12 hours of treatment with MG132 in EC9706 A and B) and HEK293T C and D) cells were detected by western blot	144

Figure 4.40	The effect of overexpression NEDD4L on SLC7A11 overall poly-ubiquitination in HEK293T cells was detected by ubiquitin-based IP assays	145
Figure 4.41	The effect of overexpression NEDD4L on SLC7A11 overall mono-ubiquitination in HEK293T cells was detected by ubiquitin-based IP assays	146
Figure 4.42	The effect of silencing NEDD4L on SLC7A11 overall poly-ubiquitination in EC9706 cells was detected by ubiquitin-based IP assays	146
Figure 4.43	The effect of overexpression NEDD4L on SLC7A11 K48-linked poly-ubiquitination in HEK293T cells was detected by ubiquitin-based IP assays	147
Figure 4.44	The effect of overexpression NEDD4L on SLC7A11 K63-linked poly-ubiquitination in HEK293T cells was detected by ubiquitin-based IP assays	148
Figure 4.45	The functional domain of NEDD4L that induced poly-ubiquitination of SLC7A11 was detected by ubiquitin-based IP assays	148
Figure 4.46	The effect of overexpression of NEDD4L and its mutants on SLC7A11 expression in HEK293T cells was detected by western blot	149
Figure 4.47	The effects of NEDD4L ^{C942A} mutant on the half-life of SLC7A11 was evaluated by western blot in HEK293T cells	150
Figure 4.48	The effects of NEDD4L ^{C942A} mutant on the poly-ubiquitination of SLC7A11 in HEK293T cells was detected by ubiquitin-based IP assays	151
Figure 5.1	The hypothetical model of the mechanism by which NEDD4L regulated OSCC progression by modulating SLC7A11 and ferroptosis pathway	169

LIST OF ABBREVIATIONS

ACSL4	Acyl-CoA synthetase long-chain family member 4
ANOVA	Analysis of variance
AP	Ammonium persulfate
ATCC	American Type Culture Collection
BALB/c	Bagg Albino nude mice
CCCP	Carbonyl cyanide-m-chloro phenylhydrazine
CCK8	CCK-8 cell counting
CHX	Cycloheximide
CO ₂	Carbon dioxide
CRT	Chemo-radiotherapy
DAPI	Fluoroshield™ containing 4',6'-diamidino-2-phenylindole
DCFH-DA	2, 7-dichlorodihydrofluorescein diacetate
DEC1	2,4-Dienoyl-CoA Reductase 1
DMEM	Dulbecco's Modified Eagle Medium
DMSO	Dimethyl sulfoxide
DNA	Deoxyribonucleic acid
ddH ₂ O	Double-distilled water
EGFR	Epidermal growth factor receptor
FAGs	Ferroptosis-associated genes
FBS	Fetal bovine serum
Fe ³⁺	Ferric ions
FC	Fold change
FITC	Fluorescein isothiocyanate
FL	Full length

FTH1	Ferritin heavy chain 1
GEO	Gene Expression Omnibus
GEPIA	Gene Expression Profiling Interactive Analysis
GPX4	Glutathione peroxidase 4
GSH	Glutathione
GTE _x	Genotype-Tissue Expression
GWAS	Genome-wide association study
HECT	Homologous to the E6AP carboxyl terminus
HMOX1	Heme Oxygenase 1
IF	Immunofluorescence
IP	Immuno-precipitation
IREB2	Iron-responsive element binding protein 2
JC-1	5,5V ,6,6V -tetrachloro-1,1V ,3,3V-tetraethylbenzimidazolylcarbocyanine iodide
KEAP1	Kelch like ECH associated protein 1
LPCAT3	Lysophosphatidylcholine acyltransferase 3
MDA	Malondialdehyde
MG132	Carbobenzoxy-Leu-Leu-Leucinal
MMP	Mitochondrial membrane potential
mRNA	Messenger RNA
NEDD4L	Neural precursor cell expressed, developmentally down-regulated 4-like
OAC	Oesophageal adenocarcinoma
OD	Optical density
OS	Overall survival
OSCC	Oesophageal squamous cell carcinoma
PBS	Phosphate buffer saline
PDT	Photodynamic therapy

PE	Phycoerythrin
PFS	Progression-free survival
PMSF	Phenylmethanesulfonyl fluoride
PI	Propidium iodide
PTMs	Post-translational modifications
PUFAs	Polyunsaturated fatty acids
PVDF	Polyvinylidene fluoride
qRT-PCR	Quantitative real-time polymerase chain reaction
RT	Radiotherapy
RNA	Ribonucleic acid
RNA-seq	RNA Sequencing
ROS	Reactive oxygen species
RPMI 1640	Roswell Park Memorial Institute 1640
SAGE	Serial analysis of gene expression
SD	Standard deviation
SDS-PAGE	Sodium dodecyl sulfate polyacrylamide gel electrophoresis
SDT	Sonodynamic therapy
shRNA	Short hairpin RNA
siRNA	Small interfering RNA
SLC7A11	Solute carrier family 7 member 11
STR	Short tandem repeat
TCGA	The Cancer Genome Atlas
TEM	Transmission electron microscopy
TEMED	Tetramethylethylenediamine
UALCAN	University of Alabama at Birmingham Cancer
Ub	Ubiquitin
VDAC	Voltage-Dependent Anion Channel

LIST OF SYMBOLS

α	Alpha
β	Beta
$^{\circ}\text{C}$	Degree Celsius
\sim	Approximately
$*$	Asterisk
$-$	Dash
$=$	Equals
$>$	Greater than
$-$	Hyphen
$<$	Less than
$/$	Or
\pm	Plus-minus
\times	Times
$\%$	Percentage
KDa	Kilodalton
μL	Microliter
μM	Micromolar
μg	Microgram
mL	Milliliter
nM	Nanomolar
rpm	Revolutions per minute
Δ	Delta
mA	Milliampere

**MEKANISMA *NEURAL PRECURSOR CELL EXPRESSED
DEVELOPMENTALLY DOWN-REGULATED 4-LIKE (NEDD4L)* DALAM
MODULASI FEROPTOSIS MELALUI PENGUBIKUITINAN *SOLUTE
CARRIER FAMILY 7 MEMBER 11 (SLC7A11)* DALAM SEL KARSINOMA
SKUAMUS ESOFAGUS**

ABSTRAK

Pengesanan sel karsinoma skuamus esofagus (OSCC) pada peringkat awal menimbulkan cabaran besar disebabkan presentasinya yang halus. Ketiadaan terapi sasaran yang diluluskan dan prognosis yang lemah turut menambah kesukaran dalam merawat OSCC. Ferroptosis, dengan keupayaannya untuk menghalang percambahan sel kanser, menunjukkan potensi dalam terapi kanser, dan gabungannya dengan kemoterapi mungkin dapat meningkatkan keberkesanan rawatan. Bukti baharu menunjukkan bahawa NEDD4L ialah ligase ubiquitin E3 yang memainkan peranan penting dalam penindasan tumor dengan mengawal atur laluan ferroptosis melalui ubiquitinasi. Walau bagaimanapun, hubungan dan mekanisme pengawalaturan khusus mereka dalam OSCC masih tidak diketahui. Oleh itu, kajian ini direka untuk menjelaskan peranan NEDD4L dalam OSCC, serta mekanisme pengawalaturan khusus antara NEDD4L dan ferroptosis dalam OSCC. RNA pengganggu kecil digunakan terlebih dahulu untuk mengkaji kesan penyenyapan NEDD4L pada OSCC. Hasil kajian menunjukkan bahawa penyenyapan NEDD4L mempercepatkan migrasi dan invasi sel EC9706, serta menggalakkan percambahan sel EC9706 dan Eca109. Model mencit xenograf telah dibangunkan melalui suntikan subkutaneus sel EC9706 yang dijangkiti dengan rambut pendek NEDD4L. Selepas 30 hari, berat dan isipadu tumor mencit diukur dan hasilnya mengesahkan bahawa penyenyapan NEDD4L

