GENE EXPRESSION PROFILE AND MODULATION OF GENETIC PATHWAYS IN ACUTE MYELOID LEUKAEMIA T(8;21)

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UNIVERSITI SAINS MALAYSIA

2013

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by

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Thesis submitted in fulfillment of the requirements for the degree of Doctor of Philosophy

June 2013

ACKNOWLEDGEMENTS

With great pleasure I would like to express my gratitude to all who have contributed to this thesis. First and foremost I would like to thank Allah Almighty for his immense blessings and help provided to me throughout my life.

I thought it is enough to say thank you to someone helped me during my life. However, I believe now that a lifetime is not enough to thank my supervisors AP Dr. Narazah Mohd Yusoff, Prof. Mohd Saifulaman Mohd Said, and AP Dr. Rosline Hassan for believing in me even in times that I am unwilling to believe in myself. I would have been lost without all of you. Your patience, motivation, guidance, enthusiasm, and immense knowledge helped me in all the time of research and writing of this thesis. I could not have imagined having better advisors and mentors for my PhD study.

I am heartily thankful to Prof. Olaf Heidenreich at Newcastle University (UK) for his scientific advice, suggestions, knowledge and many insightful discussions through this project. I was lucky to have this collaboration.

Besides my advisors, I would like in advance to thank the rest of my thesis committee, for their encouragement, insightful comments, and hard questions.

I take this opportunity to sincerely acknowledge University Sains Malaysia (USM), Research Creativity and Management Office (RCMO), Institute of Postgraduate Studies (IPS), and Advanced Medical and Dental Institute (AMDI) for their generosity and providing several grants to accomplish this work. I also acknowledge Hodiedah University and my country Yemen for supporting my scholarship.

To the staff of IPPT, I am grateful for the chance to be a part of the lab. Thank you for welcoming me as a friend and helping me during the last five years.

I expand my thanks to the staff and students colleagues of regenerative medicine cluster specially Rohani Bakar, Faizatul Syima, Fatimah Azlina, Mohamad Basir, Abdullah Al-Alimi, Omar Saeed, Saleh Ben Amer, Emmanuel Jairaj, and Asmida Isa for providing a stimulating and fun environment. My sincere gratitude also goes to Dr. Faisal Al-Hassan for helping me during the flow cytometry experiments.

I would like to thank the staff of immunology and infectious disease clusters for allowing me to use flow cytometry and electroporator machines.

I also thank my friends at USM and UKM especially Ahmed Alrifai, Osamah Alqershi, Omar Aljunaidi, Mohamed Baobeed, Ahmed Ismael and Abdullah alwajeeh for encouraging me throughout my study.

I owe my deepest gratitude to my Bachelor and Master colleagues Nashwan Nashir, Hisham Mahmoud, Mohammed Al-Attas, Mazen Alnoom, and Khaled Radman for all their support, help and for the nice time we spent together.

If I did not mention someone's name here, it does not mean that I do not acknowledge your support and help. Again, I would like to thank everyone who supported and helped me during my PhD study.

Lastly, and most importantly, I wish to thank my parents, my wife, my daughter, my son, my brother, and my sisters. To my parents, you raised me, supported me, taught me, and loved me; I owe you all my achievements, small and big victories. To my wife, I hope to be able to give you back all your love, tenderness and care that you shared with me. To my daughter Hala and my son Ahmed, I love you. To my brother and sisters, thank you for showing an excellent example of how brotherhood/sisterhood should be.

To you I dedicate this thesis.

Salem Ali Al-Salem Bashanfer

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LIST OF ABBREVIATIONS

% Percent

± Plus minus

 \geq More and equal to

↑ Increased

↓ Decreased

OC Degree celsius

Delta delta threshold cycle
1,25 D
1,25-dihydroxy vitamin D

5-Aza-CdR 5-aza 2' deoxycytidine

5-Aza-CR 5 azacytidine

AIF Apoptosis inducing factor

AKT/PKB Protein kinase B

AML Acute myelogenous leukaemia

AML-M2 Acute myeloid leukaemia subtype 2

ANLL Acute non-lymphoid leukaemia

ANOVA Analysis of variance

APL Acute promyelocytic leukaemia

ara-C Cytarabine

ARRB1 Arrestin beta 1
ARRB2 Arrestin beta 2
AS2O3 Arsenic trioxide

ATCC American type culture collection

ATRA All trans retinoic acid
B2M Beta-2-microglobulin

BAX BCL2-associated X protein

Bcl-2 B-cell CLL/lymphoma 2

BIRC2 Baculoviral IAP repeat containing 2

BP Biological processes

BSA Bovine serum albumin

BTZ Bortezomib

bZIP Basic leucine zipper

C/EBP CAAT/enhancer binding protein

CALGB Cancer and Leukaemia Group B

CAMs Cell adhesion molecules

CASP Caspase

CBF Core binding factor
CC Cellular components

CD Cluster of differentiation CDC42 Cell division cycle 42

CDK Cyclin dependent kinase

CDKN1A Cyclin-dependent kinase inhibitor 1A CDKN2C Cyclin-dependent kinase inhibitor 2C

