PHOTOCATALYTIC DEGRADATION OF TETRACYCLINE IN AQUEOUS SOLUTION BY ZnO QUANTUM DOTS/TiO₂ SUPPORTED CATALYSTS

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by

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TABLE OF CONTENTS

ACKNOWLEDGEMENT ii		
TABLE OF CONTENTSiv		
LIST	OF TA	BLESx
LIST	OF FIG	SURES xiii
LIST	OF SC	HEMESxix
LIST	OF SY	MBOLSxx
LIST	OF AB	BREVIATIONSxxi
LIST	OF AP	PENDICES xxiii
ABST	'RAK	xxiv
ABST	RACT	xxvi
CHAI	PTER 1	INTRODUCTION1
1.1	Occurr	rence of pharmaceuticals in the aquatic environment1
1.2	Proble	ms statement4
1.3	Resear	ch objectives6
1.4	Thesis	outline
CHAI	PTER 2	LITERATURE REVIEW9
2.1	Advan	ced treatment technologies for pharmaceuticals removal9
2.2	Hetero	geneous photocatalysis12
	2.2.1	Titanium dioxide (TiO ₂) as photocatalyst16
	2.2.2	Mechanism of TiO ₂ photocatalysis17
	2.2.3	Kinetics of TiO ₂ photocatalytic degradation19
2.3	Modifi	cation of TiO ₂ photocatalyst20
	2.3.1	Doping of metals and non-metals
	2.3.2	Semiconductor coupling
		2.3.2(a) Conventional heterojunction

		2.3.2(b) p-n Heterojunction	25
		2.3.2(c) Z-scheme heterojunction	27
2.4	Quant	um dots	29
	2.4.1	Quantum dots decorated-semiconductor nanocomposite	33
	2.4.2	Synthesis of quantum dots decorated-semiconductor nanocomposite	35
2.5	Photo	catalyst immobilization	38
	2.5.1	Polymeric immobilization	40
2.6	Tetrac	ycline as a model pollutant	44
CHA	PTER 3	MATERIALS AND METHODS	49
3.1	Chemi	ical reagents	49
3.2	Synthe	esis of ZnO QDs	49
3.3	Synthe	esis of TiO ₂ nanoparticles	50
3.4	Synthe	esis of ZnO QDs/TiO2 nanocomposites	51
3.5	Prepar	ration of LLDPE supported ZnO QDs/TiO2 composites film	51
3.6	Photo	catalytic experimental setup	52
	3.6.1	Preparation of TC stock solution	53
	3.6.2	Evaluation of photocatalytic efficiency	54
	3.6.3	Control experiment	54
3.7	Optim	ization of parameters	55
	3.7.1	Effect of solution pH	55
	3.7.2	Effect of TC concentration	56
	3.7.3	Effect of the amount of photocatalyst	56
	3.7.4	Effect of inorganic ions	56
	3.7.5	Effect of natural organic matter (NOM)	57
	3.7.6	Effect of radical scavengers	57
	3.7.7	Quantification of hydroxyl radicals and superoxide radicals produced	58

	3.7.8	Total organic carbon (TOC) analysis	59
	3.7.9	Reusability studies	59
3.8	Charac	cterization of the Photocatalysts	59
	3.8.1	X-ray diffraction analysis	59
	3.8.2	Fourier transform infrared spectroscopy (FT-IR)	60
	3.8.3	Field emission scanning electron microscopy/energy dispersive X-ray (FESEM/EDX) analysis	61
	3.8.4	Transmission electron microscopy (TEM)	61
	3.8.5	High resolution transmission electron microscopy (HRTEM)	62
	3.8.6	Atomic force microscopy (AFM)	62
	3.8.7	Nitrogen adsorption-desorption (NAD) analysis	62
	3.8.8	X-Ray photoelectron spectroscopy (XPS)	63
	3.8.9	Inductively coupled plasma optical emission spectrometry (ICP-OES)	63
	3.8.10	UV-vis diffuse reflectance spectroscopy (UV-Vis DRS)	64
	3.8.11	Photoluminescence (PL) spectroscopy	65
	3.8.12	Differential scanning calorimetric (DSC)	65
	3.8.13	Thermogravimetric analysis (TGA)	66
	3.8.14	Contact angle (CA) study	66
	3.8.15	pH Point of Zero Charge (pH _{PZC})	67
	3.8.16	UV-visible spectroscopy	67
3.9	Detect	ion of intermediates	68
CHAI	PTER 4	CHARACTERIZATIONS OF ZINC OXIDE QUANTUM DOTS AND ZINC OXIDE QUANTUM DOTS MODIFIED TITANIUM DIOXIDE	69
4.1	Introdu	uction	69
	4.1.1	FTIR analysis	70
	4.1.2	X-Ray diffraction analysis	71

	4.1.3	Field emission scanning electron microscopic (FESEM) analysis	75
	4.1.4	Transmission electron microscopy (TEM)	77
	4.1.5	High resolution transmission electron microscopy (HRTEM)	30
	4.1.6	Nitrogen adsorption-desorption analysis	32
	4.1.7	Optical absorption property	35
	4.1.8	Elemental analysis with EDX and ICP-OES spectroscopy	38
	4.1.9	X-ray photoelectron spectroscopy (XPS)	39
	4.1.10	Photoluminescence studies) 2
	4.1.11	Determination of pH point of zero charge (pH _{PZC})) 5
4.2	Summa	ary) 7
CHAP	PTER 5	PHOTOCATALYTIC DEGRADATION PERFORMANCE OF TiO2 NPs, ZnO QDs, AND ZnO QDs/TiO2 NANOCOMPOSITES	98
5.1	Introdu	iction) 8
5.2	Optimi nanocc	zation of the amount of ZnO QDs in ZnO QDs/TiO ₂	98
	5.2.1	Effect of initial pH of the solution10)3
	5.2.2	Effect of the initial concentration of TC solution10)7
	5.2.3	Effect of catalyst dosage10)9
	5.2.4	Effect of different sources of light11	11
	5.2.5	Effect of inorganic ions	13
		5.2.5(a) Effect of anions	14
		5.2.5(b) Effect of cations	21
	5.2.6	Effect on natural organic matter (NOM)	24
5.3	Reactiv	ve species trapping12	26
	5.3.1	Quantification of hydroxyl radicals and superoxide radicals12	27
5.4	Minera	lization studies13	31
5.5	Reusat	bility studies	32

5.6	Propo	sed mechanism134
5.7	Detect	tion of TC degradation intermediates
5.8	Summ	nary138
CHAI	PTER 6	5 CHARACTERIZATIONS AND PHOTOCATALYTIC ACTIVITY OF LLDPE SUPPORTED ZnO QDs/TiO2 COMPOSITE FILM139
6.1	Introd	uction
6.2	Chara	cterization140
	6.2.1	ATR-FT-IR analysis
	6.2.2	X-Ray diffraction analysis (XRD)142
	6.2.3	Morphology analysis
	6.2.4	Atomic force microscopy analysis (AFM)146
	6.2.5	Differential scanning calorimetric (DSC)148
	6.2.6	Thermogravimetric analysis (TGA)150
	6.2.7	Contact angle measurement152
	6.2.8	Optical properties153
6.3	Photoe photoe	catalytic performance of ZnO QDs/TiO2@LLDPE film catalysts
	6.3.1	Effect of ZnO QDs/TiO ₂ nanocomposite loading
	6.3.2	Effect of initial pH of the solution158
	6.3.3	Effect of inorganic ions161
	6.3.4	Effect of natural organic matter (NOM)165
	6.3.5	Effect of film size
	6.3.6	Effect of different light sources
	6.3.7	Scavenging test
	6.3.8	Mechanism of TC degradation using 8%-ZnO QDs/TiO ₂ @LLDPE
6.4	Miner	alization efficiency173
6.5	Reusa	bility

6.6	Detection of possible degradation of support	175
6.7	Detection of degradation intermediates	177
6.8	Comparison between suspended and immobilized ZnO QDs/TiO ₂ nanocomposite photocatalysts	179
6.9	Summary	182
CHAI	PTER 7 CONCLUSION AND FUTURE RECOMMENDATIONS	183
7.1	Conclusion	183
7.2	Future recommendations	185
REFE	RENCES	187
APPE	ENDICES	

