CULTIVATION OF *ISOCHRYSIS MARITIMA* Billard and Parke FOR LIPID PRODUCTION USING AIRLIFT PHOTOBIOREACTOR

NIK FARHANA NADIAH BINTI NIK MUSTAPHA

UNIVERSITI SAINS MALAYSIA

2013

CULTIVATION OF *ISOCHRYSIS MARITIMA* FOR LIPID PRODUCTION USING AIRLIFT PHOTOBIOREACTOR

By

NIK FARHANA NADIAH BINTI NIK MUSTAPHA

Thesis submitted to fulfillment of the requirements for the degree of Master of Science

July 2013

ACKNOWLEDGEMENT

First and foremost, my utmost gratitude to my supervisor Assoc. Prof. Ahmad Ramli Mohd Yahya who always made me believe in myself and guided me through the whole process of learning, whilst allowing me the room to work on my own way. This thesis would not have been possible without his encouragement and support, for which I was a newbie back then. Special thanks to Assoc. Prof Amirul for his constructive comments and suggestions that have contributed to the success of this project.

I wish to express my special appreciation to Salwa for the unconditional encouragement and invaluable assistance. It has been a lonely USM without her. To my beloved sister, Kak Shifa, thank you for the helpful advice on both academic and personal level, that I am deeply grateful and also to Lab 318 members especially Zai and Sya and kak Leh for the precious moments we spent in research. I will always treasure the laughter and tears that we shared throughout these challenging years. May our friendship will be lasted till eternity.

Finally, I would like to express my deepest gratitude to Mummy and Abah for the love and prayers. And also to Abelong, Abengah, adik, kak Da and kak Sarah that have given me their unequivocal support throughout, as always, for which my mere expression of thanks likewise does not suffice. Not forgetting, to my nieces and nephews for the smile and laughter that have always brightened up my days.

Last but not least, I would like to acknowledge National Oceanography Department (304/PBIOLOGI/650422/D111) and the National Science Fellowship (NSF) not only for providing the funding which allowed me to undertake this research, but also for giving me the opportunity to attend conferences and meet so many interesting people. I would also like to thank all the staffs of School of

ii

Biological Sciences Of USM especially Encik Sekaran and Encik Zahari for their precious helps.

Working on this project has been a wonderful and an overwhelming experience. It is hard to say whether it was grappling with the topic itself, or the determination to become someone better are the real learning process. Above all, thank you for His companion in these challenging years. May the road ahead will be blessed.

TABLE OF CONTENTS

Page

ACKNOWLEDGEMENTS	ii
TABLE OF CONTENTS	iv
LIST OF TABLES	Х
LIST OF FIGURES	xii
LIST OF PLATES	xiv
LIST OF ABBREVIATIONS	XV
LIST OF APPENDICES	xvi
LIST OF PUBLICATIONS AND SEMINARS	xvii
ABSTRAK	xviii
ABSTRACT	xx

CHAPTER 1: INTRODUCTION

1.1	Motivation and scope of the project	3
1.2	Problem statement	4
1.3	Objectives of the study	4

CHAPTER 2: LITERATURE REVIEW

2.1	Algae		5
	2.1.1	Microalgae	7
	2.1.2	Isochrysis maritima	9
2.2	Bioche	mical composition of microalgae	11
	2.2.1	Lipid	11
	2.2.2	Fatty acid	14
	2.2.3	General biosynthesis of lipid and fatty acid	15
2.3	Microa	lgae cultivation modes	20
	2.3.1	Photoautotrophic	24
	2.3.2	Heterotrophic	24
	2.3.3	Photoheterotrophic	25
	2.3.4	Mixotrophic	27
	2.3.5	The industrial feasibility of large scale production of	28
		microalgae	
2.4	Factors	affecting biomass and lipid production	31
	2.4.1	Carbon	31
	2.4.2	Nitrogen	32
	2.4.3	Cultivation conditions	33
2.5	Summa	ary of the literature review	35