mencetuskan pertumbuhan tumor dalam OSCC. Tambahan pula, DCFH-DA yang sangat sensitif digunakan untuk mengesan spesies oksigen reaktif (*Reactive Oxygen Species* atau *ROS*). Data menunjukkan bahawa penyenyapan NEDD4L menghalang pengumpulan ROS dalam sel EC9706 dan Eca109. Di bawah rawatan erastin, kesan NEDD4L pada penunjuk ferroptosis yang lain termasuk kematian sel, perubahan morfologi mitokondria, dan potensi membran mitokondria (*mitochondrial membrane potential* atau *MMP*) dikesan masing-masing melalui pewarnaan Annexin V/PI, mikroskopi elektron transmisi dan mikroskopi pendarfluor. Didapati bahawa penyenyapan NEDD4L menunjukkan peranan penghalangan dalam ferroptosis. Selain itu, hubungan yang berpotensi antara NEDD4L dan SLC7A11 telah ditemui melalui penjujukan berkeluaran tinggi, yang disokong oleh asai penyelamatan, imunopendarfluor (*immunofluorescence* atau *IF*) dan pengimmunopemendakan bersama (*co-immunoprecipitation* atau *Co-IP*). Asai sikloheksimida (*Cycloheximide* atau *CHX*) dan asai MG132 dilakukan untuk menganalisis kesan penyenyapan NEDD4L pada kestabilan protein SLC7A11. Terbukti bahawa penyenyapan NEDD4L memanjangkan separuh hayat SLC7A11 dan pengawalaturan sedemikian bergantung pada proteasom. Ekspresi berlebihan NEDD4L meningkatkan ubiquitinasi berkaitan K48, sambil mengurangkan ubiquitinasi berkaitan K63 pada SLC7A11 dalam sel HEK293T. Keputusan ini ditunjukkan melalui asai IP berasaskan *ubiquitin*. Tambahan pula, mutan NEDD4L^{C942A}, yang kekurangan aktiviti pemangkin, tidak dapat mempengaruhi ekspresi, separuh hayat, serta ubiquitinasi SCL7A11, menunjukkan kesan NEDD4L dalam mengawal atur SLC7A11 bergantung pada aktiviti ligase E3. Kesimpulannya, NEDD4L menyumbang kepada ferroptosis dan perencatan pertumbuhan sel dengan memudahkan ubiquitinasi SLC7A11 dalam OSCC. Kajian *in vitro* dan *in vivo* lanjut boleh dijalankan pada ubat pengganggu NEDD4L, peningkatan NEDD4L, yang mengakibatkan penindasan SLC7A11 dan pengaktifan laluan

feroptosis, mungkin boleh menjadi sasaran terapeutik yang berpotensi untuk pesakit OSCC.

**MECHANISM OF NEURAL PRECURSOR CELL EXPRESSED
DEVELOPMENTALLY DOWN-REGULATED 4-LIKE (NEDD4L) IN
MODULATING FERROPTOSIS VIA UBIQUITINATION OF SOLUTE
CARRIER FAMILY 7 MEMBER 11 (SLC7A11) IN OESOPHAGEAL
SQUAMOUS CELL CARCINOMA**

ABSTRACT

Detecting oesophageal squamous cell carcinoma (OSCC) in early stages poses significant challenges due to its subtle presentation. The absence of approved targeted therapies and poor prognosis further compound the difficulties in treating OSCC. Ferroptosis, with its capacity to inhibit the proliferation of cancer cells, highlights potential in cancer therapy, and its combination with chemotherapy may improve treatment effectiveness. Emerging evidence showed that NEDD4L is an E3 ubiquitin ligase that plays a curial role in tumour suppression by regulating ferroptosis pathway through ubiquitination. However, their relationship and specific regulatory mechanism in OSCC remains unknown. Therefore, this study was designed to elucidate the role of NEDD4L in OSCC, as well as the specific regulatory mechanism between NEDD4L and ferroptosis in OSCC. The small interfering RNA was used first to investigate the impact of silencing NEDD4L on OSCC. The results showed that silencing NEDD4L accelerated the migration and invasion of EC9706 cells, as well as promoted the proliferation of EC9706 and Eca109 cells. Xenograft mouse models were established through the subcutaneous injection of EC9706 cells infected with short hairpin NEDD4L. After 30 days, the tumour weight and volume of the mice were measured and the results confirmed that silencing NEDD4L triggered tumour growth in OSCC. Moreover, highly sensitive DCFH-DA was used to detect reactive oxygen species

(ROS). The data showed that the silencing NEDD4L prevented the accumulation of ROS in EC9706 and Eca109 cells. Under the treatment of erastin, the effects of NEDD4L on other indicators of ferroptosis including cell death, mitochondrial morphological changes, and mitochondrial membrane potential (MMP) were detected by Annexin V/PI staining, transmission electron microscopy and fluorescence microscopy, respectively. It was found that silencing NEDD4L exhibited an inhibitory role in ferroptosis. Moreover, a potential connection between NEDD4L and SLC7A11 was uncovered through high-throughput sequencing, which was further supported by rescue, immunofluorescence (IF) and co-immunoprecipitation (Co-IP) assays. Cycloheximide (CHX) assay and MG132 assay were performed to analyse the effect of silencing NEDD4L on SLC7A11 protein stability. It was proved that silencing NEDD4L prolonged the half-life of SLC7A11 and such regulation was proteasome-dependent. NEDD4L overexpression increased K48-linked ubiquitination, while decreased K63-linked ubiquitination of SLC7A11 in HEK293T cells. These results were demonstrated by ubiquitin-based IP assays. Furthermore, NEDD4L^{C942A} mutant, which lacks catalytic activity, was unable to affect the expression, half-life, as well as ubiquitination of SCL7A11, indicating the effect of NEDD4L on modulating SLC7A11 depended on its E3 ligase activity. To conclude, NEDD4L contributed to ferroptosis and cell growth inhibition by facilitating SLC7A11 ubiquitination in OSCC. Further *in vitro* and *in vivo* studies can be conducted on NEDD4L interfering drugs, the upregulation of NEDD4L, which resulted in the suppression of SLC7A11 and activation of the ferroptosis pathway, may serve as a potential therapeutic target for OSCC patients.

CHAPTER 1

INTRODUCTION

1.1 Research background

Oesophageal cancer ranks as the seventh most prevalent cancer in the world with oesophageal squamous cell carcinoma (OSCC) accounting for over 90% of cases (Sung *et al.*, 2021; Abnet *et al.*, 2018). Although many optical technologies are available for diagnosing OSCC, endoscopic with biopsy remains the standard. However, its invasiveness causes patient discomfort, poor compliance and challenges in detecting early-stage OSCC (Codipilly, 2022; Leng *et al.*, 2020). To date, surgical resection combined with adjuvant therapy such as chemotherapy and radiotherapy is the main treatment method for advanced OSCC. The emerging therapy such as immunotherapy hold the potential to enhance patient outcomes. Nevertheless, treatment responses vary among different patients and the costs are high. Meanwhile, the lack of follow-up data makes it challenging to assess long-term effectiveness and safety (Codipilly, 2022; Leng *et al.*, 2020). To sum up, despite notable advancements in the diagnosis and therapy of OSCC, the overall burden of OSCC has continued to rise over time, and the five-year survival rate remains less than 15%, largely attributed to its high propensity for invasion and metastasis (Shi *et al.*, 2021). Hence, it is imperative to explore more effective and targeted treatment methods for OSCC.