cDNA Complementary DNA

CML Chronic myeloid leukaemia

c-Myc V-myc myelocytomatosis viral oncogene homolog

CO2 Carbon dioxide

CR Complete remission
cRNA Complementary RNA

CSF3R Colony stimulating factor 3 receptor

CT Threshold cycle

CTL Cytotoxic T lymphocyte
CV Coefficient of variance

CY Cyclophosphamide

DAPK Death-associated protein kinase

DAPK3 Death-associated protein kinase 3

DAVID Database for Annotation, Visualization and Integrated Discovery

DFS Disease free survival

DIABLO Direct IAP Binding protein with low pl

DISC Death-inducing signalling complex

DNA Deoxyribonucleic acid

dNTP Deoxyribonucleotide triphosphate

dsRNA Double-stranded RNA

DUSP2 Dual specificity phosphatase 2
DUSP6 Dual specificity phosphatase 6

EGF Epidermal growth factor

ERK Extracellular signal regulated kinase

ETO Eight twenty one

EVI-1 Ecotropic viral integration 1

FAB French American British

FACS Fluorescence activated cell sorter

FADD Fas-associated death domain

FasL Fas ligands

FBS Fetal bovine serum

FCGR1A Fc fragment of IgG, high affinity Ia, receptor

FDA Food and drug administration

FDR False discovery rate

FGF Fibroblast growth factors

FISH Fluorescence in situ hybridization

FLT3 FMS-like tyrosine kinase 3

G6PD Glucose-6-phosphate dehydrogenase

GADD45A Growth arrest and DNA-damage-inducible, alpha

G-CSF Granulocyte colony stimulating factor

GEF Guanine exchange factor
GeXP Gene Expression Profiler

GFI1 Growth factor independence 1

GM-CSF Granulocyte macrophage colony stimulating factor

GO Gene ontology

GS Gel stain

HAT Histone acetyltransferase

HCB Humidity control buffer

HDAC Histone deacetylases

HGF Hepatocyte growth factor

HIF1A Hypoxia inducible factor

HLA Major histocompatibility complex

HOX Homeobox

H-RAS V-Ha-ras Harvey rat sarcoma viral oncogene homolog

HSC Hematopoietic stem cell

HSPA6 Heat shock 70kDa protein 6

HtrA2 High temperature requirement protein A

HYB Hybridization buffer
IAP Inhibitor of apoptosis

IL InterleukinIL8 Interleukin 8

IRAK IL-1R associated kinase

ITD Internal tandem duplication

JAK-STAT Janus kinases/signal transducers and activators of transcription

JK Juxtamembrane

JNK C-Jun NH2-terminal kinase

KANr Internal control gene

KEGG Kyoto encyclopedia of genes and genomes

KLH Keyhole limpet hemocyanin

K-RAS V-Ki-ras2 Kirsten rat sarcoma viral oncogene homolog

LAAs Leukaemia associated antigens

LSC Leukaemic stem cells

Lys-Thr-Ser KTS tripeptide

MAML1 Mastermind-like-1

MAPK Mitogen activated protein kinases

MAPK1 Mitogen-activated protein kinase 1

MAPK14 Mitogen-activated protein kinase 14

MAPKK MAP kinase kinase

MAPKKK MAP kinase kinase kinase

MCL-1 Myeloid cell leukaemia sequence 1

M-CSF Macrophage colony-stimulating factor

MDR Minimal Residual Disease

MDS Myelodysplastic

MEK MAP kinase kinase

MF Molecular function

MIAME Minimal information about a microarray experiment

MLL Mixed Lineage Leukaemia

MMPs Matrix metalloproteases

mRNA Messenger RNA

mSin3 Mammalian Sin3

MTS (4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-

sulfophenyl)-2H-tetrazolium

MYD88 Myeloid differentiation primary response gene 88

NCoR Nuclear receptor co-repressor

NF1 Neurofibromatosis1

NF-κB Nuclear factor kappa light chain enhancer of activated B cells

NGF Nerve growth factor

nM Nanomolar

NOD-SCID Non-obese diabetic severe combined immunodeficient

N-RAS Neuroblastoma RAS viral (v-ras) oncogene homolog

P53 Tumor protein p53

PBS Phosphate buffered saline

PCA Principal Component Analysis

PDGF Platelet derived growth factor

PEG-rHuMGDF Pegylated recombinant human megakaryocyte growth and

development factor

PGAM4 Phosphoglycerate mutase family member 4

PGE2 Prostaglandin E2

PI3K Phosphoinositide 3-kinase

PKA Protein kinase A

PKCA Protein kinase C agonists
PMA Phorbol myristate acetate
PRKCβ1 Protein kinase C beta 1

RA Retinoic acid

RAF V-raf-1 murine leukaemia viral oncogene homolog

RARa Retinoic acid receptor, alpha

RAS Rat sarcoma

RBL2 Retinoblastoma-like 2

RCF Relative centrifugal force

RELA V-rel reticuloendotheliosis viral oncogene homolog A (avian)

RHD REL homology domains

rhTPO Recombinant human TPO

RIN RNA integrity number

RNA Ribonucleic acid
RNAi RNA interference

ROS Reactive oxygen species
RPM Revolutions per minute

RPS6KA3 Ribosomal protein S6 kinase, 90kDa, polypeptide 3

RQI RNA quality indicator
RT Reverse transcriptase

RTKs Receptor tyrosine kinases

RT-qPCR Quantitative reverse transcription polymerase chain reactions

RUNX1 Runt-related transcription factor-1

RUNXT1 Runt-related transcription factor 1; translocated to 1 cyclin D-

related

SCF Stem cell factor

SCID Severe combined immunodeficiency

shRNA Small hairpin RNA

siRNA Small interfering RNA

Smac Second mitochondria-derived activator of caspase

SMMHC Smooth muscle myosin heavy chain

SON SON DNA binding protein

SPI1 Spleen focus forming virus (SFFV) proviral integration

oncogene spi1

SPSS Statistical Package for the Social Sciences

STAT Signal transducers and activators of transcription

TAD Transactivation domains

TBI Total body irradiation

TCF/LEF T-cell factor/lymphocyte enhancer-binding factor

TCF7L2 Transcription factor 7-like 2
TGF Transforming growth factor

TGF-β Transforming growth factor beta 1

TK Tyrosine kinase
TKT Transketolase

TLR Toll-like receptor

TNF Tumor necrosis factor

TNFα Tumor necrosis factor alpha

TPO Thrombopoietin

TRADD TNF receptor-associated death domain

TRAF2 TNF receptor associated factor 2

TRAIL TNF-related apoptosis inducing ligand

μl Microliters

WHO World Health Organization

Wif-1 Wnt inhibitory factors-1

Wnt Wingless-type MMTV integration site family

WTl Wilms' tumor 1

PROFIL EKSPRESSI GEN DAN MODULASI LALUAN GENETIK DALAM LEUKEMIA MEILOID AKUT T(8;21)

ABSTRAK

Kebanyakan model *in vivo* dan *in vitro* telah digunakan secara meluas dalam penyelidikan untuk mengkaji analisis laluan, sasaran dan penemuan gen. Lini sel seperti Kasumi-1 dan SKNO-1 digunakan untuk mengkaji mekanisme t(8;21) AML1/MTG8 dalam leukemia mieloid akut subjenis-2. Namun demikian, kadang kala keputusan yang diperoleh daripada kajian *in vitro* dan *in vivo* tidak sama atau tidak sah apabila diaplikasikan pada pesakit.

Matlamat utama kajian ini adalah untuk mengkaji persamaan dan perbezaan yang terdapat pada profil ekspresi gen daripada sampel pesakit AML t(8;21) serta lini sel Kasumi-1 dan SKNO-1 dibandingkan dengan sel stem CD34 yang normal.

Analisis permulaan menunjukkan bahawa 34,073 gen diekspresi secara berbeza pada pesakit dan lini sel apabila dibandingkan dengan kawalan. Pekali korelasi Spearman Rho yang diperoleh di adalah sebanyak 0.451. Terdapat 6,092 gen yang bertindan (3,297 upregulated) dan (2.795 downregulated) diantara pesakit dan lini sel. Pekali korelasi Spearman Rho yang diperoleh untuk pebandingan ini adalah sebanyak 0.826.

Oleh itu, gen bertindan dipilih untuk analisis selanjutnya. Analisis itu merangkumi pengklusteran berhierarki, ontologi gen, pengklusteran anotasi fungsian dan analisis laluan menggunakan dua perisian/sofwer yang berbeza (GeneSpring v11.5 dan DAVID v6.7). Tujuan analisis ini adalah untuk mengenal pasti fungsi gen tersebut dan laluan yang terlibat.