CHAPTER 3 : MATERIALS AND METHODS

3.1	Cultivatio	on medium preparation	36
	3.1.1	Walne's medium	36
		3.1.1.1 Nutrient solution	36
		3.1.1.2 Trace metal solution	37
		3.1.1.3 Vitamins solution	38
	3.1.2	Walne's agar	38
3.2	Microorg	anism cultivation	39
3.3	Culture n	naintenance	39
3.4	General r	nethod	40
	3.4.1	Sterilization	40
	3.4.2	Chemicals and solutions	40
3.5	Analytica	al procedures	40
	3.5.1	Growth analysis	40
	3.5.2	Growth quantification	42
	3.5.3	Leftover glucose quantification	42
	3.5.4	Leftover fructose quantification	43

3.6	Cultiv	ation in Photobioreactor	44
	3.6.1	Cultivation medium	44
	3.6.2	Inoculum preparation	44
	3.6.3	Photobioreactor configuration	45
3.7	Fatty a	acid and Lipid extraction	48
	3.7.1	Cell lyophylization	48
	3.7.2	Direct Transesterification method	48
	3.7.3	Bligh and Dyer method	49
	3.7.4	Fatty acid analysis	51
3.8	Factor	rs affecting biomass and lipid production	51
	3.8.1	Medium modification	51
	3.8.2	Suitability of heterotrophic cultivation	51
	3.8.3	Different glucose and fructose concentration	52
	3.8.4	Critical substrate concentration measurement	52
	3.8.5	Different conditions; Photoautotrophic, Heterotrophic,	53
		Photoheterotrophic	
	3.8.6	Two-stage and repeated-stage strategy	53
	3.8.7	Repeated-stage at different light intensity	55

		3.8.7.1 Analysis of the photoautotrophic and heterotrophic	56
		growth rates in photoheterotrophic cultures	
	3.8.8	Different aeration rate	58
3.9	Qualit	ative analysis	58
	3.9.1	Transmission electron microscope (TEM)	58
	3.9.2	Fixation of samples	58
	3.9.3	Sectioning of the resin blocks	60

CHAPTER 4 : RESULT AND DISCUSSION

4.1	Photo	autotrophic cultivation of Isochrysis maritima	62
	4.1.1	Medium modification	65
4.2	Hetero	otrophic cultivation of <i>I. maritima</i>	72
	4.2.1	Suitability of <i>I. maritima</i> for heterotrophic biomass and lipid	72
		production	
	4.2.2	Different carbon sources and concentrations	75
	4.2.3	Effect of different initial concentration of glucose and	81
		fructose on PUFAs production	
4.3	Critica	al substrate concentration	86
4.4	Repea	ted-batch Heterotrophic and Photoheterotrophic Cultivation	88
	condit	ion	
4.5	Differ	ent cultivation strategy	99

	4.5.1	Effects	of two-stage cultivation strategy	99
4.6	Cultiva	ation strat	egy	109
	4.6.1	Differer	nt light intensity	109
		4.6.1.1	Effect of different light intensity	109
		4.6.1.2	Interaction between heterotrophic and	119
			photoautotrophic metabolism	
	4.6.2	Differer	nt aeration rate	126
		4.6.2.1	Effect of different aeration rate on biomass and	126
			lipid production	
		4.6.2.2	Effect of different aeration rate on PUFAs	129
			production	
4.7	Cost o	f producti	on Assessment	133
СНА	PTFP 4	5 · CONC	'I USION	136
CIIA	11 I L'A .	. conc		150
5.1	Recor	nmendati	on for future research	137