Ferroptosis was first defined by Brent R. Stockwell in 2012 as an iron-dependent type of cell death that is driven by lipid reactive oxygen species (ROS) (Dixon *et al.*, 2012; Dixon *et al.*, 2015; Doll *et al.*, 2019). Over the past two decades, ferroptosis has been extensively studied in a variety of malignancies, and it has been shown to inhibit cancer progression through post-translational modifications (PTMs) (Chen *et al.*, 2023; Ji *et al.*, 2022; Jun *et al.*, 2023; Zhang *et al.*, 2022), especially

ubiquitination (Wei *et al.*, 2022). Ubiquitination is a vital PTMs that chemically attaches the 76-amino acid ubiquitin protein (contains seven lysine residues K6, K11, K27, K29, K33, K48 and K63) to a target protein in a covalent manner. It mainly involves three types of enzymes: ubiquitin activating enzyme (E1), ubiquitin conjugating enzyme (E2) and ubiquitin ligase (E3). E1 initiates activation and transfers ubiquitin to E2, whereas E3 is responsible for recruiting substrate proteins to facilitate the transfer of the ubiquitin component (Jeong *et al.*, 2023; Morgan & Crawford, 2021). Among them, E3 ubiquitin ligases are considered the most influential because of their capacity to selectively attach and enlist specific target substrates for the process of ubiquitination (Jeong *et al.*, 2023; Morgan & Crawford, 2021). Given the ability of ubiquitination involves in all aspect of cellular functioning, it is not surprising that its deregulation connects with multiple diseases, especially cancer. However, there is still a lack of evidence for the relationship between E3 ubiquitin ligases and ferroptosis in OSCC.

Solute carrier family 7 member 11 (*SLC7A11*), is a core gene of ferroptosis that encodes a protein involved in the transport of amino acids across cell membranes (Koppula *et al.*, 2021; Lin *et al.*, 2020). It is responsible for the transport of cystine, a crucial amino acid, into cells. By promoting the production of glutathione, *SLC7A11* contributes to maintain cellular redox balance and protects against ferroptosis (Chen *et al.*, 2021). Previous studies have found that the degradation of *SLC7A11* can be promoted through the ubiquitination pathway, thereby activating ferroptosis. For instance, the suppressor of cytokine signalling 2 (SOCS2) was identified as a biomarker that predicted the sensitivity of hepatocellular carcinoma (HCC) to radiation therapy by enhancing the ubiquitin-mediated degradation of *SLC7A11* and facilitating ferroptosis (Chen *et al.*, 2023). Recent evidence showed that the 3-

hydroxy-3-methylglutaryl reductase degradation (HRD1) suppressed the development of tumours and facilitated ferroptosis by promoting the degradation of SLC7A11 in ovarian cancer (Wang *et al.*, 2023). However, no investigation has been conducted to elucidate the relationship between SLC7A11 and ubiquitination in OSCC. The search for the potential ubiquitin ligases is still ongoing and the underlying mechanisms involved in the ubiquitination and SLC7A11 in human cancers remains largely unknown.

NEDD4L (neural precursor cell expressed, developmentally downregulated 4-like), is a member of the NEDD4 family of E3 ubiquitin ligases, which plays vital role in the regulation of protein degradation and cellular processes (Wang *et al.*, 2020; Xie *et al.*, 2021). NEDD4L protein contains C2 domain, 4 WW domains, and homologous to the E6AP carboxyl terminus (HECT) domain (Manning & Kumar, 2018; Wang *et al.*, 2020). These domains enable the protein to bind to specific target proteins and transfer ubiquitin molecules onto them, leading to their degradation through the proteasome pathway. Previous study have indicated that NEDD4L inhibited cell proliferation, migration and invasion in bladder cancer and prostate cancer (PC) (Feng *et al.*, 2023; Wu *et al.*, 2023). Interestingly, recent study further confirmed that NEDD4L functioned as a positive regulator in glutathione peroxidase 4 (GPX4) ubiquitination, thereby promoted ferroptosis in non-small cell lung cancer (NSCLC) cells (Cheng *et al.*, 2023). Nevertheless, to date, there has been no report on its ubiquitination regulation of ferroptosis in OSCC. Notably, the Ubi Browser database predicts that NEDD4L is the E3 ubiquitin ligase most closely associated with SLC7A11. Therefore, the present study aimed to determine the specific mechanism of NEDD4L regulated the SLC7A11/ferroptosis pathway in OSCC. Furthermore, the effect of silencing NEDD4L on OSCC progression was investigated by establishing

xenograft mouse models, thereby establishing a foundation and reference for targeted therapy in OSCC.

1.2 Problem statement

Detecting OSCC in its early stages poses a significant challenge due to its subtle presentation. Additionally, the absence of approved targeted therapies and poor prognosis further compound the difficulties in treating OSCC (Reichenbach *et al.*, 2019; Sheikh *et al.*, 2023). Despite the increasing evidence indicating a connection between genetic factors and OSCC, the regulation of tumours involves numerous signalling pathways and is susceptible to various PTMs. Consequently, diagnosing and treating for OSCC continue to pose major challenges.

To date, accumulating evidence indicated ferroptosis acted as a tumour suppressor mechanism and influenced the cell cycle, proliferation and progression of human cancers. In addition to blood-related cancers (Birsen *et al.*, 2022; Jin & Tong, 2021), ferroptosis also acts as a tumour inhibitor in multiple solid tumours, including lung cancer (Tang *et al.*, 2021), breast cancer (Yang *et al.*, 2021), pancreatic cancer (Ye *et al.*, 2021), colorectal cancer (CRC) (Yang *et al.*, 2020), liver cancer (Zhu *et al.*, 2021), GC (Wang *et al.*, 2021) and melanoma (Yao *et al.*, 2021). It is worth noting that ferroptosis also plays a vital role in the treatments for multiple cancers, such as chemotherapy and immunotherapy (Chen *et al.*, 2023). If ferroptosis indeed plays a similar role in OSCC, investigating its mechanisms and function may provide promising avenues for advancing the diagnosis and treatment of OSCC.

Despite the established role of ferroptosis as a tumour suppressor *via* the ubiquitination pathway in numerous cancer types, there is a research deficiency when it comes to its influence on OSCC. Until now, several studies have scrutinised data

from The Cancer Genome Atlas (TCGA) and the Gene Expression Omnibus (GEO) database, identifying a correlation between the anomalous expression of ferroptosis-related genes (like *EBLN3P*, *APOA1-AS* and *SCP2*) and prognosis in OSCC (Lu *et al.*, 2021; Zhu *et al.*, 2022). However, these findings have yet to be subjected to further validation.