Dua teknik pengesahan (GeXP dan RT-qPCR) digunakan untuk mengesahkan keputusan mikrosusunan. Kedua-dua teknik ini menunjukkan kesetujuan yang kuat.

Di samping itu, gen-gen yang bertindan dinilai dan beberapa contoh diberikan bagi sepuluh (10) hallmark kanser daripada cadangan Hanahan dan Weinberg, yang antaranya: kecukupan-diri dalam isyarat pertumbuhan, ketidakpekaan terhadap isyarat antipertumbuhan, pengelakan apoptosis, potensi replikasi yang terbatas, angiogenesis lestari, metastasis dan serangan / invasi tisu, laluan metabolik tidak normal, pengelakan sistem imum, inflamasi, dan ketidakstabilan kromosom dan mutasi.

Berdasarkan keputusan mikrosusunan, analisis laluan dan teknologi RNAi (siRNA), tujuh (7) gen dalam dua (2) laluan yang berbeza, iaitu (*MAPK1*, *MAP3K1*, *MAPK8* dan *MAPK14*) dalam laluan pengisyaratan MAPKs, dan (*BIRC2*, *RELA*, dan *IL1RAP*) dalam laluan apoptosis dipilih untuk kajian lanjut melalui penekanan/supresi singlet (singlet suppression) dan dalam penekanan gabungan dengan gen *AML1/MTG8* lakur dalam leukemia t(8;21) untuk mengkaji kesan terhadap proliferasi, taburan kitaran sel, apoptosis, dan pembezaannya.

Secara amnya, semua gen – senyap (silenced gene) mengurangkan kadar proliferasi sel t(8;21) dan semua gabungan meningkatkan perencatan proliferasi. Penekanan AML1/MTG8 mengakibatkan pencegahan apoptosis, manakala penekanan singlet dan gabungan mengaruhkan proses apoptosis. Semua gen-senyap mengaruh pertumbuhan melalui penimgkatan fasa G0/G1 dan mencegah peralihan fasa G1/S. Semua gabungan penekanan kecuali IL1RAP dan AML1/MTG8 meningkatkan pertumbuhan. Walaupun penekanan AML1/MTG8 mengaruhkan proses pembezaan, didapati bahawa penekanan singlet daripada tujuh gen lain tidak menunjukkan kesan terhadap pembezaan aruhan, Sementara itu, semua penekanan gabungan merencatkan proses pembezaan, kecuali RELA dan IL1RAP. Gabungan dengan penekanan AML1/MTG8 tidak mempunyai kesan.

Eksperimen mikrosusunan dilakukan sekali lagi bagi penekanan gabungan dan data mikrosusunan disahkan melalui RT-qPCR. Ontologi gen daripada data mikrosusunan termasuk setiap gabungan dilakukan untuk memahami gen yang terlibat dalam proses proliferasi, kitaran sel, apoptosis dan pembezaan.

Secara kesimpulan, data menunjukkan bahawa lini sel Kasumi-1 and SKNO-1 adalah model yang sesuai untuk mengkaji leukemia mieloid akut subjenis-2 t(8;21). Tambahan pula, pengekspresian gen melampau MAPK1, MAP3K1, MAP3K1, MAPK14, BIRC2, RELA, IL1RAP, and AML1/MTG8 mengaruh pertumbuhan sel t(8;21) samada melalui proliferasi atau menjadi rintang terhadap proses apoptosis. Maka, gen-gen ini mungkin berpotensi untuk menjadi sasaran teraputik untuk leukemia t(8;21) leukaemia seperti yang ditunjukkan dalam eksperimen siRNA.

GENE EXPRESSION PROFILE AND MODULATION OF GENETIC PATHWAYS IN ACUTE MYELOID LEUKAEMIA T(8;21)

ABSTRACT

Many *in vivo* and *in vitro* models have been widely used in experimental research in order to facilitate pathway analysis, gene targeting and further scientific discoveries. Cell lines, such as Kasumi-1 and SKNO-1, have been used to study the AML1/MTG8 mechanisms in acute myeloid leukaemia *t*(8;21). However, in some cases, results obtained from *in vivo* and *in vitro* studies are incompatible or not valid when applied on patients.

Given the above, the primary aim of this study is to explore and identify the similarities and differences of gene expression profiles of AML t(8;21) patient samples and the corresponding cell lines (Kasumi-1 and SKNO-1) as compared to normal CD34 cells.

The initial analysis revealed that there were 34,073 differentially expressed genes found in patient samples and the corresponding cell lines as compared to the control cells, with a Spearman Rho correlation coefficient of 0.451 between the patient samples and cell lines. However, the 6,092 overlapping differentially expressed genes (3,297 upregulated) and (2,795 downregulated) between patient samples and the corresponding cell lines had a Spearman Rho correlation coefficient of 0.826.

These overlapping genes were then subjected to further analysis. The analysis comprised of hierarchical clustering, gene ontology and functional annotation clustering as well as pathway analysis using two different software packages (GeneSpring v11.5 and DAVID v6.7). The aim of the analysis was to identify the function of those genes and pathways implicated within.

Two validation techniques (GeXP and RT-qPCR) were performed to confirm microarray results and the findings yielded strong agreement.

Furthermore, overlapping genes were evaluated and several examples for the ten hallmarks of cancer proposed by Hanahan and Weinberg were given, namely self-sufficiency in growth signals, insensitivity to anti-growth signals, evasion of apoptosis, limitless replication potential, sustained angiogenesis, tissue invasion and metastasis, abnormal metabolic pathways, evading the immune system, inflammation, and chromosome instability and mutation.

Based on the microarray results, pathway analysis and using RNAi technology (siRNA), seven genes in two different pathways—*MAPK1*, *MAP3K1*, *MAPK8* and *MAPK14* in MAPK signalling pathway, and *BIRC2*, *RELA*, and *IL1RAP* in apoptosis pathway—were selected for further examination by singlet suppression and in combination with the fusion gene *AML1/MTG8* in *t*(8;21) leukaemia to study their effect on proliferation, cell cycle distribution, apoptosis, and differentiation.

In general, all silenced genes resulted in reduced proliferation rate of t(8;21) cells, whereas all the combinations enhanced the proliferation inhibition. Despite the effect of AML1/MTG8 suppression on apoptosis prevention, singlet and combined suppression resulted in apoptosis induction. All silenced genes induced the growth arrest by increasing the G0/G1 phase and preventing the G1/S phase transition. Similarly, the combined suppression, with the exception of IL1RAP and AML1/MTG8, enhanced the growth arrest.

Singlet suppression of the seven genes showed no effect on differentiation. However, all combined suppressions with the exception of RELA and AML1/MTG8 exhibited differentiation inhibition in spite of the fact that AML1/MTG8 promotes differentiation induction.

Microarray experiments were repeated for the combined suppression and the results were once again validated by RT-qPCR. Gene ontology of microarray data was included for every combination in order to yield better understanding of the genes implicated in the previously mentioned processes.