LIST OF TABLES

Page

Table 1.1Occurrence and concentr surface water around the (Quesada <i>et.al.</i> , 2019)	rations of some pharmaceuticals in e world published in the literature
Table 2.1List of recently repphotocatalysts.	orted studies on immobilised43
Table 2.2Physicochemical proper (Heinemann <i>et al.</i> , 2013)	rties of tetracycline antibiotics ; Xu <i>et al.</i> , 2020)45
Table 2.3Several studies on the various photocatalysts	photodegradation of TC using
Table 4.1Crystallographic parame obtained from the XRD software.	eters for the synthesized samples data and the Expert HighScore
Table 4.2Physico-chemicalpropphotocatalysts	perties of the as-synthesized
Table 4.3Calculated valence band the as-synthesized nanoc	and conduction band potentials of composite photocatalysts
Table 4.4Elementalcompositionphotocatalystsobtainedparentheseswere obtained	on of the as-synthesized from EDX analysis. Values in ed from ICP-OES analysis
Table 5.1Rate constants (k) and pseudo-first-order and se degradation by the synth	correlation coefficients (R ²) of econd order kinetic models for TC esized photocatalysts102
Table 5.2The pseudo first-order coefficient (R^2) for the opH of the solution	r rate constant and regression degradation of TC under different 106
Table 5.3The pseudo first-order coefficient (\mathbb{R}^2) for the order concentrations of the solution	r rate constant and regression degradation of TC under different ution
Table 5.4The pseudo first-order coefficient (R²) for the dosage of catalyst	r rate constant and regression degradation of TC using various 111
Table 5.5The pseudo first-order coefficient (R2) for the o light sources.	rate constant (k) and regression degradation of TC using different

Table 5.6	The pseudo first-order rate constant and regression coefficient (R^2) for the degradation of TC with different sulphate ions concentrations
Table 5.7	The pseudo first-order rate constant and regression coefficient (R^2) for the degradation of TC with different chloride ion concentrations
Table 5.8	The pseudo first-order rate constant and regression coefficient (R^2) for the degradation of TC with different nitrate ion concentrations
Table 5.9	The pseudo first-order rate constant and regression coefficient (R^2) for the degradation of TC with different carbonate ion concentrations
Table 5.10	The pseudo first-order rate constant and regression coefficient (R^2) for the degradation of TC with different cations concentrations
Table 5.11	The pseudo first-order rate constant and regression coefficient (R^2) for the degradation of TC with different HA concentrations
Table 5.12	Comparison of related studies in photocatalytic degradation of TC
Table 6.1	DSC data of bare LLDPE and LLDPE supported ZnO QDs/TiO ₂ nanocomposites photocatalyst with different ZnO QDs/TiO ₂ content
Table 6.2	The pseudo first-order rate constant (k') and regression coefficient (R^2) for the degradation of TC using LLDPE supported ZnO QDs/TiO ₂ composite photocatalysts
Table 6.3	The pseudo first-order rate constant (k') and regression coefficient (R^2) for the degradation of TC at different initial pH of the solution
Table 6.4	Effect of common anions on the kinetics of photocatalytic TC degradation
Table 6.5	Effect of common anions on the kinetics of photocatalytic TC degradation
Table 6.6	Effect of NOM on the kinetics of photocatalytic TC degradation
Table 6.7	The pseudo first-order rate constant (k') and regression coefficient (R^2) for the degradation of TC using film photocatalysts of different sizes

Table 6.8	The pseudo first-order rate constant (k) and regression coefficient (R ²) for the degradation of TC using different light sources
Table 6.9	Weight of 8%-ZnO QDs/TiO ₂ @LLDPE composite film before and after several cycles of photocatalytic reactions177
Table 6.10	The pseudo first-order rate constant (k') and regression coefficient (R^2) for the degradation of TC using suspended and immobilized ZnO QDs/TiO ₂
Table 6.11	Comparison of recently reported studies on immobilised photocatalysts with the present study

LIST OF FIGURES

Figure 2.1	The schematic diagram of a semiconductor photocatalytic degradation (Li and Shi, 2016)
Figure 2.2	The redox potentials of different species in heterogeneous photocatalysis (Li <i>et al.</i> , 2016)
Figure 2.3	The crystal structures of (a) rutile (b) anatase and (c) brookite (Mohamad <i>et al.</i> , 2015)17
Figure 2.4	The schematic energy band diagram of three types of semiconductor heterojunctions (Humayun <i>et al.</i> , 2018)23
Figure 2.5	The transfer of photogenerated carriers in conventional heterojunction when both semiconductors are excited (a), only one semiconductor is excited (b) and (c)25
Figure 2.6	The schematic illustration of the electron-hole separation under the influence of the internal electric field of a p–n heterojunction photocatalyst under light irradiation (Low <i>et</i> <i>al.</i> , 2017)
Figure 2.7	The schematic illustration of electron-hole separation on the indirect Z-scheme photocatalytic system under light irradiation (Low <i>et al.</i> , 2017)28
Figure 2.8	The schematic illustration of electron–hole separation on a direct Z-scheme heterojunction photocatalyst under light irradiation (Xing <i>et al.</i> , 2018)
Figure 2.9	The size tunable emission of QDs (Rizvi et al., 2010)31
Figure 2.10	The splitting of energy levels in quantum dots and band gap increases with a decrease in size of the QDs (McKittrick and Shea-Rohwer, 2014)
Figure 2.11	The scheme of preparation methods for composites made of semiconductor nanoparticles and quantum dots (Bajorowicz <i>et al.</i> , 2018)
Figure 2.12	The possible sources and pathways for the occurrence of tetracycline antibiotics in the environment (Daghrir and Drogui, 2013)46

Figure 3.1	The schematic diagram for the preparation of composite films by solvent casting method
Figure 3.2	Schematic diagram of the photocatalytic degradation reaction system under Fluorescent light irradiation
Figure 4.1	The FTIR spectra for (a) TiO_2 (b) ZnO QDs (c) 3%-ZnO QDs/TiO ₂ (d) 5%-ZnO QDs/TiO ₂ (e) 8%-ZnO QDs/TiO ₂ and (f) 10-ZnO QDs/TiO ₂ nanocomposite photocatalysts71
Figure 4.2	The XRD patterns of (a) ZnO QDs pH 10 (b) ZnO QDs pH 12 (c) ZnO QDs pH 14
Figure 4.3	The XRD patterns of (a) TiO ₂ , (b) 3%-ZnO QDs/TiO ₂ , (c) 5%-ZnO QDs/TiO ₂ , (d) 8%-ZnO QDs/TiO ₂ and (e) 10%-ZnO QDs/TiO ₂
Figure 4.4	The FESEM images of (a) TiO ₂ , NPs (b) 3%-ZnO QDs/TiO ₂ , (c) 5%-ZnO QDs/TiO ₂ , (d) 8%-ZnO QDs/TiO ₂ and (e) 10%-ZnO QDs/TiO ₂ (yellow arrows show agglomeration of nanoparticles)
Figure 4.5	TEM image and particle size distribution of ZnO QDs synthesized at pH 10 (a, b), pH 12 (c, d), and pH 14 (e, f)78
Figure 4.6	The TEM image and Particle size distribution of (a, b) TiO ₂ NPs, (c, d) 3%-ZnO QDs/TiO ₂ , (e, f) 5%-ZnO QDs/TiO ₂ , (g, h) 8%-ZnO QDs/TiO ₂ and (i, j) 10%-ZnO QDs/TiO ₂ 80
Figure 4.7	The HRTEM images of (a) TiO ₂ , (b) 3%-ZnO QDs/TiO ₂ , (c) 5%-ZnO QDs/TiO ₂ , (d) 8%-ZnO QDs/TiO ₂ and (e) 10%-ZnO QDs/TiO ₂ nanocomposites photocatalyst81
Figure 4.8	The N ₂ adsorption–desorption isotherms and (inset: BJH pore size distribution) of (a) TiO ₂ NPs, (b) 3%-ZnO QDs/TiO ₂ , (c) 5%-ZnO QDs/TiO ₂ , (d) 8%-ZnO QDs/TiO ₂ and (e) 10%-ZnO QDs/TiO ₂
Figure 4.9	The UV-Vis absorbance spectra and the bandgap energy (inset) of (a) ZnO QDs pH 10, (b) ZnO QDs pH 12, and (c) ZnO QDs pH14
Figure 4.10	The UV-vis absorbance spectra and band gap energies (inset) of the synthesized nanocomposite photocatalyts
Figure 4. 11	The EDX mapping of the major elements in the 8%-ZnO QDs/TiO ₂ nanocomposite photocatalyst89
Figure 4.12	The XPS spectra of (a) TiO_2 NPs, (b) 3%-ZnO QDs/TiO ₂ , (c) 5%-ZnO QDs/TiO ₂ , (d) 8%-ZnO QDs/TiO ₂ and (d) 10%-ZnO QDs/TiO ₂