Emerging evidence showed that NEDD4L is an E3 ubiquitin ligase that plays a curial role in tumour suppression by regulating ferroptosis pathway through ubiquitination (Cheng *et al.*, 2023; Nie *et al.*, 2022). For example, lactic acid could enhance GPX4 stability and reduce the sensitivity of NSCLC cells to ferroptosis by suppressing NEDD4L expression (Cheng *et al.*, 2023). In addition, NEDD4L has been found to express at low levels in glioma clinical samples and was associated with poor prognosis. Further *in vitro* and *in vivo* analysis showed that overexpression NEDD4L could reduce cell viability and trigger ROS accumulation, leading to ferroptosis and tumour growth inhibition (Nie *et al.*, 2022). Nevertheless, there is lack of evidence when it comes to NEDD4L and ferroptosis in OSCC and the specific regulatory mechanism remains largely unknown. Therefore, this study aimed to evaluate the role of NEDD4L in ferroptosis and OSCC. Additionally, *in vivo* study was performed in supporting the effect of silencing NEDD4L in OSCC. A detailed study was conducted to clarify the special mechanism of NEDD4L regulate ferroptosis through ubiquitination of SLC7A11 in OSCC. The results of this study will provide a new perspective on the role and mechanism of NEDD4L regulated SLC7A11 in OSCC. The upregulation of NEDD4L, which resulted in the suppression of SLC7A11 and activation of the ferroptosis pathway, may serve as a potential therapeutic target for OSCC patients.

1.3 Hypothesis

It is hypothesised that NEDD4L could interact with SLC7A11 and modulate its stability *via* ubiquitin-proteasome pathway, as well as regulating ferroptosis in OSCC.

1.4 Objectives of the study

1.4.1 General objective

The main objective of this study was to elucidate the role of NEDD4L in OSCC and ferroptosis, as well as the specific mechanism of NEDD4L regulating SLC7A11.

1.4.2 Specific objectives

1. To determine the impact of silencing NEDD4L on cell migration, invasion and proliferation in EC9706 and Eca109 cell lines.
2. To verify the effect of silencing NEDD4L on *in vivo* tumourigenic of OSCC within BALB/c nude mice.
3. To analyse the effect of silencing NEDD4L on ferroptosis in EC9706 and Eca109 cell lines.
4. To investigate the interaction between NEDD4L and SLC7A11 in EC9706 and Eca109 cell lines.
5. To elucidate the specific regulatory mechanism of NEDD4L on SLC7A11 in EC9706 and HEK293T cell lines.

CHAPTER 2

LITERATURE REVIEW

2.1 Epidemiology of OSCC

2.1.1 Statistics and risk factors

Cancer is a complex group of diseases characterised by the uncontrolled growth and spread of abnormal cells. These abnormal cells can form tumours, invade nearby tissues, and spread to other parts of the body through the bloodstream or lymphatic system, a process known as metastasis (Brown *et al.*, 2023). The development of cancer is typically a multi-step process involving various genetic and environmental factors. Mutations or changes in the DNA of cells can disrupt the normal regulatory mechanisms that control cell growth, division and death. As a result, affected cells may continue to divide uncontrollably, eventually forming tumours (Huang & Zhou, 2021). Cancers can occur in almost any part of the body and have many different forms, depending on the type of cells involved and where they are located. The intricate complexity of cancer, combined with challenges in early detection, treatment resistance, and its impact on overall health, contributes to its high incidence and status as the leading cause of mortality worldwide (Pulumati *et al.*, 2023; Sung *et al.*, 2021). It is reported that in 2020, there were 19.3 million new cancer cases and about 10 million people died from cancer (Sung *et al.*, 2021). Female breast cancer ranks as the most frequently diagnosed cancer, accounting for 11.7% of total cases, closely followed by lung cancer at 11.4%, CRC at 10.0%, PC at 7.3%, stomach cancer at 5.6%, liver cancer at 4.7% and oesophageal cancer at 3.1%. Lung cancer stands as the foremost cause of cancer-related deaths, comprising 18.0% of the total cancer cases, followed by CRC at 9.4%, liver cancer at 8.3%, stomach cancer at 7.7%, female breast cancer at 6.9% and oesophageal cancer at 5.5% (Sung *et al.*, 2021).

Of note, certain types of cancer exhibit significant regional differences, especially oesophageal cancer. Specifically, oesophageal cancer ranks seventh and sixth in global morbidity (604,000 cases) and mortality (544,000 cases) in 2020, respectively (Figure 2.1) (Sung *et al.*, 2021). Traditionally, oesophageal cancer has two most prevalent histologic subtypes, OSCC and oesophageal adenocarcinoma (OAC). Among them, OSCC accounts for more than 90% of all cases. However, there is marked disparity in the incidence of OSCC and OAC, which are characterised by distinct underlying causes. For example, in high-income countries such as the United States and Australia, OAC comprises approximately two-thirds of oesophageal cancer cases. Key risk factors for OAC include excess body weight, gastroesophageal reflux disease and Barrett's oesophagus (Lv *et al.*, 2019). In developing countries, particularly in China where OSCC constitutes the predominant form of oesophageal cancer, alcohol consumption, tobacco, economic growth, enhanced dietary conditions, the use of hot beverages and the consumption of pickled vegetables are believed to be the primary factors contributing to this trend (Ishiguro *et al.*, 2009; Zhao *et al.*, 2023). Interestingly, the occurrence of OSCC may also be influenced by other factors, including the presence of underlying gastrointestinal diseases, human papillomavirus infection (Petrelli *et al.*, 2021) and inadequate oral hygiene (Dar *et al.*, 2013).

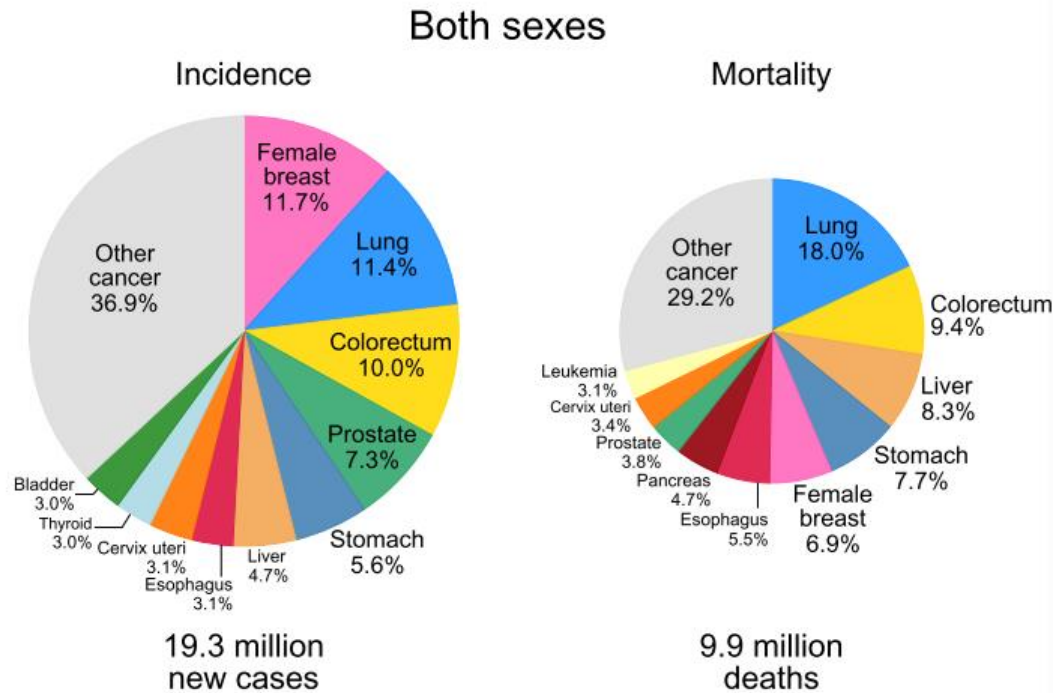


Figure 2.1: Distribution of cases and deaths for the top 10 most common cancers in 2020 for both sexes. Adapted from (Sung *et al.*, 2020).