BIBLIOGRAPHY	138
APPENDICES	151

LIST OF TABLES

Table 2.1	Classification of different algae groups	6
Table 2.2	Microalgae with valuable products	8
Table 2.3	Lipid content and lipid productivity of various species of	13
	microalgae	
Table 2.4	The biomass and lipid productivity of different microalgae	21
	species under different cultivation conditions from recent	
	publications	
Table 2.5	Limitations in heterotrophic cultivation condition	25
Table 2.6	Summary of characteristics comparison of different	30
	cultivation mode of microalgae pertaining to the scaling up	
	procedure	
Table 3.1	Compositions of Walne's medium	36
Table 3.2	Compositions of nutrient solution	37
Table 3.3	Compositions of trace metal solution	37
Table 3.4	Compositions of vitamin solution	38
Table 3.5	Compositions of Walne's agar	38
Table 3.6	Serial dilutions	41
Table 3.7	Compositions of DNS	43
Table 3.8	The differences of two-stage and repeated-stage cultivation	54
	strategy	
Table 3.9	Steps for cubes dehydration	59
Table 4.1	Photoautotrophic cultivation of I. maritima in modified	67
	Walne's medium	
Table 4.2	Fatty acid production of I. maritima in photoautotrophic	69
	cultivation mode using modified Walne's medium	
Table 4.4	Comparison of <i>I. maritima</i> growth in heterotrophic and	74
	phototautotrophic cultivation.	
Table 4.5(a)	Effects of different initial concentrations of fructose on cell	78
	biomass and lipid production of I. maritima.	
		-
Table 4.5(b)	Effects of different initial concentrations of glucose on cell	/9

biomass and lipid production of I. maritima.

- Table 4.6(a)Effects of different fructose concentration on unsaturated83fatty acid production
- Table 4.6(b)Effects of different glucose concentration on unsaturated fatty84acid production
- Table 4.7Effects of different cultivation conditions on biomass, lipid90production and kinetic parameters of *I. maritima*.
- Table 4.8Growth kinetic parameters of *I. maritima* on different93cultivation conditions
- Table 4.9Effects of different cultivation strategies PUFA production97
- Table 4.10Effects of different cultivation conditions on biomass and 101lipid production
- Table 4.11Growth kinetic parameters of I. maritima on different 104conditions
- Table 4.12Effects of different cultivation strategies PUFA production106
- Table 4.13Effects of different light intensity on biomass and lipid111production
- Table 4.14Effects of different light intensity on PUFA production115
- Table 4.15Effect of light intensity on the interaction between 121heterotrophic and photoautotrophic metabolic activities in
photoheterotrophic culture
- Table 4.16The biomass and lipid productivity of different microalgal124strain grown under heterotrophic and photoheterotrophiccondition.
- Table 4.17Biomass and lipid production in repeated batch 129photoheterotrophic at different aeration rate
- Table 4.18Effects of different aeration rate PUFA production132
- Table 4.19Production cost of lipid of *I. maritima* through different134cultivation mode
- Table 4.20Summary of results obtained in airlift photobioreactor for 135
biomass and lipid production of *I. maritima*.

LIST OF FIGURES

Page

Figure 2.1	Statistics on microalgae-biofuels publications since	8
	1991.	
Figure 2.2	Transesterification reaction of TAG extracted from	12
	microalgal oils for fatty acid methyl ester (biodiesel)	
	production.	
Figure 2.3	PUFAs of high pharmaceutical and nutritional value.	15
Figure 2.4(a)	Illustration on basic overview of pathway in fatty acid	16
	and lipid biosynthesis.	
Figure 2.4(b)	Fatty acid de novo synthesis pathway in microalgae.	17
Figure 2.4(c)	The elongation and desaturation of carbon chain of	18
	fatty acids in microalgae.	
Figure 2.4(d)	Simplified schematic diagram of triacylglycerol (TAG)	19
	biosynthesis pathway in microalgae	
Figure 2.5	Photoheterotrophic metabolic pathway	26
Figure 2.6	Diagram of mixotrophic growth model.	27
Figure 3.1	Schematic diagram of custom made airlift	46
	photobioreactor (4L)	
Figure 3.2	The experiment workflow	61
Figure 4.1	Growth time course of I. maritima on Walne's	64
	mediumin photoautotrophic condition.	
Figure 4.2	The cultivation profile of I. maritima using	66
	photoautotrophic cultivation mode on Walne's medium	
	and modified Walne's medium.	
Figure 4.3	PUFAs production of I. maritima using	71
	photoautotrophic cultivation mode.	
Figure 4.4	Time course of I. maritima biomass with glucose	74
	concentration in heterotrophic cultivation.	