In conclusion, previous data demonstrate that Kasumi-1 and SKNO-1 cell lines are good models for t(8;21) leukemic cells. Moreover, overexpression of MAPK1, MAP3K1, MAP3K1, MAPK14, BIRC2, RELA, IL1RAP, and AML1/MTG8 induce the growth of t(8;21) cells either by enhancing the proliferation or resistance to apoptosis, and might be potential therapeutic targets for t(8;21) leukaemia as shown in siRNAs targeting.

CHAPTER 1

Introduction

1.1 Background

Due to their capability of continuous proliferation, continuous cell lines derived from human cancers have become indispensable experimental tool and their use has thus gained wide popularity in cancer research.

Thus, before commencing this study, the following two questions should be highlighted:-

First, do Kasumi-1 and SKNO-1 cell lines accurately reflect the true picture of gene expression profile of acute myeloid leukaemia (AML) patients with t(8;21)?

Second, are deregulated pathways in Kasumi-1 and SKNO-1 parallel to those in AML patients with t(8;21)?

Continuous or immortalized cell lines, compared to clinical specimens, in addition to consistency and reproducibility, are much easier to manipulate, and are thus a convenient option for cancer research. These advantages obligate researchers to first establish their work on cell cultures (*in vitro*) before moving to the next level (*in vivo*) (Mehta et al., 2007).

To ensure the highest degree of result reproducibility, cell lines are cultured in flasks, in controlled environmental and nutritional conditions. Many researchers that published results of their recent leukaemia and cancer studies have focused on the gene expression profiles and their changes. In addition to the ease of maintenance and access, cell lines have offered a convenient platform for these studies, and are thus a realistic platform for overexpressing or knocking down desired genes (Mehta et al., 2010).

There are evident differences between patient samples and their corresponding cell line models because of the differences in environmental factors to which they have been exposed (Ross and Perou, 2001). Thus, in order for the results of such studies to be clinically relevant, these variations and differences need to be precisely determined.

A comprehensive study on similarities and differences of cells obtained through different means is needed in order to establish the cell line suitability for each specific study, as only then accurate results are guaranteed and the study findings can pave the way for relevant discoveries.

In leukaemia research, myeloid cell lines, such as Kasumi-1 and SKNO-1 derived from AML FAB subtype M2, have traditionally been used in studies focusing on investigation of the molecular abnormalities of t(8;21) in AML (Dunne et al, 2006). However, in order for the collected data to be correctly interpreted and corroborated, it is imperative for a cell line and its molecular features to resemble its corresponding clinical samples. The comparisons of patient samples and their equivalent cell lines can now be procured easily, in particular since the introduction of microarray technology into this field of study.

The aim of the present study was to investigate and determine the degree of similarity and identify the key differences of gene expression profiles of t(8;21) AML patients and their equivalent cell lines (Kasumi-1 and SKNO-1). Moreover, the deregulated pathways in acute myeloid leukaemia were studied.

Gene expression profile and signal transduction pathway studies in cancer research revealed the existence of several deregulated pathways that control the growth of leukaemic cells that enable their continuous proliferation, survival and apoptosis resistance (Majeti et al. 2009).

In *t*(*8*;*21*) leukaemia, *AML1* gene fuses to *ETO* gene and generates the fusion gene *AML1/ETO*, which interferes with the hematopoietic transcription and thus affects several processes, including differentiation, survival, apoptosis, and proliferation (Nimer and Moore, 2004).

Based on *in vivo* and *in vitro* comparison studies, several deregulated pathways were identified. Moreover, microarray experiments revealed the existence of many differentially overlapping expressed genes in patient samples and their corresponding cell lines when compared to their normal stem cells (CD34) compartments.

Within these pathways, many genes implicated in mitogen activated protein kinase (MAPK), apoptosis, cell cycle, and AML pathways were found; thus, in addition to the fusion gene, seven genes were selected for further investigation.

1.2 Rationale of the study

Worldwide, several studies have been conducted in the field of AML cell lines and patient research. However, the present study expands on the extant knowledge in this area in several ways, as summarized below.

- 1- According to the literature review (PubMed), this is the first study to be conducted on AML with t(8;21), which will compare the gene expression profiles of t(8;21) AML patient samples and their equivalent t(8;21) cell lines (Kasumi-1 and SKNO-1), as well as investigate the genes and pathways deregulated in t(8;21) leukaemia.
- 2- During this project, the gene expression profile of AML (in both patients and the corresponding cell lines) will be studied and compared to control CD34 cells to identify novel and secondary therapeutic targets in t(8;21) leukaemia.
- 3- Whilst the consequences of knocking down the fusion gene AML1/MTG8 have been well documented in t(8;21) leukaemia, this study will, for the first time (PubMed), be investigating the roles of MAPK and apoptosis pathways in leukaemogenesis induction by targeting several genes related to MAPK and apoptosis pathways using RNA interference (RNAi).

1.3 General objective

The key objective of the present study is to study the gene expression profile of t(8;21) AML patients and their corresponding cell lines, as well as the deregulated pathways that initiate the leukaemogenesis.

1.3.1 Specific objectives

- 1- To study the gene expression profile of t(8;21) AML (in both patients and the corresponding cell lines) versus normal control cells (CD34) using oligonucleotide microarrays.
- 2- To determine previously unidentified genes and pathways deregulated in t(8;21) AML compared to normal control cells (CD34).
- 3- To assess and verify degree of similarity in gene expression profiles, expression levels and functional evaluation of genes and pathways deregulated in patient samples of t(8;21) AML and their corresponding cell lines (Kasumi-1 and SKNO-1).
- 4- To study the effect of combination targeting of siRNAs-targeted *AML1/MTG8* and *MAPK* genes (*MAPK1*, *MAP3K1*, *MAPK8* and *MAPK14*), and assess its effect on proliferation, cell cycle distribution, apoptosis, and differentiation processes.
- 5- To study the effect of combination targeting of siRNAs-targeted *AML1/MTG8* and apoptosis genes (*BIRC2*, *RELA* and *IL1RAP*), and assess its effect on proliferation, cell cycle distribution, apoptosis, and differentiation processes.

1.4 Hypothesis

In the present study, it is hypothesized that Kasumi-1 and SKNO-1 cell lines are good models for t(8;21) leukaemia. Moreover, in accordance to the effect of the fusion gene (AML1/MTG8) in promoting leukaemogenesis, it is also hypothesized that other secondary deregulated genes could also enhance the effect of the fusion gene through controlling cell proliferation, cell cycle progression, apoptosis and differentiation processes of t(8;21) leukaemic cells.

1.5 Outcomes of the study

- 1- Illustrating the gene expression profile of t(8;21) leukaemia patient samples and their corresponding cell lines (Kasumi-1 and SKNO-1).
- 2- Determining the similarities and differences between gene expression profiles and deregulated pathways of t(8;21) leukaemia patients samples and their equivalent cell lines.
- 3- Identifying secondary therapeutic targets that could be used in the treatment of t(8;21) leukaemia.
- 4- Determining the roles of MAPKs genes (*MAPK1*, *MAP3K1*, *MAPK8* and *MAP14*) in *t*(8;21) leukaemia and demonstrating their effect on cellular processes using RNAi in a combination with *AML1/MTG8* knockdown.
- 5- Establishing the roles of apoptosis genes (BIRC2, RELA and IL1RAP) in t(8;21) leukaemia and demonstrating their effect on cellular processes using RNAi in a combination with AML1/MTG8 knockdown.