2.1.2 Genetic factors

Numerous studies have shown that family history increased the risk of OSCC, implying that genetic factors may play an essential role in the development of OSCC (Chen *et al.*, 2015; Yang *et al.*, 2021). Subsequent studies further identified the relationship between genetic and OSCC by using high-throughput genotyping technology. The notable study from our team uncovered that phospholipase c epsilon 1 gene (*PLCE1*) as a risk factor for OSCC *via* genome-wide association study (GWAS) (Wang *et al.*, 2010). Further analysis observed that the 2q33 mutation located in the *CASP8/ALS2CR12/TRAK2* gene region is strongly associated with the risk for incidence of OSCC (Abnet *et al.*, 2012). In addition, by including a larger scale of OSCC samples for GWAS analysis, human leukocyte antigen (HLA) class II gene, *ATP1B2/TP53* and *TMEM173* were further confirmed as risk factors for OSCC (Wu *et al.*, 2014). Based on this, GWAS conducted by another research group showed that

PDE4D, *RUNX1*, *ALDH2* and *ADH1B* were susceptibility genes for OSCC (Wu *et al.*, 2011) (summarised in Table 2.1) .

Table 2.1: The genes identified as susceptibility factors in OSCC.

Gene	Loci	rsID	Number of patients	Reference
<i>PLCE1</i>	10q23.33	rs2274223	2,810 (1,077 cases and 1,733 controls)	(Wang <i>et al.</i> , 2010)
<i>CASP8/AL S2CR12/T RAK2</i>	2q33	rs13016963	6,361 (2,961 cases and 3,400 controls)	(Abnet <i>et al.</i> , 2012)
<i>PDE4D</i>	5q11	rs10052657	4,075 (2,031 cases and 2,044 controls)	(Wu <i>et al.</i> , 2011)
<i>RUNX1</i>	21q22	rs2014300	4,075 (2,031 cases and 2,044 controls)	(Wu <i>et al.</i> , 2011)
<i>ALDH2</i>	12q24	rs671	4,075 (2,031 cases and 2,044 controls)	(Wu <i>et al.</i> , 2011)
HLA class II gene	6p21.32	rs35597309	11,124 (5,337 OSCC cases and 5,787 controls)	(Wu <i>et al.</i> , 2014)
<i>ATP1B2/T P53</i>	17p13.1	rs1642764	11,124 (5,337 OSCC cases and 5,787 controls)	(Wu <i>et al.</i> , 2014)
<i>TMEM173</i>	5q31.2	rs7447927	11,124 (5,337 OSCC cases and 5,787 controls)	(Wu <i>et al.</i> , 2014)
<i>ADH1B</i>	4q23	rs1042026	4,075 (2,031 cases and 2,044 controls)	(Wu <i>et al.</i> , 2012)

In addition to GWAS analysis, some *in vivo* and *in vitro* studies have also proven the connection between genetic factors and OSCC. For example, Song *et al* (2014) identified *p53*, family with sequence similarity 135, member B (*FAM135B*), *RBI*, *NFE2L2*, *PIK3CA*, *CDKN2A*, *NOTCH1*, and *ADAM29* genes are mutated, and histone regulator genes are highly altered in OSCC. Of note, subsequent *in vitro* assays

confirmed FAM135B is overexpressed in multiple OSCC cells. FAM135B depletion highly inhibited the proliferation, migration and invasion abilities of OSCC cells, suggesting FAM135B had a strong connection with OSCC. Interestingly, real-time PCR (RT-PCR) assays and histopathological analysis conducted by Fardi Golyan & Forghanifard (2021) proposed that the simultaneous decrease in inositol polyphosphate 5-phosphatase (INPP5A), accompanying the increased expression of matrix metalloproteinase 2 (MMP2)/epidermal growth factor receptor (EGFR)/TWIST1 were associated with various adverse prognostic indicators (tumour stage, invasion and metastasis) in OSCC through targeting epithelial-mesenchymal transition (EMT) signalling pathway. Similarly, glycoprotein nonmetastatic melanoma protein B (GPNMB) is a recently uncovered factor implicated in promoting tumours, potentially facilitating the progression of tumour cells through the activation of the phosphoinositide 3-kinase (PI3K)/AKT pathway *via* EGFR. The latest evidence showed that GPNMB was associated with EGFR and p-PI3K, and they were increasingly correlated with OSCC progression (Wang *et al.*, 2022). Consistent with these findings, evidence from *in vivo* and *in vitro* confirmed that the metastasis and invasion ability of OSCC can be improved by ring finger protein-128 (RNF128) *via* targeting EGFR/MMP2/mitogen-activated protein kinase (MAPK) signalling pathway (Jing *et al.*, 2019). Interestingly, recent research found that silencing calmodulin1 (CALM1) increased the susceptibility of OSCC cells to afatinib (an EGFR inhibitor) followed by obviously tumour suppression (Liu *et al.*, 2021).

Despite emerging evidence suggesting a correlation between predisposing genetic conditions and the occurrence of OSCC (Li *et al.*, 2021; Qu *et al.*, 2017; Waters & Reznik, 2022), the specific mechanism is still requires further elucidation and how they can be translated into clinical practice is worthy of exploration.

2.2 Diagnosis of OSCC

A vast range of optical technologies is accessible for OSCC diagnosis, endoscopic screening method is the main and recognised method including white light endoscopy (WLE), chromoendoscopy, narrow band imaging (NBI), transnasal endoscopy, endocytoscopy and microendoscopy (Table 2.2). At present, the combination of endoscopic monitoring and histopathological assessment is the most widely recommend diagnosis method for OSCC. Although conventional WLE is effective in detecting invasive oesophageal cancer, it has limited sensitivity in identifying oesophageal squamous dysplasia, which is the precursor to OSCC and a key focus of screening. Squamous dysplasia often exhibits similarities to normal squamous mucosa, posing challenges for accurate detection using WLE (Hur & Yachimski, 2019). On the contrary, chromoendoscopy is considered the prevailing standard for highlighting areas of abnormality, greatly enhancing the ability to detect oesophageal squamous dysplasia. Chromoendoscopy employs iodine, which selectively binds to glycogen in the squamous epithelium. As immature and rapidly dividing cells, such as those encountered in oesophageal squamous dysplasia or esophagitis, have lower glycogen levels, they appear unstained compared to normal epithelium. This enables targeted biopsies to be performed on the non-stained areas, distinguishing dysplastic and inflammatory oesophageal mucosa from the normal epithelium (Mwachiro *et al.*, 2016). Chromoendoscopy is a cost-effective and relatively straightforward procedure that can be easily performed and interpreted. In contrast, one expensive method named NBI could utilize 415 nm and 540 nm that correspond to the absorption peaks of haemoglobin. It enables a more distinct visualisation of capillary networks and superficial mucosal patterns (Gono, 2015). In this process, oesophageal squamous dysplasia or early OSCC is distinguished by

brownish appearance accompanied by abnormal vascular patterns of intracapillary papillary loops. Similarly, endocytoscopy was found to exhibit a sensitivity of 100% and a specificity of 80% in the detection of OSCC without biopsy (Kumagai *et al.*, 2012). Notably, microendoscopy is widely recognised by developing countries for its affordability and high sensitivity. It is reported that the feasibility of this approach for detecting OSCC has been established, with sensitivity ranging from 84% to 93% and specificity ranging from 92% to 97% (Leoncini *et al.*, 2014; Shin *et al.*, 2015). More than that, transnasal endoscopy is a well-tolerated diagnostic method for clinic patients. Despite its limited implementation, transnasal endoscopy has attracted increased attention due to its significant advantages, which include the elimination of sedation requirements, the eradication of sedation-related risks and cost reduction.