- Figure 4.5(a,b) Time course of fructose consumption and glucose 80 consumption.
- Figure 4.6(a) Effect of different fructose concentration towards 86 PUFAs production (calculated based on biomass concentration).
- Figure 4.6(b) Effect of different glucose concentration towards 86 PUFAs production (calculated based on biomass concentration).
- Figure 4.7(a) Time course of *I. maritima* growth with fructose 88 consumption under heterotrophic cultivation condition in single-batch cultivation.
- Figure 4.7(b) Time course of *I. maritima* growth with glucose 88 consumption under heterotrophic cultivation condition in single-batch cultivation.
- Figure 4.8 Electron microagraph of I. maritima under 92 photoheterotrophic and heterotrophic cultivation condition.
- Figure 4.9 Time course of fructose consumption in repeated-batch 94 photoheterotrophicand repeated-batch heterotrophic.
- Figure 4.10 Effects of different growth conditions on PUFA 99 production.
- Figure 4.11 Effect of different cultivation strategy on PUFA 108 production.
- Figure 4.12 Effects of different light intensity on PUFA production. 116
- Figure 4.13 Time course of biomass production and fructose 128 concentration of *I. maritima* at different 1.0 vvm and 0.5 vvm.
- Figure 4.14 Effect of different aeration rate on PUFAs production. 133

LIST OF PLATES

		Page
Plate 2.1	Isochrysis maritima using light microscope under 100x	10
	magnification.	
Plate 3.1	Photograph of custom made airlift photobioreactor (4L)	47

LIST OF ABBREVIATION

psi	: per square inch
kPa	: kilopascal
rpm	: rotation per minute
g	: gravity
v/v	: volume/volume
w/v	: weight/volume
vvm	: $volume_{air}/volume_{liquid}/minute$
HCl	: hydrochloric acid
CHCl ₃	: chloroform
H_2SO_4	: sulphuric acid
MeOH	: methanol
(Na_2SO_4)	: disodium sulphate
FAME	: fatty acid methyl esther
PUFA	: polyunsaturated fatty acid
DHA	: docosahexanoic acid
EPA	: eicosahexanoic acid
ARA	: arachidonic acid
LA	: linoleic acid
ALA	: linolenic acid
NaCl	: sodium chloride

LIST OF APPENDICES

1.1 List of chemicals

1.2 Standard curve

- 1.2.1 Cell dry weight
- 1.2.2 Fructose quantification
- 1.2.3 Glucose quantification
- 1.3 Fatty acid Internal standard
 - 1.3.1 Menhaden oil
 - 1.3.2 37 FAME Mix

LIST OF PUBLICATIONS AND SEMINARS

Seminars / Proceedings

- i. Nik Farhana, N. N. M., Wan Maznah, W. O., Amirul, A. A., Yahya, A. R. M., (2010). The Effects of Heterotrophic Cultivation of *Isochrysis maritima* on Cell Biomass and Polyunsaturated Fatty Acid (PUFA) in Airlift Bioeactor. Proceeding in International Conference on Biotechnology and Food Engineering, WASET. Singapore.
- Nik Farhana, N. N. M., Wan Maznah, W. O., Amirul, A. A., Yahya, A. R. M., (2010). Heterotrophic Cultivation of *Pavlova* sp. in Airlift Bioreactor. Proceeding in the 7th IMT-GT Uninet & the 3rd Joint International PSU-UNS Conferences. Songkhla, Thailand.
- iii. Nik Farhana, N. N. M., Wan Maznah, W. O., Amirul, A. A., Yahya, A. R. M., (2009). Unsaturated Fatty Acid Profiles of Marine Microalgae Isolated from Mukahead Coastal Water, Penang. Proceeding in the 7th Asia-Pacific Conference on Algal Biotechnology. New Delhi, India.
- iv. Nik Farhana, N. N. M., Wan Maznah, W. O., Amirul, A. A., Yahya, A. R. M., (2009). Rapid Pavlova Growth in Batch Heterotrophic Cultivation in an Airlift Bioreactor. Proceeding in the 7th Asia-Pacific Conference on Algal Biotechnology. New Delhi, India.
- Nik Farhana, N. N. M., Wan Maznah, W. O., Amirul, A. A., Yahya, A. R. M., (2009). Preliminary Studies of Polyunsaturated Fatty Acids Detection in Microalgae. Proceeding in UNAIR USM Second Collaborative Conference Life Sciences Synergy for Enhancement of Quality of Life. Surabaya, Indonesia.