Figure 4.13	The XPS high-resolution spectra of (a) Ti 2p, (b) O 1s and (c) Zn 2p of 8%-ZnO QDs/TiO ₂ nanocomposites photocatalyst
Figure 4.14	(a) The photoluminescence (PL) emission spectra and (b) the digital photograph of the as-synthesized ZnO QDs emitting different colors under UV excitation
Figure 4.15	The photoluminescence spectra of ZnO QDs/TiO ₂ nanocomposites photocatalysts with various amount of ZnO QDs
Figure 4.16	The pH point of zero charge (pH _{PZC}) of the synthesized nanocomposite photocatalysts96
Figure 5.1	 (a) The photocatalytic degradation performances of TC by the synthesized nanocomposites photocatalysts and (b) the rate curve based on the Langmuir-Hinshelwood kinetics. ([TC] = 40 mg/L; catalyst = 250 mg/L; pH 9; lamp power = 48 W)
Figure 5.2	The time-dependent UV-Vis spectra of TC during the photodegradation using (a) 3%-ZnO QDs/TiO ₂ , (b) 5%-ZnO QDs/TiO ₂ , (c) 8%-ZnO QDsTiO ₂ and (d) 10%-ZnO QDs/TiO ₂
Figure 5.3	The effect of initial pH of the solution (a) and the rate curve based on the Langmuir-Hinshelwood kinetics (b) ([TC] = 40 mg/L; catalyst = 200 mg/L; lamp power = 48 W)106
Figure 5.4	The effect of initial TC concentration on TC degradation (a) and the rate curve based on the Langmuir- Hinshelwood kinetics (b) (catalyst = 200 mg/L; pH 9; lamp power = 48 W)
Figure 5.5	The effect of catalyst dosage on the degradation of TC (a) and the rate curve based on the Langmuir-Hinshelwood kinetics (b) ([TC] = 40 mg/L; pH 9; lamp power = 48 W)110
Figure 5.6	The effect of different sources of light on the degradation of TC (a) and the rate curve based on the Langmuir- Hinshelwood kinetics (b) (Catalyst = 250 mg/L , [TC] = 40 mg/L; pH = 9)112
Figure 5.7	The effect of SO_4^{2-} ion on the degradation of TC ([TC] = 40 mg/L; catalyst dosage = 250 mg/L; pH = 9, lamp power = 48 W)
Figure 5.8	The effect of Cl^- ions on the degradation of TC ([TC] = 40 mg/L; catalyst dosage = 250 mg/L; lamp power: 48 W)117

Figure 5.9	The effect of NO_3^- ion on the degradation of TC ([TC] = 40 mg/L; catalyst dosage = 250 mg/L; lamp power = 48 W)
Figure 5.10	The effect of CO_3^{2-} ion on the degradation of TC ([TC] = 40 mg/L; catalyst dosage = 250 mg/L; lamp power : 48 W).
Figure 5.11	The effect of (a) Ca^{2+} and (b) Na^{+} on the degradation of TC ([TC] = 40 mg/L; catalyst dosage = 250 mg/L; lamp power = 48 W)
Figure 5.12	The effect of HA on the degradation of TC ([TC] = 40 mg/L; catalyst dosage = 250 mg/L; lamp power = 48 W)
Figure 5.13	The effect of scavengers on the photocatalytic degradation of TC over 8%-ZnO QDs/TiO ₂ photocatalyst ([TC] = 40 mg/L, pH 9, scavenger = 5 mM, catalyst dosage = 250 mg/L, lamp power = 48 W)
Figure 5.14	The fluorescence spectra of terephthalic acid solution in the presence of the as-synthesized photocatalysts after 90 min of visible light irradiation
Figure 5.15	The absorbance of NBT over the different photocatalysts (illumination time = 90 min, [NBT] = 1.2×10^{-4} M, catalysts dosage = 0.25 g/L)
Figure 5.16	The photocatalytic mineralization of TC in the presence of (a) P25 and (b) 8%-ZnO QDs/TiO ₂ nanocomposite photocatalysts ([TC] = 40 mg/L; pH 9; catalyst dosage = 250 mg/L, lamp power = 48 W)
Figure 5.17	The reusability of 8%-ZnO QDs/TiO ₂ nanocomposite photocatalyst on the degradation of TC ([TC] = 40 mg/L; pH 9; catalyst dosage = 250 mg/L, lamp power = 48 W)
Figure 5.18	The schematic diagram of electron-hole pair separation and the possible reaction mechanism for the degradation of TC over 8%-ZnO QDs/TiO ₂ photocatalyst under fluorescent light illumination
Figure 5.19	The proposed possible pathways during photocatalytic degradation of TC in the presence of ZnO QDs/TiO ₂ photocatalyst
Figure 6.1	The ATR-FTIR of (a) ZnO QDs/TiO ₂ , (b) Bare LLDPE, (c) 2%- ZnO QDs/TiO ₂ @LLDPE, (d) 5%- ZnO QDs/TiO ₂ @LLDPE, (e) 8%- ZnO QDsTiO ₂ @LLDPE and (f) 10%- ZnO QDs/TiO ₂ @LLDPE

Figure 6.2	The XRD patterns of (a) 8%-ZnO QDs/TiO ₂ (b) Bare LLDPE (c) 2%- ZnO QDs/TiO ₂ @LLDPE (d) 5%- ZnO QDs/TiO ₂ @LLDPE (e) 8%- ZnO QDs/TiO ₂ @LLDPE and (f) 10%- ZnO QDs/TiO ₂ @LLDPE
Figure 6.3	The FESEM image of (a) bare LLDPE, (b) 2%- ZnO QDs/TiO ₂ @LLDPE, (c) 5%- ZnO QDs/TiO ₂ @LLDPE, (d) 8%- ZnO QDs/TiO ₂ @LLDPE and (e) 10%- ZnO QDs/TiO ₂ @LLDPE (yellow arrows show agglomeration of nanoparticles)
Figure 6.4	The AFM image of (a) bare LLDPE, (b) 2%-ZnO QDs/TiO ₂ @LLDPE, (c) 5%-ZnO QDs/TiO ₂ @LLDPE, (d) 8%-ZnO QDs/TiO ₂ @LLDPE and (e) 10%-ZnO QDs/TiO ₂ @LLDPE film photocatalyst147
Figure 6.5	The DSC curves of bare LLDPE and LLDPE supported ZnO QDs/TiO ₂ composites films with different ZnO QDs/TiO ₂ content
Figure 6.6	The TGA (a) and DTG (b) curves of bare LLDPE and LLDPE supported ZnO QDs/TiO ₂ nanocomposites photocatalyst with different ZnO QDs/TiO ₂ content151
Figure 6.7	The water contact angle measurements of (a) Bare LLDPE, (b) 2%- ZnO QDs/TiO ₂ @LLDPE, (c) 5%- ZnO QDs/TiO ₂ @LLDPE, (d) 8%- ZnO QDs/TiO ₂ @LLDPE and (e) 10%- ZnO QDs/TiO ₂ @LLDPE film photocatalyst153
Figure 6.8	The UV–Vis diffuse reflectance spectra of the as- synthesized bare LLDPE and LLDPE composite films with various amount of ZnO QDs/TiO ₂ 154
Figure 6.9	The adsorption in the dark and visible light-induced photocatalytic degradation of TC using LLDPE supported ZnO QDs/TiO ₂ nanocomposite film (a) and the rate curve based on the Langmuir–Hinshelwood kinetic model (b)
Figure 6.10	The effect of initial pH of the solution (a) and the rate curve based on the Langmuir–Hinshelwood kinetic model (b) ([TC] = 40 mg/L; pH 9; lamp power: 48 W)160
Figure 6.11	The effect of common anions on the TC removal using ZnO QDs/TiO ₂ @LLDPE film (film size = 4 × 4 cm, [TC] = 40 mg/L; pH 9; lamp power = 48 W)
Figure 6.12	The effect of common cations on the TC removal using ZnO QDs/TiO ₂ @LLDPE film (film size = 4 × 4 cm, [TC] = 40 mg/L; pH 9; lamp power = 48 W)

Figure 6.13	The effect of HA on the TC removal using ZnO QDs/TiO ₂ @LLDPE film (film size = 4×4 cm, [TC] = 40 mg/L; pH 9; lamp power = 48 W)
Figure 6.14	The effect of size of film photocatalyst on the photocatalytic degradation of TC ([TC] = 40 mg/L; pH 9; lamp power = 48 W)
Figure 6.15	The effect of (a) fluorescent light and (b) solar light on the degradation of TC ([TC] = 40 mg/L; pH = 9, film size = $4 \times 4 \text{ cm}^2$)
Figure 6.16	The effect of radical scavengers on the photodegradation of TC using ZnO QDs/TiO ₂ @LLDPE film photocatalyst ([TC] = 40 mg/L; pH 9; lamp power = 48 W)171
Figure 6.17	The proposed mechanism for the photocatalytic degradation of TC using 8%-ZnO QDs/TiO ₂ @LLDPE composite film under compact fluorescent light illumination
Figure 6.18	The photocatalytic mineralization of TC in the presence of LLDPE supported ZnO QDs/TiO ₂ nanocomposite photocatalysts
Figure 6.19	The recycle experiment for the photodegradation of TC using 8%-ZnO QDs/TiO ₂ @LLDPE film photocatalyst175
Figure 6.20	The FTIR spectra of 8%-ZnO QDs/TiO ₂ @LLDPE film photocatalyst before and after several cycles of photocatalytic reaction
Figure 6.21	The proposed photocatalytic degradation pathway of TC over 8%-ZnO QDs/TiO ₂ @LLDPE film photocatalyst178
Figure 6.22	The photocatalytic degradation of TC using suspended and immobilized ZnO QDs/TiO ₂ nanocomposite photocatalyst ([TC] = 40 mg/L, pH = 9, Lamp power = 48 W)180

LIST OF SCHEMES

Page

Scheme 5.1	The reaction of terephthalic acid and hydroxyl radical to form 2-hydroxyterephthalic acid.	128
Scheme 5.2	The reaction of NBT with superoxide radical to form NBT- Formazan.	130