Table 2.2: Endoscopic screening methods in the diagnosis of OSCC.

Endoscopic Screening Methods	Advantages	Disadvantages
White light endoscopy	Easily accessible in developed regions	Low sensitivity and specificity for precursor lesions
Chromoendoscopy	High sensitivity of precursor lesions; high visibility; Affordable	Low specificity for precursor lesions; need for dye; allergic reactions
Narrow band imaging	High sensitivity of precursor lesions; high visibility; high accuracy; no need for dye	Special training required; expensive
Transnasal endoscopy	Narrow pipe diameter, high pass rate; affordable	Not standard for screening and not widely used; not yet evaluated for precursor lesions

Table 2.2: Continued.

Endoscopic Screening Methods	Advantages	Disadvantages
Endocytoscopy	Safe; accurate	Small field of view; not yet evaluated for precursor lesions; special training required; expensive
Microendoscopy	High sensitivity and specificity for the detection of dysplasia and early-stage cancer; affordable	Small field of view; special training required

These endoscopic screening methods have their own benefits and shortages. One main limitation of using endoscopic screening methods in the diagnosis of OSCC is the potential challenge in detecting early-stage lesions, especially in regions that are difficult to access or visualise comprehensively. Limited visibility and the possibility of overlooking subtle abnormalities can hinder the efficacy of endoscopic screening in identifying precancerous or early-stage OSCC. Hence, there is a need to explore effective and efficient diagnostic methods. Emerging evidence suggested several advanced technologies that have shown potential as alternative options include wide area transepithelial sampling with 3-dimensional tissue analysis, breath testing utilising an electronic nose device and cancer cell biomarkers (Chaber-Ciopinska *et al.*, 2016; Liu *et al.*, 2020). Among them, biomarkers have been actively studied these years (summarised in Table 2.3). Specifically, several proteins have been proved to serve as biomarkers in OSCC. For instance, L1 cell adhesion molecule (L1CAM) expression was found to be elevated in OSCC tissues compared to normal epithelium, and this high level of L1CAM in OSCC tissues was associated with poorer patient survival (Xu *et al.*, 2018). Similarly, the levels of serum insulin-like growth factor binding protein-1 (IGFBP-1) were markedly increased in OSCC. More importantly,

serum IGFBP-1 exhibited excellent diagnostic accuracy for the early-stage and advanced-stage group of OSCC (Xu *et al.*, 2020). In addition, a lot of circRNAs also have been actively studied in recent years. For example, circGSK3 β overexpression showed a positive association with advanced clinical stage and adverse outcomes in individuals suffering from OSCC. The expression of circGSK3 β in plasma served as a biomarker for detecting both OSCC and early stages of OSCC (Hu *et al.*, 2019). Similarly, higher levels of circSLC7A5 in plasma are correlated with an increased TNM stage, which in turn is associated with a tendency towards shorter overall survival (OS) compared to individuals with lower levels of circSLC7A5 in OSCC (Wang *et al.*, 2020). On the other hand, some miRNAs also proved to involve in OSCC. For instance, OSCC patients exhibited a notable rise in plasma miR-19a levels when compared to control group. For early stages of OSCC, miR-19a displayed a sensitivity rate of 68.09% (Bai *et al.*, 2017). Additionally, the sensitivity and specificity of lncRNAs POU3F3 in diagnosing OSCC were 72.8% and 89.4%, respectively (Tong *et al.*, 2015). Other lncRNAs including lncRNAs NR_003353, NR_036133, NR_039819, ENST00000442416.1 and ENST00000416100.1 were shown to be downregulated in patients with OSCC after surgery (Jiao *et al.*, 2020).

Although existing studies have suggested that these biomarkers can be used for the diagnosis of OSCC, there is no clinical evidence of their effectiveness. Therefore, it is imperative to discover more efficient biomarkers and incorporate them into clinical practice, especially those suitable that can be used in the early diagnosis of OSCC.

Table 2.3: Potential diagnostic biomarkers for OSCC.

Biomarkers	Methods	References
L1CAM	Enzyme-linked immunosorbent assay	(Xu <i>et al.</i> , 2018)
Serum IGFBP-1	Enzyme-linked immunosorbent assay	(Xu <i>et al.</i> , 2020)
CircGSK3 β	Microarrays; droplet digital PCR	(Hu <i>et al.</i> , 2019)
Circ-SLC7A5	Quantitative reverse transcription polymerase reaction	(Wang <i>et al.</i> , 2020)
miR-19a	Quantitative reverse transcription polymerase reaction	(Bai <i>et al.</i> , 2017)
POU3F3	Quantitative reverse transcription polymerase reaction	(Tong <i>et al.</i> , 2015)
lncRNAs NR_003353, NR_036133, NR_039819, ENST00000442416.1, and ENST00000416100.1	Quantitative reverse transcription polymerase reaction	(Jiao <i>et al.</i> , 2020)

2.3 Staging of OSCC

After establishing the diagnosis, it is essential to carry out staging in order to determine the appropriate course of treatment. Staging is conducted by employing the TNM classification system, which has been outlined by American Joint Committee on Cancer (AJCC). The TNM staging system incorporates three key aspects: the extent of tumour invasion (T-staging), the involvement of lymph nodes (N-staging) and the presence of metastatic spread (M-staging). Moreover, T4 lesions are categorized as either resectable (T4a) or unresectable (T4b), and the classification of N-staging is determined by the involvement of lymph nodes. Notably, Tis lesions have been redefined as high-grade dysplasia, and M-staging is divided into two categories: no

distant metastases (M0) or presence of distant metastases (M1) (Figure 2.2) (Rice *et al.*, 2010). The standard staging procedure should encompass various diagnostic tests such as endoscopic ultrasound (EUS), CT scans of the chest, abdomen, pelvis or neck, as well as FDG-PET/CT. These modalities have the capability to provide distinct and complementary information for the determination of cancer stage.