PENGKULTURAN *ISOCHRYSIS MARITIMA* Billard dan Parke BAGI PENGHASILAN LIPID MENGGUNAKAN BEJANA ANGKUT UDARA BERCAHAYA

ABSTRAK

Isochrysis maritima telah dikulturkan di dalam bejana angkut udara bercahaya dengan kapasiti 4L dengan menyasarkan penghasilan biojisim, lipid dan asid lemak tidak tepu pelbagai jenis (PUFAs). Tiga jenis modus pengkulturan telah dijalankan bagi meningkatkan penghasilan biojisim dan lipid iaitu fotoautotrofik, heterotrofik dan fotoheterotrofik, melalui dua jenis pengubahsuaian pengkulturan iaitu pengkulturan kelompok berulang dan pengkulturan dua peringkat. Produktiviti lipid tertinggi telah dicapai melalui pengkulturan fotoheterotrofik iaitu1.39 g/L/hari, dengan 6.03 g/L/hari produktiviti biojisim. Pencapaian tersebut adalah 15-kali ganda lebih tinggi dari yang dicapai melalui pengkulturan fotoautotrofik (0.17 g/L/hari, 0.75 g/L/hari). Keputusan ini diperolehi melalui kombinasi pengkulturan strategi kelompok berulang dengan keamatan cahaya 156 µmol photon. Melalui kombinasi ini, hasil lipid yang dicatatkan adalah 3 kali ganda lebih tinggi (Y_{p/fruc}=0.18), berbanding pengkulturan strategi dua peringkat ($Y_{p/fruc} = 0.06$). Peningkatan yang diperolehi ini telah menunjukkan keberkesanan bekalan cahaya dalam penghasilan lipid dalam kultur I. maritima. Kajian interaksi di antara metabolisma fotoautotrofik dan heterotrofik di dalam keadaan pengkulturan fotoheterotrofik pada keamatan cahaya yang rendah (45 µmol photon) telah menunjukkan kesan kehadiran fruktosa yang tidak ketara dalam kadar pertumbuhan fotoautotrofik. Walaubagaimanapun,

kadar pertumbuhan fotoautotrofik didapati sangat terencat dalam fotoheterotrofik pada keamatan cahaya tinggi (156 µmol photon). Oleh itu, pengkulturan fotoheterotrofik pada keamatan cahaya tinggi (156 µmol photon) telah dipilih sebagai pengkulturan terbaik untuk penghasilan lipid bagi *I. maritima*.

CULTIVATION OF *ISOCHRYSIS MARITIMA* Billard and Parke FOR LIPID PRODUCTION USING AIRLIFT PHOTOBIOREACTOR

ABSTRACT

Isochrysis maritima was cultivated in 4 L of airlift photobioreactor aiming for the production of high biomass, lipid and polyunsaturated fatty acid (PUFA)s production. Three types of cultivation modes have been applied to enhance the production of both biomass and lipid concentration which were photoautotrophic, heterotrophic and photoheterotrophic cultivation, employing two types of cultivation modification which were repeated-stage and two-stage cultivation strategy. The highest lipid productivity was achieved in photoheterotrophic cultivation condition which was 1.39 g/L/day with 6.03 g/L/day of biomass productivity. The achievement was approximately 15-fold higher than the concentration obtained from the photoautotrophic (0.17 g/L/day, 0.75 g/L/day). These outputs were accomplished with the combination of repeated-stage cultivation strategy at 156 µmol photon of light intensity. By using the combination, the lipid yield was found to be 3-fold higher $(Y_{p/fruc} = 0.18)$ than in the repeated-stage of heterotrophic cultivation condition ($Y_{p/fruc} = 0.06$). The improving results obtained have indicated the effectiveness of light supplementation in the lipid production of *Isochrysis maritima*. The interaction study between both heterotrophic and photoautotrophic metabolism in photoheterotrophic cultivation condition showed that at low light intensity (45 umol photon), the presence of fructose or heterotrophic metabolism has no significant effect on photoautotrophic growth rate, but photoautotrophic growth was

highly inhibited in photoheterotrophic culture under high light intensity (156 μ mol photon). Thus, repeated-batch cultivation strategy at high light intensity has been chosen as the best cultivation strategy in the enhancement of lipid production of *I. maritima*.