CHAPTER 2

Literature review

Acute myeloid leukaemia (AML)—also known as acute non-lymphoid leukaemia (ANLL), acute myelogenous leukaemia, and acute myeloblastic leukaemia is a heterogeneous clonal disorder of progenitor cells (blasts), characterized by the loss of ability to normally differentiate and to respond to normal regulators of proliferation. The inhibition of myeloid differentiation results in accumulation of various stages of early myeloid differentiated cells within bone marrow, leading to replacement of normal marrow elements (leukocytes, erythrocytes, and platelets), and increased risks of fatal infection (cellulites, pneumonia, or septicaemia), excessive bleeding and organ infiltration. Other symptoms affected individuals report may include anaemia, shortness of breath, easy bruising, petechiae, and fatigue. The progression of AML is rapid, often with fatal outcomes, if no treatment is offered (Naeim et al., 2008).

2.1 Epidemiology

AML is the most common variant of acute leukaemia that primarily affects adults, and accounts for approximately 25% of leukaemia cases. Its incidence increases with age and is particularly evident in the seventh decade of patient's life (Deschler and Lübbert, 2006). Findings of previous studies in the United Kingdom indicate that approximately 42.8% of patients were older than 65 when diagnosed with this condition, while in the US in the 1975-2003 period revealed that the incidence was approximately 3.4 per 100,000 adults (Deschler and Lübbert, 2006).

Among 4498 cancer deaths recorded in 1998 in Malaysia, those due to leukaemia represented 311 or 6.9% (Lim, 2002). According to the latest report of Ministry of Health Malaysia, published in 2006, leukaemia was one of the ten most frequent cancers among males, with incidence rate of 3.6 % and 1.9% among females (MOH, 2006).

2.2 Aetiology

The aetiology of AML is not clear; however, three major environmental factors have been reported to play significant roles in the development and incidence of AML, namely chemotherapeutic agents, exposure to chemicals, and ionizing radiation (Bowen, 2006; Warner et al., 2004)

Ionizing radiation induces DNA damage in G1 and G2 cell cycle phases, leading to chromosomal breaks that may cause mutations, deletions, and translocations. Atomic bomb survivors had a greatly increased risk (20-fold or greater) of developing AML compared to unaffected population (Deschler and Lübbert, 2006).

Chemical factors, such as topoisomerase type II inhibitors and alkylating agents, have been found to increase the incidence of AML. Alkylating agents have a medium latency period of three to six years and are usually associated with previous a myelodysplastic (MDS) phase. However, topoisomerase II inhibitors have a shorter latency period and are not associated with MDS phase (Naeim et al., 2008).

Occupational exposure to petroleum products (such as benzene), insecticides, and other organic solvents, was also found to increase the risk of developing AML (Natelson, 2007).

Increased risk of developing AML has also been noted in patients with ataxia telangiectasia, Bloom syndrome and Fanconi anaemia. Similarly, the 10-fold

increase in the incidence of AML in children with Down's syndrome (Trisomy 21) was also observed compared to their healthy peers (Segel and Lichtman, 2004).

Haematological abnormalities, such as MDS, can develop into AML. According to some authors, MDS represents the first step in the progression of the disease, with frequent detectable chromosomal aberrations, including +8, -7/del(7q) and -5/del(5q) eventually leading to AML (Naeim et al., 2008).

AMLs associated with recurrent cytogenetic abnormalities, such as t(8;21)(q22;q22), t(15;17)(q11;q12), inv(16)(p13q22), and 11q23 have been reported. Each chromosomal abnormality results in a unique fusion gene product that plays a significant role in regulating and initiating leukaemia (Naeim et al., 2008).

2.3 Classification

At present, AML is classified according to the two most common systems—French American British (FAB) and World Health Organization (WHO).

FAB classified AML into eight groups or subtypes, M0 through to M7, whereby each subtype is given its own characterization and morphology based on cell types and degree of maturity.

M0 refers to AML with minimal evidence of myeloid differentiation, M1 corresponds to AML without differentiation, M2 to AML with differentiation, M3 refers to acute promyelocytic leukaemia (APL), M4 to acute myelomonocytic leukaemia, M5 corresponds to acute monoblastic (M5a) or monocytic (M5b) leukaemia, M6 to acute erythroid leukaemia, and M7 to acute megakaryoblastic leukaemia (Bennett et al., 1976).

Based on biology, immunophenotyping, genetic and clinical features, WHO classified AML in the following four groups: AML with recurrent genetic

abnormalities; AML with multilineage dysplasia; AML and myelodysplastic syndrome, therapy related; and AML not otherwise categorized (Table 2.1) (Vardiman et al., 2002).

Although there are many differences between adult and paediatric AML, neither FAB nor WHO have used age in their classification; however, WHO seems to be more clinically useful than FAB classification, as the system recommends using all available data (including biological, immunophenotypic, genetic, and clinical features) for a proper identification.

The two significant differences between FAB and WHO classifications should be noted here. First, WHO lowered the percentage of blasts required for AML diagnosis to at least 20% compared to the FAB-recommendation 30%. Second, regardless of the blast percentage, patients with cytogenetic abnormalities such as t(8;21)(q22;q22), t(15;17)(q22;q12), t(16;16)(p13;q22), and inv(16)(p13q22) should be considered to have AML (Vardiman et al., 2002).

In 2008, WHO updated the classification to incorporate new scientific and clinical information that became available over the preceding eight years. Thus, several changes that had been considered in leukaemia and different types of neoplasm were included in the revised classification. As in the case of AML, the major changes included translocation variants such as 11q23 (ZBTB16), 11q13 (NuMA), 5q35 (NPM) or 17q11.2 (STAT5B), whereby WHO recommended that these should be appointed to a specific partner, as not all responded to all-trans retinoic acid (ATRA). As a result, two new categories—AML with mutated nucleophosmin (NPM1) and AML with mutated CCAAT/enhancer-binding protein alpha (CEBPA)—were included. Three new cytogenetic variants, namely AML with t(6;9)(p23;q34) that generates a fusion oncogene DEK/NUP214, AML with inv(3)(q21q26.2) or

t(3;3)(q21;q26.2) that generates a fusion oncogene *RPN1/EVI1*, and megakaryoblastic ALM with t(1;22) (p13;q13) that generates a fusion oncogene *RBM15/MKL1*, were also incorporated (Zerbini et al., 2011).