LIST OF SYMBOLS

eV	Electron volt
g	Gram
μg	microgram(s)
h ⁺	Photogenerated hole
hv	Photonic energy
O_2^{\bullet} -	Superoxide radical
OH⁻	Hydroxide ion
OH•	Hydroxyl radical

LIST OF ABBREVIATIONS

AA	Ascorbic acid
AFM	Atomic Force Microscopy
AOP	Advanced oxidation process
BET	Brunauer-Emmett-Teller
BJH	Barret-Joyner-Halenda
СВ	Conduction band
DI	Deionized
DSC	Differential scanning calorimetric
e	Negatively charged electron
Eg	Bandgap
FESEM	Field emission scanning electron microscopy
FT-IR	Fourier transform infrared
h	Hour
НА	Humic acid
HRTEM	High resolution transmission electron microscopy
ICP-OES	Inductively coupled plasma optical emission spectrometry
IPA	Isopropanol
L	Liter(s)
LC/TOF/MS	Liquid chromatography/time-of-flight/mass spectrometry
LLDPE	Linear low-density polyethylene
М	Molar(s)
Max	Maximum
mg	Milligram(s)
Min	Minimum
min	Minute(s)

mM	Millimolar
mmole	Millimole(s)
NAD	Nitrogen adsorption-desorption analysis
ng	Nanogram(s)
nm	Nanometer(s)
NOM	Natural organic matter
NPs	Nanoparticles
PL	Photoluminescence
PZC	Point of zero charge
QCE	Quantum confinement effect
QDs	Quantum dots
RPM	Round per minute
TC	Tetracycline
TEA	Triethanolamine
TEM	Transmission electron microscopy
TEOS	Tetraethylorthosilicate
TGA	Thermogravimetric analysis
TOC	Total organic carbon
UV-Vis DRS	UV-Visible diffuse reflectance spectroscopy
UV-vis	Ultraviolet-visible
VB	Valence band
WWTP	Wastewater treatment plant
XPS	X-ray photoelectron spectroscopy
XRD	X-ray diffraction

LIST OF APPENDICES

- Appendix A Preparation of humic acid (HA) stock solution.
- Appendix B The calculations for the amount of the as-synthesized nanocomposite photocatalyst immobilized on the LLDPE
- Appendix C The FTIR spectra of soluble starch and 8%-ZnO QDs/TiO2 photocatalyst
- Appendix D Zn and Ti Calibration curves for ICPOES analysis
- Appendix E The tetracycline calibration curve for UV-vis absorbance spectroscopy analysis
- Appendix F The photograph of the as-synthesized nanocomposite photocatalysts.
- Appendix G The absorption spectral changes during photocatalytic degradation of TC using 8%-ZnO QDs/TiO2 photocatalyst under optimized conditions
- Appendix H The mass spectra of TC and its intermediates after 90 min degradation in the presence of 8%-ZnO QDs/TiO2 photocatalyst
- Appendix I The absorption spectral changes during photocatalytic degradation of TC using LLDPE supported ZnO QDs/TiO2 photocatalyst under optimized conditions.
- Appendix J The photograph of bare LLDPE and LLDPE composite films.
- Appendix K The mass spectra of TC and its intermediates before and after 180 min degradation in the presence of LLDPE supported ZnO QDs/TiO2 composite film.

DEGRADASI FOTOPEMANGKINAN TETRASIKLIN DALAM LARUTAN AKUEUS OLEH MANGKIN TERSOKONG TITIK KUANTUM ZnO/TiO₂

ABSTRAK

Dalam penyelidikan ini, nanopartikel TiO₂ (TiO₂ NPs) digabungkan dengan 3%, 5%, 8%, dan 10% ZnO QD melalui kaedah sol-gel. Fotopemangkin (xZnO QDs/TiO₂; x = 3%, 5%, 8% dan 10%) dicirikan menggunakan analisis pembelauan sinar-X (XRD), spektroskopi inframerah transformasi Fourier (FTIR), mikroskopi daya atom (AFM), mikroskopi penghantaran elektron beresolusi tinggi (HRTEM), mikroskopi pengimbasan medan pelepasan elektron (FESEM), spektroskopi pantulan difus ultra lembayung-nampak (UV-Vis DRS), Brunauer-Emmett-Teller (BET), spektroskopi fotoelektron sinar-X (XPS), dan fotolumisen (PL). Kecekapan fotopemangkin tersebut diuji dalam penguraian tetrasiklin (TC) di bawah sinaran cahaya nampak secara terampai. Kira-kira 95% penguraian TC dicapai dalam masa 90 minit di bawah sinaran cahaya nampak menggunakan 8%-ZnO QDs/TiO2, di bawah keadaan optimum: kepekatan TC = 40 mg/L, pH = 9, dos pemangkin = 250 mg/L. Fotopemangkin nanokomposit 8%-ZnO QDs/TiO₂ seterusnya dipegunkan pada polimer polietilena linear berketumpatan rendah (LLDPE) menggunakan kaedah Filem komposit dipegunkan dengan LLDPE (8%-ZnO tuangan pelarut. QDs/TiO₂@LLDPE), yang mengandungi jumlah nanokomposit ZnO QDs/TiO₂ yang berbeza (2, 5, 8, dan 10 wt.%) dicirikan dengan FTIR, XRD, FESEM, AFM, DSC, TGA, sudut sentuhan air, dan analisis UV-vis-DRS. Kajian struktur dan morfologi menunjukkan bahawa nanokomposit tersebar secara homogen dan melekat pada matriks LLDPE. Filem tersebut menunjukkan kekasaran permukaan yang lebih tinggi dan lebih hidrofilik berbanding dengan filem LLDPE yang tulen. Aktiviti fotopemangkinan filem tersebut dinilai dalam penguraian TC di bawah sinaran cahaya nampak. Penekanan diberikan pada pengaruh muatan pemangkin, pH larutan, saiz filem, sumber cahaya, kajian kebolehgunaan semula mangkin, serta pengaruh ion takorganik dan bahan organik semula jadi (NOM) terhadap degradasi TC. Kesemua fotopemangkin yang disintesis mempamerkan kinetik tertib pseudo-pertama dengan filem fotopemankin terbaik mempunyai kecekapan 89.45% dibawah sinaran cahaya nampak selama 180 minit. Selain itu, filem fotopemangkin dapat dipisahkan dan digunakan kembali dengan mudah beberapa kali, menunjukkan potensi yang besar untuk aplikasi praktikal dalam rawatan air sisa. Akhirnya, produk degradasi TC dikaji dengan analisis kromatografi cecair spektrometri jisim masa terbang (LC/TOF/MS). Berdasarkan perantaran yang telah dikenal pasti, laluan penguraian fotopemangkinan TC dicadangkan.

PHOTOCATALYTIC DEGRADATION OF TETRACYCLINE IN AQUEOUS SOLUTION BY ZnO QUANTUM DOTS/TiO₂ SUPPORTED CATALYSTS

ABSTRACT

In this research, TiO₂ nanoparticles (TiO₂ NPs) were incorporated with 3%, 5%, 8%, and 10% ZnO quantum dots (ZnO QDs) via sol-gel method. The photocatalyts (xZnO QDs/TiO₂; x= 3%, 5% 8% and 10%) were characterized using Xray diffraction (XRD), Fourier transform infrared spectroscopy (FTIR), atomic force microscopy (AFM), high resolution transmission electron microscopy (HRTEM), field emission scanning electron microscopy (FESEM), ultraviolet-visible diffuse reflectance spectroscopy (UV-vis DRS) Brunauer-Emmett-Teller (BET), X-ray photoelectron spectroscopy (XPS), and photoluminescence (PL) analyses. The synthesized photocatalysts' efficiency in suspended form was tested in the photodegradation of tetracycline (TC) under visible light irradiation. About 95% degradation of TC was achieved within 90 min of visible light illumination using 8%-ZnO QDs/TiO₂, under the optimized conditions: TC concentration = 40 mg/L, pH = 9, catalyst dosage = 250 mg/L. The 8%-ZnO QDs/TiO₂ nanocomposite photocatalyst was immobilized on linear low-density polyethylene (LLDPE) polymer using the solution casting method. The LLDPE supported composite films (ZnO QDs/TiO₂@LLDPE), each with a different amount of 8%-ZnO QDs/TiO₂ nanocomposite (2, 5, 8, and 10 wt.%) were characterized by FTIR, XRD, FESEM, UV-vis-DRS, AFM, water contact angle, differential scanning calorimetry (DSC), and thermogravimetric (TGA) analyses. Structural and morphological studies revealed that the nanocomposites were homogenously dispersed and embedded onto the LLDPE matrix. Moreover, the film photocatalysts exhibited higher surface roughness and enhanced hydrophilicity

compared to bare LLDPE film. The photocatalytic activity of the film photocatalysts was evaluated on the degradation of TC under visible light irradiation. Emphasis was placed on the effect of catalyst loading, solution pH, film size, light sources, catalyst reusability, as well as the effects of inorganic ions and natural organic matter (NOM) on the degradation of TC. All the synthesized photocatalysts exhibited pseudo-first-order kinetics with the best film photocatalyst had 89.45% efficiency after 180 min of visible light irradiation. Moreover, the as-synthesized film photocatalysts could be easily separated and reused for eight times, showing great potential for practical applications in environmental wastewater treatment. Finally, TC degradation products were studied by liquid chromatography/time of flight/mass spectrometry (LC/TOF/MS) analysis. Based on the identified intermediates, the photocatalytic degradation pathways of TC were proposed.