1.0 INTRODUCTION

Microalgae are photosynthetic organisms with simple requirements for growth like any other plants. Other than that, microalgae was also known can produce various valuable by-products in the form of proteins, pigments, biopolymers and carbohydrate such as docosahexanoic acid and carotenoid (Rawat *et al.*, 2013). Presently, the main driving force for growing microalgae extensively is harvesting the lipid. Known for its potential as one of the promising feedstock for biodiesel production, microalgae has been massively cultivated with the aim for high lipid production. From the many sources of biodiesel, microalgal biodiesel seems promising in minimizing the production cost (Li *et al.*, 2007).

Presently, various strategies have been suggested to enhance the production of both cell biomass and lipid. These include manipulation of the chemical parameters, physical parameters and cultivation modes (photoautotrophic, heterotrophic, mixotrophic and photoheterotrophic growth) (Chojnacka and Marquez-Rocha, 2004; Mata *et al.*, 2010). Basically, microalgae were commonly grown in photoautotrophic condition, whereby both sunlight and carbon dioxide (CO_2) were employed as the main energy source of the cultivation. Although the lipid production was relatively high, this conventional cultivation method is frequently associated with a severe biomass production. Subsequently, the phenomenon contributes to a very low overall lipid productivity.

As often highlighted, lipid productivity takes into account both the lipid content within cells and the biomass produced by these cells and is therefore a reliable indicator of the potential lipid producer (Griffiths and Harrison, 2009; Brennan and Owende, 2010). Owing to the small sizes of microalgae coupled with

1

low biomass concentration, the harvesting process always posed a daunting task as this will also contribute to a higher downstream cost.

1.1 Motivation and scope of the project

Currently, numerous research efforts in microalgae and lipid production have been conducted in many parts of the world such as India, China and United States (Khan *et al.*, 2009; Li *et al.*, 2011; Menetrez, 2012). Very few, if any, have been conducted in Malaysia, focusing on high biomass and lipid production by using airlift photobioreactor. The inadequacy has open up an opportunity for us to investigate the potential of lipid production from locally isolated microalgae.

The present study focused on the cultivation and production of lipid by *Isochrysis maritima*, isolated from Penang Island coastal water of Malaysia. This genus was known as one of the high-lipid content microalgae. However, very limited research effort has been done using this strain. The major part of this thesis describes the production of *Isochrysis maritima* and its lipid using several cultivation conditions. The study was initiated with microalgal strain screening, involving seven species of locally-isolated microalgae from Penang island coastal water. A 4-liter airlift photobioreactor was employed to cultivate the microalgae, providing ample culture samples for lipid extraction purposes.

It is highly desirable to extensively explore and discover the potential of microalgae in biodiesel production by maximizing the yield and productivity of microalgal lipid. This study also sought out the metabolic interaction in photoheterotrophic cultivation condition as it has been reported to maneuver the production of the microalgae and its metabolites under this cultivation condition.

1.2 Problem statement

 The production of lipid from microalgae is often associated with severely low lipid productivity due to low cell biomass or lipid production. Subsequently, the problem led to higher unit cost of downstream processes, which prohibit its commercialization.

1.3 Objectives of the study

- 1. To investigate the effect of medium modification on cell growth kinetics of *I. maritima*.
- 2. To determine the best cultivation strategy for the combination of cell growth, lipid and polyunsaturated fatty acids (PUFA) production.
- 3. To determine the effect of different light intensity and aeration towards the optimum lipid yield and productivity.

2.0 Literature Review

2.1 Algae

Algae are simple organisms that belong to a large, diverse group of organisms. They are defined as thallophytes, also known as primitive plants which lack true roots, stems, leaves, embryo and possess chlorophyll-a as the primary pigment (Brennan and Owende, 2010; Singh and Gu, 2010), which differentiates them from higher plants. According to Barsanti *et al.*, (2008), the term "algae" refers to macroalgae (multicellular) and microalgae (unicellular), with the size ranging from 0.2-2.0 μ m (diameter) for picoplankton to 60 m for giant seaweed (fronds length). Macroalgae are mainly cultivated along the shorelines and have long been used for production of phycocolloids like agar–agar, alginates or carrageenan (Pulz and Gross, 2004). However, microalgae are cultivated in closed culture systems known as photobioreactor and are extensively investigated for their byproducts (lipid, fatty acid and pigments).