Table 2.1 WHO classification of AML. (Vardiman et al., 2002)

(a) AML with t(8;21)(q22;q22), (AML1/ETO) (b) AML with abnormal eosinophils and inv(16) or t(16;16) (c) APL with t(15;17) or variants (d) AML with 11q23 (MLL) abnormalities 2 AML with multilineage dysplasia (a) Following myelodysplastic syndrome or myelodysplastic/ myeloproliferative disorder (b) Without antecedent MDS or MDS/MPD, but with dysplasia in at least 50% of cells in 2 or more myeloid lineages 3 AML and myelodysplastic syndrome, therapy related (a) Alkylating agent/radiation—related type (b) Topoisomerase II inhibitor—related type (some may be lymphoid) (c) Other types 4 AML not otherwise categorized (a) AML minimally differentiated (b) AML without maturation (c) AML with maturation (d) Acute myelomonocytic leukaemia (e) Acute monoblastic and monocytic leukaemia (f) Acute erythroid leukaemia (erythroid/myeloid and pure erythroleukaemia) (g) Acute megakaryoblastic leukaemia (h) Acute basophilic leukaemia (i) Acute panmyelosis with myelofibrosis	1	AML with recurrent genetic abnormalities
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(h) Acute basophilic leukaemia		(f) Acute erythroid leukaemia (erythroid/myeloid and pure erythroleukaemia)
		(g) Acute megakaryoblastic leukaemia
(i) Acute panmyelosis with myelofibrosis		(h) Acute basophilic leukaemia
		(i) Acute panmyelosis with myelofibrosis
(j) Myeloid sarcoma		(j) Myeloid sarcoma

2.3.1 AML with t(8;21)

Following the identification of Philadelphia chromosome t(9;22) in chronic myeloid leukaemia (CML) in 1960, increased attention was given to the cytogenetic finding with chromosomal translocations and the subsequent effect of fusion genes (Nowell and Hungerford, 1960).

The *t*(8;21)(q22;q22) translocation is present in 10-15% of all AML cases and 40% of AML-M2 (Miyoshi et al., 1993). The *t*(8;21) generates a chimeric gene that results in a fusion protein (AML1/MTG8 or AML1/ETO8 or RUNX1/RUNXT1), initiating the leukaemogenesis process. This translocation occurs as a result of 177 amino acid of *AML1* gene—also known as runt-related transcription factor-1 (*RUNX1*) or core binding factor (*CBF*)—located on the long arm of chromosome 21 (q22) fuses to 575 amino acid of *MTG8* genes—also called eight twenty one (*ETO*) or runt-related transcription factor 1—translocated to 1 cyclin D-related (*RUNXT1*), located on the long arm of chromosome 8 (q22), therefore converting the transcript activator into transcript repressor (Nimer and Moore, 2004).

AML1-ETO protein interferes with the function of AML1 required for normal hematopoiesis by recruiting repressor complex including nuclear receptor corepressor (NCoR), mammalian Sin3 (mSin3), and histone deacetylases (HDAC) that interact with ETO, thus negatively regulating *AML1* gene and inhibiting myeloid differentiation, while in the absence of AML1-ETO protein, AML1 binds to its target genes and recruits co-activators. The histone acetyltransferase (HAT) activity of the co-activators causes an open chromatin structure and thereby induces AML1 target genes (Figure 2.1). This process also reduces apoptosis by activating the expression of the anti-apoptosis gene *BCL-2* (Hildebrand et al., 2001; Steffen et al., 2005).

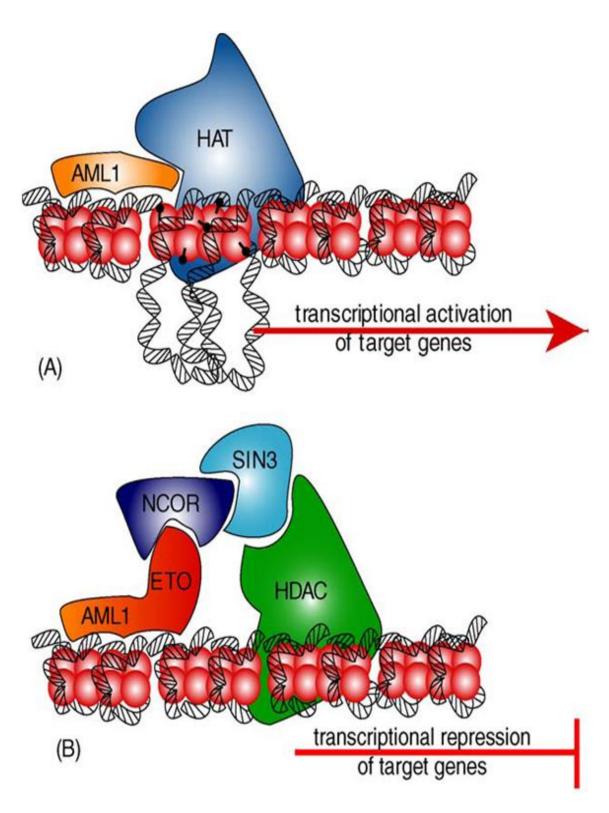


Figure 2.1 (A) Transcriptional activation of AML1. (B) Transcriptional repression of AML1/ETO. (Steffen et al., 2005)

2.3.1.1 Morphological changes and immunophenotyping

The myeloblasts are large unipotent stem cells, often with irregular nuclear shape and basophilic cytoplasm. Auer rods are frequently seen characteristic feature of myeloid blast. Promyelocytes, myelocytes, metamyelocytes, bands, and segmented neutrophils are also present and often show dysplastic changes (Naeim et al., 2008). In a comparative study on morphological changes and cytochemistry tests between t(8;21) positive cells and t(8;21) negative cells, three main features were identified (Nakamura et al., 1997). First, homogeneous pink colour cytoplasm in mature neutrophils was identified in 90-100% of t(8;21) positive cells, while it was present in only 2% of t(8;21) negative cells. Second, pale colour cytoplasm with no granulation in mature neutrophils presented in 84% of t(8;21) negative cells, whereas none were identified in t(8;21) positive cells. Third, myloperoxidase (MPO) was observed in 34% in mature neutrophils of t(8;21) negative cells compared to only 13% of t(8;21) positive cells (Nakamura et al., 1997).

Flow cytometric studies revealed the expression of CD13, CD117, and CD33, suggesting that HLA-DR and CD34 are often positive, whereas CD11c and CD14 are usually negative, as a sign of losing differentiation (Heidenreich et al., 2003). Moreover, aberrant expressions of CD56 and CD19 were seen in t(8;21) cells (Zheng et al., 2008).

2.4 Genetic alterations in AML

2.4.1 Alterations involving transcription factors

2.4.1.1 Core Binding Factor (CBF)

CBF complex is structurally altered in many AML translocations involving t(8;21)(q22;q22), inv(16)(p13;q22) and t(16;16)(p13;q22), which together constitute 25% of AML cases (Mrózek and Bloomfield, 2008).