CHAPTER 1

INTRODUCTION

1.1 Occurrence of pharmaceuticals in the aquatic environment

Over the last few decades, the demand for pharmaceutical products, such as analgesics, antibiotics, anti-inflammatory, lipid regulators, beta-blockers, and tranquillizers have been increasing rapidly in developing countries (Couto *et al.*, 2019). These pharmaceutical products are essential to improve life quality and lifespan of human beings and animals (Li and Shi, 2016). Large portions of these pharmaceuticals are stable and difficult to be destroyed by traditional wastewater treatment techniques such as chlorination adsorption, ozonation and membrane processes (Lee *et al.*, 2019). As a result, many of these pharmaceuticals have continuously been encountered in various kinds of waters such as wastewater, surface water, drinking water, groundwater and in the soil, sediments and sludge (Xu *et al.*, 2020). The long-term and the low-dose exposures to pharmaceutical compounds in the environment lead to adverse effects on the terrestrial and aquatic organisms including chronic toxicity, endocrine disruption and the occurrence of antibiotic resistance bacteria (Wang *et al.*, 2019b, Xu *et al.*, 2020).

Among the pharmaceutical compounds present in the environment, special attention has been given to antibiotics. Antibiotics belong to a class of pharmaceuticals applied in the medication of infections in humans beings and other animals and to increase feed efficiency and improve the growth rate in livestock (Daghrir and Drogui, 2013). Annual consumption of antibiotics in the world was estimated in 2010 to be above 63,151 tons and project to rise by 67% by the year 2030 (Van Boeckel *et al.*, 2015). About 30% to 90% of the consumed antibiotics enter the ecosystem as a parent

compound or metabolites since they are rarely metabolized entirely in the body (Wang *et al.*, 2017a). Like any other pharmaceuticals, the occurrence of antibiotics in the aquatic environment has been associated with many negative consequences such as short-term and long-term toxicities, endocrine-disrupting effects, and the emergence of antibiotic resistance bacteria (Almasi *et al.*, 2016).

The antibiotics enter the ecosystem through urine and faeces of animals and humans, inappropriate disposal of the drugs, pharmaceutical production plants, wastewater and aquaculture (Felis et al., 2020). Conventional wastewater treatment plants (WWTPs) are not constructed for the removal of antibiotics (Hu et al., 2018). Subsequently, a variety of antibiotics are released repeatedly into the aquatic environment (Praveena et al., 2018, Ngigi et al., 2020). For instance, Hou et al., reported about 2.6 – 32.0 mg/L of tetracycline (TC) residues in the final effluent from WWTPs (Hou et al., 2016). Another study conducted in Putrajaya, Malaysia showed that several therapeutic classes of pharmaceuticals and personal care products were detected in tap water, in the range of 0.03 - 21.39 ng/L, with diclofenac having the highest concentration (21.39 ng/L), followed by triclosan (9.74 ng/L) and ciprofloxacin (8.69 ng/L) (Wee et al., 2020). Furthermore, Mahmood et al. (2019) analyzed the presence of three different antibiotics (amoxicillin, levofloxacin and ciprofloxacin) in potable water from two water treatment plants in Baghdad city. Their results confirmed the presence of all the target antibiotics in both raw and finished water samples of the treatment plants. Ciprofloxacin was detected in 11 out of 36 water samples, with the maximum concentration of $1.312 \mu g/L$ in the finished water. Levofloxacin was detected in 9 water samples, with the maximum concentration of 0.177 μ g/L. While amoxicillin was found in 1 sample at a concentration of 1.50 μ g/L (Mahmood et al., 2019). Some of the most commonly detected antibiotics and other

prescription drugs in surface water around the world were compiled by Quesada *et al.* (2019) as presented in Table 1.1.

Table 1.1Occurrence and concentrations of some pharmaceuticals in surface
water around the world published in the literature (Quesada *et.al.*,
2019).

Class of pharmaceuticals	Pharmaceuticals	Min (ng/L)	Max (ng/L)	Mean (ng/L)	Country
Analgesic and Anti- inflammatories	Acetaminophen	0	1561.00	445.00	India
		354.00	508.00	430.00	Mexico
		0	9822.00	209.00	UK
	Diclofenac	0	15.49	3.95	Malaysia
		258.00	352.00	313.00	Mexico
		25.13	51.24	33.56	Portugal
	Ibuprofen	184.00	284.00	231.00	Mexico
		0	2302.00	662.17	India
		-	6593.00	830.00	Spain
Antibiotics	Erythromycin	0	6.49	1.31	Bangladesh
		10.20	183.00	55.02	China
		0	263.00	-	UK
	Metronidazole	0.05	13.51	2.74	Bangladesh
		19.26	114.24	56.19	Malaysia
		108.00	502.00	299.00	Mexico
	Trimethoprim	0.40	52.10	12.35	China
		0.02	0.33	0.10	Sweden
Antidepressants	Diazepam	-	-	24.30	China
		0	305.00	55.50	India
		-	12.00	3.00	Spain
Antiepileptic	Carbamazepine	0	5.80	3.30	France
		0	1346.00	412.50	India
		0.9	9.39	-	USA

Beta-blockers	Atenolol	-	900.00	470.00	Spain
		4.00	10.00	7.00	Mexico
	Propranolol	0.18	8.47	-	Sweden
		0	64.90	11.66	UK
Anti- hyperglycemic	Metformin	0.20	121.40	34.73	China
		0.44	8.40	2.60	Sweden
		45.20	2595.00	677.00	UK
Stimulant	Caffeine	0	81.00	41.30	France
		0	2640.00	977.00	India
		16.27	36.00	30.83	Malaysia
		8.05	26.92	-	USA
Lipid regulators	Gemfibrozil	14.00	24.00	20.00	Mexico
		-	3735.00	77.00	Spain
		-	-	95.00	USA

Table 1.1 (Continued)

1.2 Problems statement

Modification of TiO₂ via coupling with semiconductor quantum dots (QDs) possesses its unique advantages, considering its efficiency in suppressing electronhole recombination and also increase the surface area of TiO₂, which enhances the efficiency of the photocatalytic process. As a common type of QDs, chalcogenide compound QDs, such as CdS QDs (Xu *et al.*, 2018), CdSe QDs (Chen et al., 2018b), and PbS QDs (Ikram *et al.*, 2016, Pathak *et al.*, 2018), have been employed as coupled semiconductors due to their ability to act as sensitizers or separation centres. However, the usage of heavy metal elements is a potential risk to water quality, because of the easy release of heavy metal ions from chalcogenide compounds during the undesired photocorrosion (Li *et al.*, 2019a, Aziz et al., 2019, Chen et al., 2020). Hence, it is imperative to develop a new catalyst devoid of the aforementioned hazardous properties. ZnO QDs exhibits potential for use as coupled semiconductors due to its non-toxicity, stability, low cost, easy acquisition and can increase the surface area of TiO₂, which enhances the efficiency of the photocatalytic process.

Many disadvantages of the use of photocatalyst in powder form have been noted. Such disadvantages include i) low light utilization efficiency of suspended photocatalyst, ii) difficulty and high cost in the separation and recovery of the catalyst from suspension, iii) aggregation of catalytic particles, especially at higher concentrations, and iv) possibility to cause adverse human health problems by the loose powder (Luo *et al.*, 2015, Teixeira *et al.*, 2016, Goutham *et al.*, 2019). To avoid the use of photocatalyst in powder form, several efforts have been made to immobilize photocatalyst on various substrates such as clay and ceramic (Kaur *et al.*, 2018, Yadav *et al.*, 2019), silica (Blanchard *et al.*, 2020), glass spheres (Cunha *et al.*, 2018), zeolite (Rangkooy *et al.*, 2019) and polymer (Reddy *et al.*, 2019). However, in most cases, the photocatalyst degrades the organic pollutants and the support material in which the photocatalyst are embedded. Hence, the choice of reliable support and its inertness is always of paramount importance.

The degradation and subsequent mineralization of antibiotics would produce carbon dioxide, water, and other nontoxic compounds as the final products. During the degradation process, antibiotics pollutant is attacked by the active species generated by the photocatalyst and oxidized into intermediates which could be more toxic than the final products. Therefore, it is necessary to know the intermediates formed during the photocatalytic degradation of antibiotics to ensure full conversion into harmless compounds.