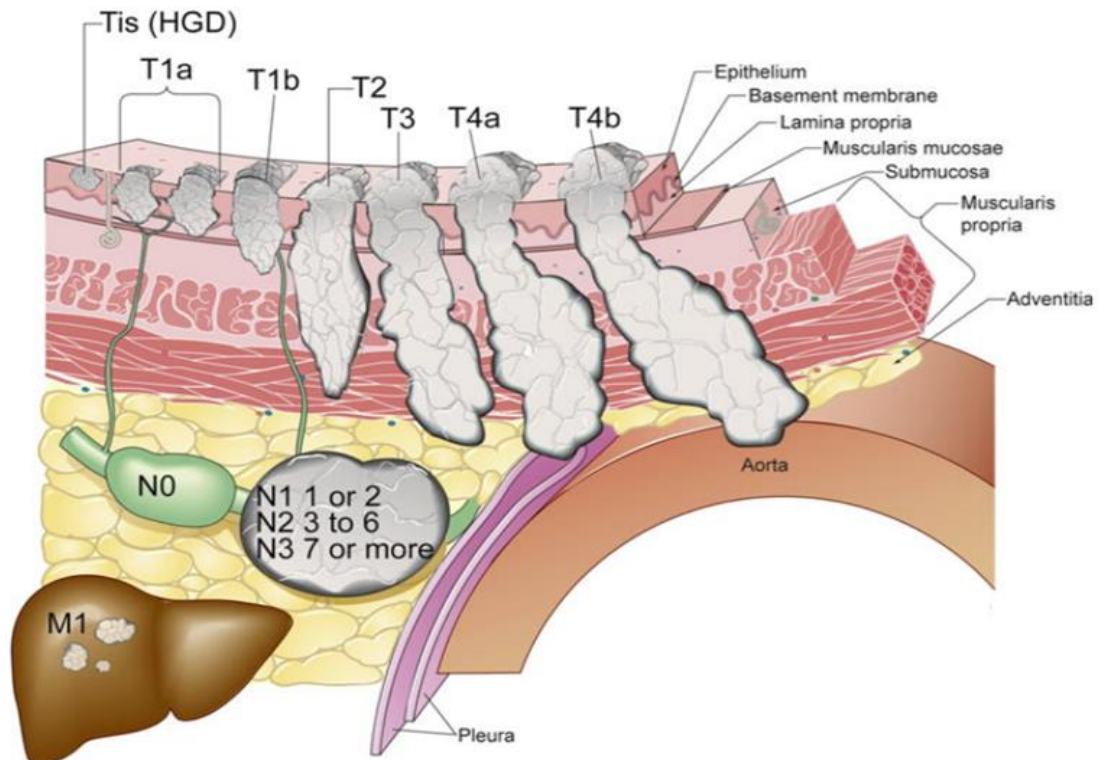


Figure 2.2: TNM staging diagram of OSCC. Adapted from (Rice *et al.*, 2017).

2.4 Therapy for OSCC

At present, the therapy for OSCC mainly include surgery, chemotherapy, immunotherapy, radiotherapy and molecular-targeting therapy.

2.4.1 Surgery

Surgery is extensively employed to achieve local and regional control, playing a crucial role in the OSCC therapy. Patients diagnosed with early-stage OSCC and without lymph node metastasis may undergo endoscopic mucosal resection or

endoscopic submucosal dissection (Waters & Reznik, 2022). Esophagectomy may be contemplated for patients with multifocal disease or those who decline endoscopic treatment. One of the esophagectomy called transhiatal esophagectomy involves an abdominal approach along with a cervical incision and cervical esophagogastrostomy. It is often used for cervicothoracic oesophageal cancer or elderly patients who cannot tolerate transthoracic surgery (Waters & Reznik, 2022). Distal oesophageal tumours are suitable for McKeown esophagectomy, which procedure begins with a right thoracic approach, followed by incisions in the abdomen and left neck, ultimately concluding with a cervical esophagogastrostomy. On the other hand, it can be also achieved by Ivor Lewis esophagectomy starts with an abdominal approach, then proceeds with a right chest approach, culminating in an intrathoracic esophagogastric anastomosis (Waters & Reznik, 2022). Notably, another method named minimally invasive esophagectomy (MIE) is gaining popularity because of its less invasive approach, reduced risk of perioperative pneumonia and intensive care unit stay (Groth *et al.*, 2018). More importantly, all the surgical methods mentioned above can be achieved through MIE.

2.4.2 Chemotherapy

Chemotherapy, serving as the primary treatment method for OSCC, providing multiple benefits such as tumour growth inhibition and prevention of distant metastasis. Cisplatin (DDP), 5-fluorouracil (5-FU) and doxorubicin (Dox) are the chemotherapy drugs most commonly used for the treatment of OSCC (He *et al.*, 2021; Hirano, 2019). DDP-based chemotherapy is typically the primary choice for treating OSCC, and patients who have undergone surgery and developed recurrence or metastasis usually show an initial response to DDP treatment. Certain genes have been confirmed to be associated with the effectiveness of DDP in OSCC cell lines. For example, by inducing

the differentiation of fibroblasts into cancer-associated fibroblasts (CAFs), lncRNA *POU3F3* enhanced cisplatin resistance in OSCC (Tong *et al.*, 2020). Similarly, the alleviation of DDP resistance can be achieved by circRNA *DOPEY2*, which promoted the ubiquitination and degradation of cytoplasmic polyadenylation element binding protein in OSCC cells (Liu *et al.*, 2021). 5-FU is used as the first-line treatment for OSCC. Nevertheless, single 5-FU therapy is no longer suitable for OSCC patients due to the increasing dosage, toxicity and drug resistance (He *et al.*, 2021). Hence, the combination DDP and 5-FU has been widely implemented as a treatment plan for OSCC (Wang *et al.*, 2019; Zhang *et al.*, 2017). In addition, Dox is a widely prescribed antitumor medication known for its ability to generate ROS. In xenograft models, Dox can be effectively administered to the tumour site and exhibited a notable inhibitory impact on tumour growth (Zhang *et al.*, 2017). Interestingly, it is reported that the combined treatment of DDP, 5-FU, and Dox has the potential to significantly improve the prognosis by suppressing specific progression events while maintaining a higher rate of oesophagus preservation in stage II/III of OSCC (Yamashita *et al.*, 2017).

2.4.3 Immunotherapy

Over the past decades, immunotherapy has gained significant attention as a promising therapeutic strategy for diverse forms of cancer (Ribas & Wolchok, 2018). By utilizing the patient's immune system, immunotherapy employs the inhibition of the immune checkpoint pathway to combat cancer cells (Joyce & Fearon, 2015). Specifically, the inhibition of immune checkpoints such as Programmed Death 1 (PD-1)/Programmed Death Ligand 1 (PD-L1) has demonstrated notable responses and clinical benefits in OSCC (Gao *et al.*, 2021; Mahmoudian *et al.*, 2021). For example, nivolumab, a humanized IgG4 monoclonal antibody, exhibits high affinity for the PD-1 receptor. A phase II clinical trial evaluated the effect of nivolumab in 65 patients

with advanced OSCC and found that the one-year and two-year OS rates of patients after nivolumab treatment were 45% and 17%, respectively (Kudo *et al.*, 2017). Similarly, pembrolizumab, a fully humanized IgG4-k monoclonal antibody, is a highly selective and potent agent that targets the PD-1 receptor (Wolchok, 2015). The multicohort phase IB study, known as the KEYNOTE-028 trial, enrolled 18 patients with OSCC and 5 patients with OAC who had experienced chemotherapy failure and tested positive for PD-L1. Under pembrolizumab treatment, the six-month and twelve-month OS rates were 60% and 40%, respectively (Doi *et al.*, 2018). Although immunotherapy shows potential as a second-line treatment for OSCC, further direct evidence and comparisons are necessary to evaluate its effectiveness.

2.4.4 Radiotherapy

To date, radiotherapy (RT) has been the preferred choices for OSCC patients who refuse, not suitable for surgery or advanced stage of OSCC. On the one hand, RT can improve anti-tumour response by activating the new tumour antigens and promoting the dendritic cell maturation (Ahmed & Tait, 2020; Sadeghzadeh *et al.*, 2020). On the other hand, RT could stimulate the formation of an anti-immunogenic microenvironment through the recruitment of M2 tumour-associated macrophages, and myeloid-derived suppressor cells, thereby triggering the invasion and metastasis of tumours (Jarosz-Biej *et al.*, 2019; Shevtsov *et al.*, 2019). Clinically, RT can significantly improve OS and disease-free survival (DFS) of OSCC patients (Wang *et al.*, 2016). However, radioresistance caused by abnormal cell death and DNA damage greatly reduces the effect of RT. In view of this, many studies are beginning to explore the combination of RT and other therapies. For instance, a survey based on 5185 patients with OSCC showed that compared to RT group, patients who received chemoradiotherapy (CRT) had better survival rates ($p < 0.001$) (Li *et al.*, 2021). Similar

conclusion was supported by a retrospective cohort study, they found the median progression-free survival (PFS) of CRT group was longer than RT group (15.3 months vs 10.6 months), and the OS in the CRT group was better than RT alone (24.6 months vs 19.4 months) for elder OSCC patients (Zhao *et al.*, 2017). In addition, many studies have found that targeted immune checkpoint inhibitors can effectively reverse RT resistance. By activating T cells, PD-1 inhibitors can block G2/M phase and promote DNA damage, thereby improving immune response and radiosensitivity (An *et al.*, 2022). Therefore, RT combines with other therapy may be a potential and effective option in the future treatment of OSCC.