CBF complex has two subunits, AML1 and CBFβ, whereby altered AML1 encoded on the long arm of chromosome 21 (q22) is mainly associated with AML-M2, and CBFβ—which is encoded on the long arm of chromosome 16 (q22)—is mainly associated with AML-M4.

The t(8;21)(q22;q22) has been described previously; whereas another fusion gene has also been described, where $CBF\beta$ fuses to MYH11 located on the short arm of chromosome 16 (p13), also known as smooth muscle myosin heavy chain (SMMHC) gene ($CBF\beta$ -MYH11) that can be found in the other two CBF leukaemia types—t(16;16)/(p13;q22) and inv(16)/(p13;q22) (Engel and Hiebert, 2010).

 $CBF\beta$ -MYH11 or $CBF\beta$ -SMMHC results in fusion of the first 165 amino acids of CBFβ to the C-terminal of SMMHC, where they repress transcription in association with mSin3a and HDAC8 (Durst et al., 2003).

2.4.1.2 t(15;17)(q22;q21)/PML-RAR α

One of the most elegant translocations, which is subject of many leukaemia research studies and is given a good prognosis, is t(15;17), associated with all cases of M3 or APL.

Four types of translocations associated with APL have been recorded, of which t(15;17)(q22;q21) is the most common and results in chimeric protein PML-RAR α

consisting of 5' portion encoded by the PML on the long arm of chromosome 15 (q22) and 3' portion encoded by $RAR\alpha$ on the long arm of chromosome 17 (q21). As a result of this translocation, a part of $RAR\alpha$ fuses to PML (Grimwade et al., 2000). Several authors have suggested that this fusion is not a sole cause of APL; however, it is sufficient to alter myeloid development, block differentiation and arrest granulocytes maturation at the promyelocyte stage (Grisolano et al., 1997).

Other fusion proteins have also been reported, albeit in very rare cases, including *NuMA-RARa*, *PLZF-RARa*, *STAT5b-RARa* and *NPM-RARa* (Zelent et al., 2001).

Retinoic acid (RA) or all-trans RA (ATRA) has been shown to induce and accelerate complete remission (CR) in APL by encouraging promyelocytes to engage to the differentiation process and undergo apoptosis (Tallman et al., 1997).

The wild type of RARa protein acts as a transcription factor upon binding to RA and results in transcription activation; however, in the absence of RA, RARa wild type binds to NCoR, SMRT, mSin3, and HDACs (co-repressor proteins), causing transcription repression (Schulman et al., 1996).

2.4.1.3 Mixed Lineage Leukaemia (MLL): 11q23 translocation

According to research findings, 11q23/MLL abnormalities are associated with approximately 4% of adult and 12-16% child AML cases (Mrózek et al., 2004).

Over 30 different types of chromosomal abnormalities affecting the *MLL* gene have been discovered, mostly translocations with some deletions, insertions and inversions (Mrózek et al., 2001).

The most common translocation affecting the MLL gene in AML is t(9;11)(p21;q23) that results in the AF9-MLL fusion gene; other translocations and fusion genes

include t(6;11)(q27;q23)/AF6-MLL, t(11;19)(q23;p13.1)/MLL-ELL, and t(11;19)(q23;p13.3)/MLL-MLLT1 (Braekeleer et al., 2010).

Patients with *MLL* rearrangements seem to have intermediate to poor prognosis, while a good prognosis has been recorded in those with changes in *t*(9;11)(*p*22;*q*23). MLL is a highly conserved protein that controls home box gene expression through chromatin remodelling. MLL structure consists of several domains, whereby the N-terminus contains AT hook region that serves as a DNA binding domain at the minor groove, allowing binding of regulatory transcription factors and inducing expression of *HOX* gene. In addition, it comprises two regions, SNL1 and SNL2, mediating protein subnuclear localization and a cysteine rich motif conserved DNA enzymes methyltransferase and methyl binding domain protein-1 that regulates transcription through methylation (Ayton and Cleary, 2001).

MLL fusion proteins control the expression of *HOX* genes; this regulation appears in an incomplete manner and is responsible for immortalizing myeloid progenitor cells. Results of several microarray experimental studies supported this finding, whereby highly expressed *HOXA9*, *HOXA5*, and *HOXA4* were found in many types of leukaemia associated with *MLL* translocations (Ferrando et al., 2003).

2.4.1.4 C/EBPa

CCAAT/Enhancer binding protein α (C/EBP α) is characterized by basic leucine zipper (bZIP) at the C terminal region that is used for dimerization and DNA binding, and activates transcription through binding via N-terminal transactivation domains (TADs) (Friedman and McKnight, 1990).

Although $C/EBP\alpha$ mutations have been observed in nearly 10% of AML cases, they predominantly occur in AML M1 and M2 (Pabst et al., 2001).

Two types of $C/EBP\alpha$ mutation have been shown to block AML differentiation. The first mutation is found at the bZIP that disrupts DNA binding and results in complete loss of c/EBP α function (Preudhomme et al., 2002). The second mutation is found at the N-terminal region and results in synthesis and translation of 30-kDa isoform of C/EBP α only, rather than the entire 42-kDa isoform, leading to inhibition of normal C/EBP α function (Pabst et al., 2001). This type of mutation seems to have a more favourable prognosis compared to the first mutation (Fröhling et al., 2004).

AML-ETO interacts with C/EBP α , leading to the inhibition of its function and thus inhibiting granulocyte differentiation (Pabst et al., 2001). The two additional C/EBP α functions are, (1) a tumour suppressor protein, whereby it inhibits cell proliferation through the activation of p21 gene (Wang et al., 2001b; Timchenko et al., 1996), and inhibits E2F pathway leading to c-Myc inhibition thus inhibits cell proliferation (Johansen et al., 2001), and (2) a negative regulator of cell cycle through binding and inhibiting the function of CDK2 and CDK4 (Wang et al., 2001b; Wang et al., 2003).

2.4.1.5 PU.1

PU.1 is encoded by the *SPI1* gene. It encodes an ETS domain transcription factor that is required for both myeloid and lymphoid development. *PU.1* mutations have been observed in nearly 7% of AML cases; however, the mechanism through which it contributes to leukaemogenesis is still not fully understood (Mueller et al., 2002). Homozygous mice with *PU.1* deletion developed AML within six months (Rosenbauer et al., 2004), while deletion in one *PU.1* allele and point mutation in the other allele was seen in radiation-induced murine AML (Cook et al., 2004).

PU.1 is also regulated by other transcription factors (CBF and C/EBPα), whereby it was suggested that inhibition of these transcription factors may contribute to AML by inhibiting PU.1 (Vangala et al., 2003).

2.4.1.6 *HOX* genes

Homeobox (*HOX*) genes—including A, B, C, and D clusters—encode transcription factors and play vital roles in regulating hematopoiesis. *HOX* genes control transcription activation through their DNA binding in cooperation with Pbx or Meis cofactors (Knoepfler et al., 2001).