1.3 Research objectives

Based on the aforementioned issues, the objectives of this research are:

- 1. To synthesize and characterize ZnO QDs, TiO₂ NPs and ZnO QDs/TiO₂ nanocomposites photocatalysts.
- 2. To prepare and characterize linear low density polyethylene (LLDPE) supported ZnO QDs/TiO₂ nanocomposites photocatalysts.
- To investigate the potential of the synthesized materials for the degradation of TC under visible light irradiation.
- 4. To identify the degradation products using liquid chromatography/time-of flight/mass spectrometry (LC/TOF/MS) analysis.
- 5. To establish plausible TC degradation pathways.

1.4 Thesis outline

The entire thesis is divided into seven chapters and organized as follows:

Chapter 1 provides a brief overview of the background information issues related to the presence and impact of pharmaceuticals in the environment, outlying the importance of removing these compounds from water. It emphasized on the problem statement, research objectives and the outline of this thesis.

Chapter 2 presents a comprehensive literature review concerning the advanced treatment technologies for the removal of pharmaceuticals with special emphases on heterogeneous photocatalysis. The chapter also discussed the mechanism of TiO_2 photocatalysis, as well as various approaches for the modification of TiO_2 . Furthermore, in this chapter, the advantages and limitations of catalyst immobilization techniques were discussed. Finally, the potential applications of these photocatalytic materials were explained.

Chapter 3 outlines the details about the materials and methods employed in this study. The principles of instrumental characterization methods were also discussed. Moreover, the methodology used to assess the photocatalytic degradation process was described.

Chapter 4 discussed the detailed characterizations of the as-synthesized nanocomposite photocatalysts through XRD, FTIR, AFM, SEM, TEM, HR-TEM, N₂-sorption analysis, ICP-OES, PL and XPS analyses.

Chapter 5 evaluated the photocatalytic activity of the as-prepared ZnO QDsTiO₂ nanocomposite photocatalysts on the degradation of TC. The different factors affecting TC degradation were assessed to determine the optimum conditions for the complete degradation of TC. Furthermore, the scavenging experiments and the main mechanism involved in the degradation of TC were discussed. Moreover, the reusability and the degree of mineralization of the as-synthesized ZnO QDs/TiO₂ nanocomposite photocatalyst on TC's degradation was evaluated. Finally, TC degradation intermediates were detected by LC/TOF/MS analysis, and plausible TC degradation pathways were proposed.

Chapter 6 discussed the detailed characterizations of the LLDPE supported ZnO QDs/TiO₂ composite films. The performance of the as-prepared LLDPE composite films on the degradation of TC was evaluated. Effects of some operational parameters such as initial pH, film size, light sources, inorganic ions, and natural organic matter (NOM) on the TC degradation by the LLDPE composite films were evaluated. Furthermore, the reusability and the TC degree of mineralization by the LLDPE composite films were evaluated and discussed. Finally, TC degradation intermediates were detected by LC/TOF/MS analysis, and plausible TC degradation pathways were proposed.

Chapter 7 concludes the overall research findings and makes some recommendations for future studies.

CHAPTER 2

LITERATURE REVIEW

2.1 Advanced treatment technologies for pharmaceuticals removal

During the past decade, various approaches such as ion exchange, adsorption, nanofiltration, reverse osmosis, ultrafiltration, ozonation, aerobic treatment, photo-Fenton, electrochemical oxidation, and photocatalysis have been employed to remove pharmaceuticals from aqueous media (Moreira et al., 2018, Lan et al., 2019, Mora-Gomez et al., 2019, Mahmoud et al., 2020, Zhou et al., 2020). Despite that these methods have shown some efficiency on the removal of antibiotics in aqueous solution, most of them have some limitations. Anaerobic biological treatment could not effectively remove antibiotics residue in wastewater due to its bacterial resistance. As a result, it leads to incomplete degradation of the antibiotics or its adsorption to sludge (Wu et al., 2020). Membrane processes like nanofiltration (NF) and reverse osmosis (RO) also have some limitations, such as membrane fouling and high energy consumption. Other problems of membrane processes include non-applicability in treating large volumes of pollutant and difficulty in safe disposable of the concentrated stream (de Andrade et al., 2018). Activated carbon adsorption using powdered activated carbon (PAC) and granular activated carbon (GAC) has been reported to be active in removing pharmaceuticals. However, these methods do not destroy pollutants but concentrating them and solely cause a phase transfer of contaminants, producing highly secondary waste pollutants which require further treatment (Cai et al., 2020). Moreover, the presence of natural organic matter (NOM) significantly decreases the adsorption process's efficiency. The NOM could compete with target compounds for active binding sites, leading to pore blockage. The extensively used thermal

regeneration of spent activated carbon is expensive and indirectly causes additional environmental pollution (Yao *et al.*, 2020).

Advanced Oxidation Processes (AOPs) are considered as the most effective technique for removing pharmaceuticals from wastewaters. AOPs degrade the pharmaceuticals into simpler or non-toxic compounds rather than concentrating or physically removing them as in adsorption and membrane processes. The AOPs are broadly defined as the techniques involving the generation of sufficient hydroxyl radicals ('OH) for water purification. AOPs do not generate wastes and can be applied as a pre-treatment process before the biological treatment, which can enhance the biodegradability of refractory compounds (Mirzaei *et al.*, 2017). Various types of water pollutants such as pesticides, pharmaceuticals and pathogens could be adequately treated by using AOPs. Prominent AOPs include Fenton, photo-Fenton, ozonation, sonolysis, UV and UV/H₂O₂ oxidation, electrochemical oxidation and photocatalysis. These can further be classified as homogeneous oxidation or heterogeneous oxidation processes.

The homogeneous oxidation process refers to the existence of both reactants and the photocatalysts in the same phase. The homogeneous oxidation generally refers to the reactants and the photocatalysts existing in the same phase. It can be classified into ozonation, Fenton and photo-Fenton reactions. In the ozonation process, strong oxidant ozone (O_3) is used, which decomposes in water, forming highly reactive 'OH. Ozonation is preferred in alkaline condition due to the increase in the production of 'OH at this condition. Combination of O_3 and peroxide (O_3/H_2O_2) or UV (O_3/UV) as shown in equations 1.1 and 1.2 have been reported to improve the efficiency of the ozonation process (Fernandes *et al.*, 2019). However, the short lifetime of O_3 cause the ozonation process to be costly, and its high-energy intensity has also been identified as a drawback. Besides, the ozonation process is suspected to generate carcinogenic and unregulated by-products such as bromate (BrO³⁻) (Reddy *et al.*, 2018).

$$H_2 O_2 + 2O_3 \to 20H^{\bullet} + 3O_2 \tag{2.1}$$

$$0_3 + hv + H_2 0 \to 20H^{\bullet} + O_2 \tag{2.2}$$

Fenton reaction involves generating hydroxyl radicals through the decomposition of H_2O_2 by Fe^{2+} ions present in the aqueous phase, as shown in equation (1.3). The catalyst can be recovered, as shown in equation (1.4) or by the reaction of Fe^{3+} with other intermediates (Zhang *et al.*, 2019b). The oxidation rate in Fenton reaction is affected by many factors such as pH value, the ratio of Fe^{2+} to H_2O_2 , and the amount of iron salt. Even though Fenton's reagents are simple and easy to apply without any energy input requirement, there are many problems associated with the process. Such problems include the risk of storage of H2O2, narrow working pH range, and iron sludge building, leading to secondary pollution (Jain *et al.*, 2018, Zhang *et al.*, 2019b).

$$Fe_{(aq)}^{2+} + H_2O_2 \rightarrow Fe_{(aq)}^{3+} + OH^- + HO^{\bullet}$$
 (2.3)

$$Fe_{(aq)}^{3+} + H_2O_2 \rightarrow Fe_{(aq)}^{2+} + HO_2^{\bullet} + H^+$$
 (2.4)

Photo-Fenton reaction is the combination of Fenton's process with UV light. In photo-Fenton process, the rate of hydroxyl formation enhances compared to Fenton reaction. The essence of the photo-Fenton process is to regenerate Fe^{2+} from Fe^{3+} using light energy (Zhang *et al.*, 2019b). The effectiveness of the photo-Fenton process in the degradation of pharmaceuticals has been reported by several researchers (Serpone *et al.*, 2017, Serna-Galvis *et al.*, 2017). However, some disadvantages, such as low utilization rate of light energy and requiring an additional separation step to remove iron species after treatment, limits its applications.

Heterogeneous photocatalysis can be defined as a type of photoreaction in which the reactant and the photocatalyst exist in different phases, and where reactions occur on the surface of the photocatalyst. The heterogeneous photocatalytic process has received increased attention recently as a result of its effectiveness in rapidly degrading organic pollutants into less toxic compounds. This type of photocatalysis will be described in detail in the next section.

2.2 Heterogeneous photocatalysis

Heterogeneous photocatalysis employs inorganic semiconductors, such as ZnO, Bi₂O₃, SnO₂, ZrO₂, MoS₂, CdS, WO₃ and TiO₂, which upon irradiation with light (hv) of energy equal or greater than the bandgap energy of the semiconductor can create electron/hole pairs (e⁻/h⁺). Hence, the electrons are excited from the filled valence band (VB) to the empty conduction band (CB) of the semiconductor, leaving a positive hole in the valence band (Li and Shi, 2016). Some electrons and holes can migrate to the surface of the semiconductor without recombination and commence redox reactions with water and the oxygen adsorbed on the photocatalyst surface, resulting in the degradation and subsequent mineralization of pollutants, as schematically presented in Figure 2.1



Figure 2.1 The schematic diagram of a semiconductor photocatalytic degradation (Li and Shi, 2016).