2.4.5 Molecular targeted therapy

Molecular targeted therapy is a form of cancer treatment that focuses on specific molecules or molecular pathways involved in the growth and progression of cancer cells. It identifies specific molecules or proteins that play crucial roles in cancer development, such as growth factor receptors, enzymes or signalling proteins. Currently, clinical trials of molecular targeted therapy for OSCC mainly based on targeting EGFR and PI3K (Table 2.4).

The most representative is the development and application of inhibitors against EGFR, which is high expression (30%-70%) in all OSCC and connected with poor response to therapy (Codipilly & Wang, 2022; Kuwano *et al.*, 2005). Cetuximab, known for its strong affinity for EGFR, has been shown to improve efficacy when used in combination with cisplatin–5-FU (CF) (Lorenzen *et al.*, 2009). Previously, a clinical phase 2 trial examined the effect of gefitinib in oesophageal cancer and found that the disease control rate of gefitinib is positively correlated with EGFR expression (Janmaat *et al.*, 2006). Further clinical study found that combined gefitinib with radiation has a better median OS than radiation alone (14.0 months vs 9.3 months)

(Cooper *et al.*, 1999; Mahmoudian *et al.*, 2021). Nimotuzumab is a humanised recombinant monoclonal IgG1 antibody by targeting EGFR and exerts anti-tumour effect. A reported by Ling *et al* (2012) confirmed that nimotuzumab combined with CF has good efficacy and tolerable side effects in the treatment of advanced OSCC. This finding was further supported by a clinical phase 2 trial (Lu *et al.*, 2016). When nimotuzumab was combined with DDP and paclitaxel to treat patients with advanced and metastatic OSCC, the disease control rate was 92.9% and the median OS was 20.2 months (Lu *et al.*, 2016). Another inhibitor of EGFR called erlotinib was used to treat inoperable OSCC. It was found that erlotinib, paclitaxel and combined concurrent RT were more effective in OSCC patients with EGFR expression (Zhao *et al.*, 2016). Recent evidence uncovered that erlotinib together with elective nodal irradiation and CRT resulted in increased long-term survival rates among patients with locally advanced OSCC (Xie *et al.*, 2020). In addition, given that the PI3K is frequently elevated in OSCC, researchers have initiated the validation effectiveness of inhibitors targeting PI3K in the treatment of advanced OSCC. For example, BKM120 is a potent and highly specific oral PI3K inhibitor that has been shown to exert anticancer effects in a variety of cancers (Maira *et al.*, 2012). BKM120 treatment alone showed favourable efficacy (median OS and PFS were 2 months and 9 months, respectively) and manageable toxicity (Kojima *et al.*, 2022). Despite the remarkable success of these attempts, there are still numerous molecules awaiting discovery and confirmation.

Table 2.4: Evaluation of representative clinical trials of molecular targeted therapy for OSCC.

Agents	Targets	Response rate	Median PFS (months)	Median OS (months)	Clinical phase	Reference
Cetuximab	EGFR	19%	5.9	9.5	3	(Lorenzen <i>et al.</i> , 2009)
Gefitinib	EGFR	90%	7	14.0	2	(Mahmoudi <i>an et al.</i> , 2021)
Nimotuzu-Mab	EGFR	51.8%	No reported	20.2	2	(Lu <i>et al.</i> , 2016)
Erlotinib	EGFR	85.6%	14.3	22.9	2	(Zhao <i>et al.</i> , 2016)
BKM 120	PI3K	2.4%	2.3	9.0	2	(Kojima <i>et al.</i> , 2022)

2.5 NEDD4L

2.5.1 Molecular structure and function of NEDD4L

NEDD4L serves as an E3 ubiquitin ligase and stands out as the most closely related homolog to NEDD4, which is considered the prototype within its family (Yang & Kumar, 2010). NEDD4L protein contains C2 domain, 4 WW domains, and HECT domain (Figure 2.3) (Manning *et al.*, 2020). The main functions of the C2 domain include binding Ca^{2+} , targeting membranes and facilitating interactions between proteins. The WW domains play a crucial role in recognising and binding specific substrates targeted by NEDD4L. The HECT domain is the catalytic core of NEDD4L and responsible for transferring ubiquitin molecules onto target proteins. This domain forms a thioester intermediate with ubiquitin before transferring it to the target protein substrate, thereby facilitating the ubiquitination process (Figure 2.3) (Manning & Kumar, 2018; Mari *et al.*, 2014; Todaro *et al.*, 2017; Wang *et al.*, 2020).

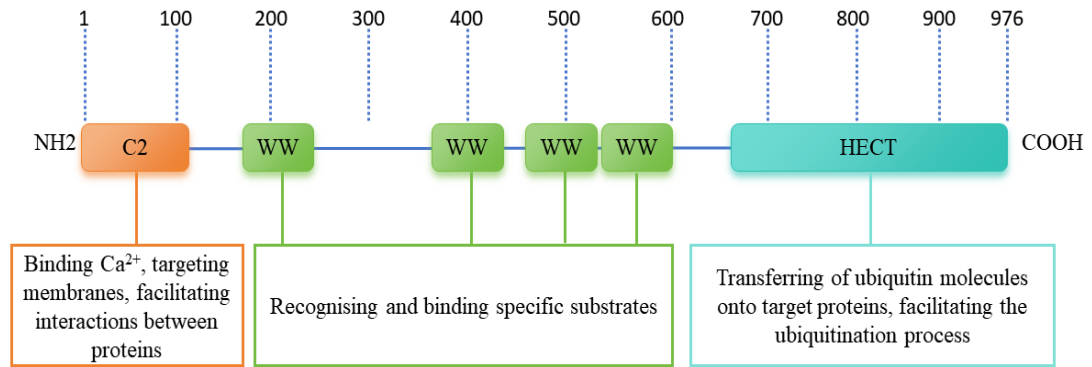


Figure 2.3: The structure of NEDD4L.

The most well-known function of NEDD4L is its regulation of the epithelial sodium channel (ENaC), particularly in the context of kidney function and blood pressure regulation. NEDD4L regulates ENaC by promoting its ubiquitination and subsequent degradation, thereby controlling the number of active ENaC channels at the cell membrane (Kamynina *et al.*, 2001; Zhang *et al.*, 2023). Additionally, increasing evidence suggested that NEDD4L involves in a range of biological processes, including cardiovascular diseases, DNA repair, antiviral immunity, inflammatory responses, especially tumour progression (Gao *et al.*, 2021; Li *et al.*, 2022; Mathieu *et al.*, 2021; Wang *et al.*, 2022; Xia *et al.*, 2023).

2.5.2 Role of NEDD4L in cancer

2.5.2(a) Role of NEDD4L in cell proliferation

According to the existing research, NEDD4L has two sides in regulating cell proliferation. On the one hand, NEDD4L has been shown to inhibit cell proliferation in multiple human cancers. For instance, the absence of NEDD4L enhanced the expression of PIK3CA, subsequently activating the PI3K-AKT signalling pathway and stimulating cell proliferation in glioma (Wang *et al.*, 2016). Interestingly, further study uncovered that NEDD4L suppressed the proliferation of lung adenocarcinoma cells both *in vivo* and *in vitro* by promoting the degradation of ubiquitin-conjugating