HOXA9 has been found highly expressed in progenitor cells, downregulated through the differentiation development, and absent in mature cells (Dorsam et al., 2004). The association between HOX genes and leukaemogenesis have already been discussed above in the section on MLL.

2.4.1.7 WTl

Wilms' tumour I (WTl) is a zinc finger protein, normally expressed in stem cells; however, its expression is reduced during differentiation and is absent in mature cells (Ellisen et al., 2001).

WT1 has two domains, whereby C-terminus contains four zinc finger proteins and N-terminus contains proline and glutamine that exhibit a regulatory function. Depending on DNA binding domains and promoter status, transcription can be activated or repressed (Reddy and Licht, 1996; Yang et al., 2007).

Alternative splicing of WT1, with or without KTS tripeptide (Lys-Thr-Ser), in addition to mutations on exon 5, has been documented. Here, wild type (-Ex5/-KTS)

accelerates differentiation, while the mutant (+Ex5/+KTS) blocks the differentiation (Inoue et al., 1998; Loeb et al., 2003).

The presence of the mutant type interferes with the function of wild type, causing dysfunctional behaviours through forming heterodimers at the N-terminal. Moreover,(+Ex5/+KTS) isomers have been reported to be highly expressed in the majority of AML cases and are typically associated with poor prognosis, while point mutation has been documented in 15% of AML patients (Inoue et al., 1994; Miyagawa et al., 1999).

2.4.1.8 EVI-I

Although its function is not yet clear, Ectopic Viral Integration 1 (EVI-1) has been shown to be expressed in normal stem cells, it has been also suggested that EVI-1 might contribute to leukaemogenesis through interactions with SMAD3, leading to repression and inhibition of SMAD3 and TGF β , thus reducing myeloid proliferation (Métais and Dunbar, 2008).

EVI-1 has been observed highly expressed in nearly 10% of AML cases in the absence of chromosomal abnormalities and in 1-2% of AML in association with 3q26, -7/7q- and 11q23 aberrations (Barjesteh van Waalwijk van Doom-Khosrovani et al., 2003).

2.4.1.9 C-Myb

C-Myb is a proto-oncogene protein containing N-terminus for DNA binding and C-terminus for negative regulation function. It plays an important role in regulating hematopoiesis, where mice lacking C-Myb had been shown to suffer from reduction in blood elements (Mucenski et al., 1991), while its aberrant expression was

suggested to increase the proliferation of AML cells with poor prognosis (Ramsay and Gonda, 2008).

2.4.2 Alteration of signal transduction

Many articles as described below have demonstrated the presence of the association between deregulated signal transduction pathways and the initiation of leukaemogenesis process. Thus, in the present study the major pathways that contribute to leukaemogenesis are explored.

2.4.2.1 FLT3

FMS-like tyrosine kinase 3 (FLT3) is the most common mutated receptor tyrosine kinase (*RTKs*) that occurs in approximately 15-40% of AML instances, which makes it a favourite target for therapy. *FLT3* is highly expressed on stem cells and plays highly significant roles in both proliferation and differentiation (Ozeki_et al., 2004). Two types of mutation have been documented in AML, namely point mutation and internal tandem duplication (*ITD*). *ITD* occurs at exons 14 and 15 that encode juxtamembrane (JK) region and exon 20, encoding distal tyrosine kinase (TK) domain (Abu-Duhier et al., 2001). *ITD* mutations were seen in approximately 25-30% of AML cases (Stirewalt and Radich, 2003), with low frequency in M2 and M6, and high frequency in M3 (Kainz et al., 2002; Schnittger et al., 2002). On the other hand, point mutations in JK (Codon 835- Asp835) were only seen in 5-10% of AML instances (Thiede et al., 2002).

Other studies have showed that *FLT3 ITD* is not sufficient to cause AML, as *FLT3 ITD* transfection into murine cell line led to myeloproliferative, but not leukaemia (Kelly et al., 2002).

Aberrant *FLT3* mutations resulted in autophosphorylation and receptor constitutive activation, leading to the activation of several downstream targets (*RAS*, *ERK*, and *STAT*) (Choudhary et al., 2005; Hayakawa et al., 2000).

Patients with *FLT3 ITD* usually have poor prognosis, low survival rate and increase relapse rate in association with leukocytosis and high blast count (Kottaridis et al., 2001). The prognosis for patients affected by point mutation is also poor and is associated with leukocytosis and high blast count (Thiede et al., 2002).

Several FLT3 inhibitors have been used to inhibit the FLT3 signalling, such as CEP701 and PKC412. CEP701 was seen to inhibit FLT3 with significant decrease in bone marrow and peripheral blood blasts. However, more studies are needed in order to fully understand the cytotoxicity of CEP701 (Knapper et al., 2006).

2.4.2.2 c-Kit

c-Kit is a proto-oncogene receptor that interacts with the stem cell factor (SCF) and plays a significant role in regulating hematopoiesis (Lennartsson et al., 2005).

According to the findings of several studies, two c-Kit regions have been associated with mutations, namely the activation loop and juxtamembrane region that serves as a negative regulation region (Chan et al., 2003; Nagata et al., 1995).

In line with in the discussion on *FLT3*, once the tyrosine kinase is phosphorylated, c-Kit is induced upon binding to SCF and activates downstream signals (PI3K, ERK), leading to the AML proliferation. Different *c-Kit* mutations, deletions, and insertions have been observed in 5-10% of AML cases (Gari et al., 1999), in particular the codon 816 and N822 (Beghini et al., 2000, 2002). In another study, substitution of Asp816 was seen in 6 of 17 patients and was thought to be highly associated with

CBF leukaemia, whereas another mutation was reported in exon 8 with exclusive association with inv(16) and t(8;21) (Gari et al., 1999).

2.4.2.3 RAS mutations

The *RAS* mutations are reported to occur in nearly 15-25% of AML cases, with *K-RAS* and *N-RAS* as the most two common *RAS* mutations found in haematological malignancies and a rare mutation of *H-RAS* (Byrne and Marshall, 1998).

As a result of these mutations, the conversion of active form (RAS-GTP) to inactive form (RAS-GDP) is prevented, thus RAS protein is embedded in the "ON" status, which continues activating other downstream pathways, such as RAF/MEK/ERK (Chang et al., 2003).

The clinical outcomes of leukaemic patients in whom *RAS* mutations have been identified are questionable, with some authors suggesting that AML patients with *RAS* mutations have improved survival rates (Neubauer et al., 1994; Neubauer et al., 2008), while in other studies no correlation between the two was found (Meshinchi et al., 2003).

2.4.2.4 Wnt pathway

Wnt ligands are a family of secreted glycoproteins critical in normal development, with β -catenin acting as the downstream mediator of Wnt pathway.

Upon the stimulation of Wnt pathway, β -catenin accumulates and moves forward to the nucleus, where acts together with the T-cell factor/lymphocyte enhancer-binding factor (TCF/LEF) complex to positively regulate transcript genes (*c-Myc* and *cyclin D1*) responsible for cell development and proliferation (Reya and Clevers, 2005).