Generally, the heterogeneous photocatalytic process consists of four successive steps, namely, (i) light-harvesting, (ii) photogeneration of charges, (iii) charge transfer and recombination, and (iv) oxidation-reduction reactions. It is pertinent to know that a decrease in the fractional performance at any step might cause a reduction in the overall efficiency of the photocatalytic process. For instance, higher light reflection is expected if the surface of the photocatalyst is even and smooth, which is disadvantageous for light-harvesting and pollutant absorption. Highly uneven and porous photocatalysts allow the multiple reflections and scattering of light within the interiors of cavities and inside their pore channels, resulting in the enhancement of light utilization and generating more photoexcited e⁻/h⁺ pairs to enhance the photocatalyst surface is another important aspect hindering photocatalytic process enhancement. The fast recombination of charge carriers is among the most critical and challenging aspect of heterogeneous photocatalysis. Lastly, significant

agglomeration of nanostructured particles leads to a low specific surface area, which reduces the rate of reduction and oxidation processes. It further increases the diffusion boundaries of the reagents. All these are disadvantageous for the improvement of the photocatalytic process.

On the other hand, for the photodegradation of organic pollutants in aqueous solutions, the enhanced rate of adsorption and diffusion of reactants in a porous photocatalyst might still significantly enhance the efficiency of the photocatalyst due to the decrease in mass-transport boundaries and a higher rate of activation of the adsorbed species. All the factors as mentioned earlier in the heterogeneous photocatalytic process have been demonstrated to control the photocatalysts' general performance significantly. Regarding their usage practically, these factors must be handled skillfully to enhance the photocatalytic process's efficiency (Li *et al.*, 2016).

From a thermodynamic perspective, the photocatalytic redox reactions are driven by the photoinduced e^- and h^+ , respectively, provided their reduction and oxidation potentials fall between the CB and the VB potentials. The energy of the conduction band edge (E_{CB}) corresponds to the potential of the photogenerated electrons, while the energy of the valence band (E_{VB}) corresponds to the potential of the potential of the holes. If E_{CB} is more negative than the potential of a species presents in solution, electrons reaching the surface of the semiconductor can reduce the oxidized form of the redox couple. On the other hand, if the valence band's potential is more positive than that of the redox couple, photogenerated holes can oxidize its reduced form. Thus, the knowledge of the relative edge positions of the bands and that of the energetic levels of the reactions with the photogenerated species on the catalyst surface, to generate reactive species which degrade the organic contaminants. The redox

potentials of different species at pH = 7, are given in Figure 2.2. The potentials of the VB and theCB edges of a semiconductor can be calculated using Mulliken electronegativity theory as shown in equations 1.5 and 1.6 (Suyana *et al.*, 2017).

$$E_{VB} = X - E^e + 0.5Eg (2.5)$$

$$E_{CB} = E_{VB} - Eg \tag{2.6}$$

Where, E_{VB} is the valence band edge potential, E_{CB} is the conduction band potential, Eg is the bandgap of the semiconductor, E^e is the energy of free electrons on the hydrogen scale (~4.5 eV) and X is the electronegativity of the semiconductor, obtained by summing the electronegativity of each atom in the semiconductor. Thus, the more negative CB positions of a photocatalyst are favourable for the reduction reactions, while the more positive VB positions of semiconductors are beneficial for oxidation reactions.



Figure 2.2 The redox potentials of different species in heterogeneous photocatalysis (Li *et al.*, 2016).

Numerous semiconductors such as PbS, CdS, ZnS, WO₃, SnO₂, and ZnO have been tested for heterogeneous photocatalysis, especially for environmental remediation. However, titanium dioxide (TiO₂) is the most widely used semiconductor due to its outstanding properties such as stability, non-toxicity, and inexpensive and inertness, and therefore, it is generally considered the best photocatalyst material.

2.2.1 Titanium dioxide (TiO₂) as photocatalyst

TiO₂ is a metal oxide with a molecular weight of 79.90 g mol⁻¹ and classified as non-hazardous by the United Nation (UN) Globally Harmonized System (GHS) of Classification and Labelling of Chemicals (Abdullah and Kamarudin, 2015). Amongst all the available photocatalysts, TiO₂ has been investigated most extensively.

TiO₂ possess three crystalline polymorphs in nature, namely anatase, rutile and brookite (Figure 2.3) (Tran *et al.*, 2017); their structures are shown in Figure 1.3. All three polymorphs are composed of a titanium atom surrounded by six oxygen atoms in a more or less distorted octahedral configuration. The overall variation in the linking style of this TiO₆ is the fundamental difference between these three polymorphs. This connections pattern usually determines the crystal structure, surface structure, and electronic structure of TiO₂ photocatalyst materials. For instance, the differences in lattice structures of anatase and rutile TiO₂ cause different densities and electronic band structures, leading to different band gaps for bulk materials: anatase 3.20 eV and rutile 3.02 eV. Therefore, pure TiO₂ is primarily active under UV light. Amongst these three forms, rutile is the most stable phase of TiO₂, followed by anatase and brookite, which are metastable and can be transformed to rutile when heated at temperatures between 550 °C and 1000 °C (Reyes-Coronado *et al.*, 2008). Anatase can exhibit higher photocatalytic activity than rutile or brookite, due to its efficiency in light-harvesting and prolong the lifetimes of charge carriers, slightly higher Fermi level. Another reason is that anatase has a higher degree of hydroxylation (i.e., number of hydroxyl groups on the surface) (Ma *et al.*, 2016). On the other hand, the rutile phase suffers from weaker adsorption of organic pollutants and faster recombination of electronhole pairs, while brookite is challenging to synthesize (Hezam *et al.*, 2019). In recent years, some studies revealed superior photocatalytic activity of mixed-phase TiO₂, such as anatase-rutile (Wang *et al.*, 2018d). The superior photocatalytic activity of this mixed-phase TiO₂ results from the effective transfer of photo-generated electrons from the CB of anatase to that of rutile, favoring electron-hole separation.



Figure 2.3 The crystal structures of (a) rutile (b) anatase and (c) brookite (Mohamad *et al.*, 2015).

2.2.2 Mechanism of TiO₂ photocatalysis

When the light of energy equal to or higher than the bandgap energy of TiO_2 , the electron/hole pair is generated. The absorption of a photon excites an electron to the CB (e_{CB}^{-}) generating a positive hole in the VB (h_{VB}^{+}) (equation 2.7). As these photogenerated electrons and holes are unstable, most of them recombine and release energy as heat (equation 2.8), limiting the application of TiO₂ catalyst.

$$TiO_2 + hv \to TiO_2(h_{VB}^+ + e_{CB}^-)$$
 (2.7)

$$h_{VB}^{+} + e_{CB}^{-} \rightarrow heat \tag{2.8}$$

The photogenerated holes in the valence band can oxidize water adsorbed at the surface of the TiO_2 to produce hydroxyl radical ('OH) (equation 2.9), which are highly reactive towards organic molecules. The hydroxyl radicals can subsequently oxidize organic species with mineralization producing carbon dioxide and water (equation 2.10).

$$H_2 0 + h_{VB}^+ \to 0 H^{\bullet} + H^+$$
 (2.9)

$$OH^{\bullet} + pollutant \rightarrow H_2O + CO_2 \tag{2.10}$$

The photogenerated electrons in the conduction band can rapidly be trapped by molecular oxygen adsorbed on the titania particle, which is reduced to form superoxide radical anion (O_2^{-}) (equation 2.11). The superoxide radical anion formed may further react with H⁺ to generate hydroperoxyl radical ('OOH) (equation 2.12), which also reduced to H₂O₂ (equation 2.13). Any of these reactive oxygen species may contribute to the oxidative pathways such as the degradation of organic pollutant (equations 2.14 and 2.15).

$$O_2 + e_{CB}^- \to O_2^{\bullet-}$$
 (2.11)

$$0_2^{\bullet-} + H^+ \to H00^{\bullet} \tag{2.12}$$

$$H00^{\bullet} + H00^{\bullet} \to H_2 O_2 + O_2$$
 (2.13)

 $O_2^{\bullet-} + pollutant \rightarrow H_2O + CO_2 \tag{2.14}$

$$H00^{\bullet} + pollutant \rightarrow H_20 + CO_2 \tag{2.15}$$

The appropriate energy band alignment of TiO_2 will insure the concurrent generation of reactive species such as 'OH, O_2^{-} h⁺ and H_2O_2 , which can degrade organic pollutants into various intermediates. These intermediates then go through a series of degradation processes and could result in complete mineralization forming carbon dioxide and water. The overall mechanism of TiO_2 photocatalysis can be described by equation 2.16.

$$Pollutant + TiO_2 + hv \rightarrow intermediates \rightarrow H_2O + CO_2$$
(2.16)

The results of photocatalytic degradation reactions are mostly presented in terms of the decrease in the concentration of the pollutant as a function of time, in the presence of the photocatalyst under UV or visible light irradiation.

2.2.3 Kinetics of TiO₂ photocatalytic degradation

Previous studies have suggested that the pseudo-first-order kinetics model is best employed to describe the photocatalytic degradation of different organic compounds, especially antibiotics (Safari *et al.*, 2015). In general, the rate of heterogeneous catalytic reactions is explained under the conditions of the Langmuir-Hinshelwood (L-H) kinetic model (Eslami *et al.*, 2016) as follows:

$$r = -\frac{dc}{dt} = \frac{kKC}{(1+KC)} \tag{2.17}$$

where,

- r = initial rate of photooxidation
- C = concentration of organic compound

T = irradiation time

k = rate constant

K = adsorption coefficient of the organic compound.

For solutions with a very low concentration (e.g., antibiotics in water) K<< 1, the L-H equation is simplified into pseudo-first-order kinetics as follows:

$$-\frac{dC}{dt} = kC \tag{2.18}$$

$$ln\left(\frac{c}{c_0}\right) = -kt \tag{2.19}$$

Where,

 $k = reaction rate (min^{-1})$

t = irradiation time (min)

 C_0 = initial concentration of the antibiotic (mg/L)

C = final concentrations of the antibiotic (mg/L).

A plot of $\ln C_0/C$ versus time will give a straight line with a slope of k.

2.3 Modification of TiO₂ photocatalyst

Recombination of photogenerated charge carriers and the inability to utilize visible light are the major limitations in TiO_2 photocatalysis as it reduces the overall quantum efficiency (Huang *et al.*, 2016). Various approaches have been suggested to enhance the electron-hole separation and migration to improve the efficiency of TiO_2 photocatalysis. The approaches can be categorized as either morphological modifications, such as reducing particles size to nanoscale and porosity or as chemical modifications, by incorporating additional components in the TiO_2 structure. Therefore, the bandgap of catalyst can decrease, or recombination of photogenerated electron/hole pairs can prevent effectively.

2.3.1 Doping of metals and non-metals

Doping refers to the introduction of impurities (dopants), which are usually ions or atoms, into the interior structures of TiO_2 photocatalyst. Depending on different dopants, doping can be classified as metal doping or non-metal doping. The dopant species are usually fused into the lattice structure of TiO_2 to decrease the bandgap value by either lowering the upper CB edge or raising the lower VB edge, which sensitizes the TiO_2 to visible light active.

Modifications of TiO₂ with metal dopants which may be anions, cations, or transition metals ions have stretched out the spectral response of TiO₂ into the visible region and also improving its photocatalytic efficiency (Basavarajappa *et al.*, 2020). For instance, For instance, when metal ions enter the TiO₂ lattice, impurity levels forms in the band gap of TiO₂, which will narrow the band gap of TiO₂ and enhance the light absorption range . Moreover, metal ion doping affects the photocatalytic activity of TiO₂ by acting as a sink for the photogenerated charge carriers, hence reducing the recombination rate (Li and Shi, 2016).

Doping of TiO₂ with non-metal elements with high electronegativities and high ionization energies like boron, carbon, nitrogen, sulfur, fluorine and chlorine is a practical approach to enhance the visible light photoactivity, due to the bandgap narrowing and the shift of absorption edge (Huang *et al.*, 2016). The basic idea is that the non-metal dopants influence the VB through interaction with the O 2p electrons. The localized states or *p* states of non-metal dopants generally form the impurity levels above VB, which extends the optical absorption edge of TiO₂. Non-metal ions are less likely to form recombination centres when compared with metal ions. Therefore, non-metal dopants will not consume the photogenerated charges and are thus, more

effective for improving photocatalytic activity (Li and Shi, 2016). For instance, the occurrence of surplus of either electrons or holes is likely to reduce the amount of photogenerated charges carriers; hence, decrease the efficiency of the photocatalyst, which is one of the major disadvantages of metal doping (Li and Shi, 2016). Other drawbacks associated with metal doping is that transition metals may lead to partial blockage of TiO₂ pores or causes particles growth, which would decrease the specific surface area of TiO₂ and, finally, the photocatalytic degradation performance (Shayegan *et al.*, 2018). The high cost of noble metal is another disadvantage of metal loading. Moreover, it is inevitable for the metal ion dopants to dissolve in the solution, which may be more toxic than the pollutant substrates (Gong *et al.*, 2018). On the other hand, non-metal doping has some disadvantages. For instance, doping non-metal into the TiO₂ lattice causes oxygen vacancies, becoming a significant recombination centre for charge carriers. Additionally, the doping procedure of non-metal dopants requires high temperatures thermal treatment or a long preparation time requiring high energy (Shayegan *et al.*, 2018).

2.3.2 Semiconductor coupling

Compared to doping, the semiconductors heterojunction system has attracted great attention. The system is an effective strategy to separate e^{-/h^+} into different semiconductors, thereby enhancing the photocatalytic performance (Li and Shi, 2016). Depending on the electronic affinity and relative band gaps, semiconductors heterojunction can be categorized into three groups presented as type I, type II, and type III heterojunctions, as shown in Figure 2.4.

In the case of type-I heterojunction, photogenerated charges in both semiconductors accumulate in the same semiconductor with a narrower bandgap. Hence, no enhancement in charge separation can be expected. For type-II heterojunction, the staggering energy band arrangement makes the flow of charge carriers possible at the two semiconductors' interface, thus facilitating electron/hole separation. While in type-III heterojunction, the more apparent difference in VB and CB positions requires an extensive amount of energy for photoinduced charge transfer. Hence, type-II heterojunction is believed to exhibit the most potential in attaining the best photocatalytic efficiency as the alignment can adequately inhibit the electrons and holes recombination.



Figure 2.4 The schematic energy band diagram of three types of semiconductor heterojunctions (Humayun *et al.*, 2018).

Recently, numerous studies have directed on the development of type-II heterojunction photocatalyst (Humayun *et al.*, 2018). The following discussions will focus on the type-II heterojunctions unless otherwise stated. Depending on the movement of charge carriers as well as energy bands, type-II heterojunction comprises of three types of mechanisms, namely, conventional heterojunction, p-n heterojunction, and Z-scheme heterojunction.

2.3.2(a) Conventional heterojunction

In a conventional heterojunction, the photogenerated electrons transfer from semiconductor A to semiconductor B, due to the more negative CB position of semiconductor A. Concurrently, due to the more positive VB position of semiconductor B, photogenerated holes can transfer from semiconductor B to semiconductor A, resulting in efficient charge separation. Two possible cases could occur when coupled semiconductors are irradiated with light. In the first case, both semiconductors A and B responds to light irradiation, generating electrons and holes. A vectorial migration of electrons and holes from one semiconductor to the other occurs. In the second case, only semiconductor (B) is activated while semiconductor (A) is non-activated. Semiconductor A not activated because the light irradiated is insufficient to activate it. The photogenerated e⁻/h⁺ pairs are only generated in the semiconductor (B), the electron is migrated to the CB of semiconductor A, while the photogenerated h⁺ remains in the VB of semiconductor (B), as shown in Figure 2.5 (ac). However, in both cases, electrons and holes will be effectively separated in different semiconductors, leading to better photocatalytic performance. Van et al., synthesized SnO₂/TiO₂ heterojunction photocatalyst system via the one-step hydrothermal method, and the photoactivity of the catalysts was tasted towards the degradation of methylene blue (MB) under simulated sunlight illumination. The SnO₂/TiO₂ heterojunction photocatalysts displayed significantly improved photocatalytic performance when compared with pure SnO₂ and TiO₂. The successful formation of heterojunction did not only enhance the separation of the photogenerated charge, but also a two-fold increase in the specific surface area of SnO₂/TiO₂ compared with pristine TiO₂ was obtained, resulting in efficient degradation of MB (Van Viet et al., 2018).



Figure 2.5 The transfer of photogenerated carriers in conventional heterojunction when both semiconductors are excited (a), only one semiconductor is excited (b) and (c).

2.3.2(b) p-n Heterojunction

Despite that the conventional heterojunctions can spatially separate the photogenerated e^-/h^+ pairs, the attained improvement in the e^-/h^+ pair separation is not enough to overcome the very fast e^-/h^+ pair recombination in the semiconductor. Hence, a p–n heterojunction photocatalyst system was introduced, which can accelerate the e^-/h^+ pair transfer across the heterojunction by providing an additional electric field (Zhang *et al.*, 2017). The p-n heterojunction system is usually formed between two types of semiconductors, p-type and n-type. Generally, prior to light irradiation, the electrons on the n-type semiconductor near the p–n interface tend to diffuse into the p-type semiconductor, leaving a positively charged species. Meanwhile, the p-type semiconductor holes near the p–n interface tend to diffuse into the n-type semiconductor holes near the p–n interface. The electron-hole diffusion will continue until the Fermi level equilibrium is achieved. As a result, the region close to the p–n interface is charged, generating an internal electric field as