Algae are mainly aquatic and are found almost everywhere from freshwater springs to marine habitat, with tolerance to a broad range of light intensity, pH, temperature, oxygen (O₂) and carbon dioxide (CO₂). They can be either benthic algae; attached to stone, mud or other plants, or planktonic algae that are suspended throughout the lighted regions in the water, which is the case of most of unicellular species (Barsanti, 2008). Most of the algae are solitary cells, some having the ability to move using flagella and reproducing vegetatively (cell division or cell fragmentation), asexually (production of spores), or sexually (plasmogamy, karyogamy or meiosis).

Algae generate an enormous fraction of the oxygen present in the atmosphere and a large quantity of organic carbon in the form of coal and petroleum. Owing to their diversity, algae are divided into a few classes. The systematic classification of algae is primarily based on their pigment components (Harwood and Guschina, 2009). Prokaryotic members of this collection are grouped into two divisions, while eukaryotic members are grouped into nine divisions (Table 2.1).

Table 2.1: Classification of different algae groups (Andersen, 2004; Barsanti *et al.*, 2008).

Kingdom	Division	Class		
Duakanyata	Cyanophyte	Cyanophyceae		
Frokaryote	Prochlorophyte	Prochlorophyceae		
	Glaucophyte	Glaucophyceae		
	Phodonbyta	Bangiophyceae		
	Kilodopilyte	Florideophyceae		
		Chrysophyceae		
		Xanthophyceae		
		Eustigmatophyceae		
	Heterokontophyte	Bacillarophyceae		
		Raphidophyceae		
		Dictyochophyceae		
		Phaeophyceae		
	Haptophyte	Pavlovophyceae		
		Prymnesiophyceae		
Eukaryote	Cryptophyte	Cryptophyceae		
	Dinophyte	Dinophyceae		
	Euglenophyte	Euglenophyceae		
	Chlorarachniophyte	Chlorarachniophyceae		
		Prasinophyceae		
		Chlorophyceae		
		Ulvophyceae		
		Cladophorophyceae		
	Chlorophyte	Bryopsidophyceae		
	Chlorophyte	Zygnematophyceae		
		Trentepohliophyceae		
		Klebsormidiophyceae		
		Charophyceae		
		Dasycladophyceae		

2.1.1 Microalgae

The majority of the algal organisms are dominated by microalgae which are composed of more than several thousands of unexplored species (Natrah *et al.*, 2007). Microalgae are small (1-10 μ m) and non-cohesive organism. They are mainly eukaryotic though prokaryotic cyanobacteria are frequently included into the microalgae groups due to the similarities between each other (Mutanda *et al.*, 2011). Phycologists have categorized microalgae in a variety of classes, distinguished by their pigmentation, life cycle and basic cellular structure. It was suggested that the *Bacillariophyceae* (diatoms), *Chlorophyceae* (green microalgae) and *Chrysophyceae* (golden microalgae) represent the main important group amongst the microalgae classes due to their species abundance (Demirbas, 2010).

In recent decades, the biotechnology of microalgae has gained a lot of attention. Applications range from simple biomass production for food and feed to valuable products for ecological applications. The contributions of microalgae in aquaculture and health industries are widely known (Table 2.2). For these applications, the market is still developing and is expected to enhance by the employment of new biotechnological approach such as genetic modification of the microalgae.

To date, their applications have been extended into new areas, as an alternative to overcome the global shortages of fossil fuel. The current research has been focused on this topic and new species were explored substantially, with *Chlorella* and *Chlamydomonas* being the main workhorses (Figure 2.1).

Table 2.2: Microalgae with valuable products (Pulz, 2004; Mutanda *et al.*, 2011; Larkum *et al.*, 2012).

Microalgae	Products		
Arthrospira platensis	Nutritional supplements		
<i>Crythecodinium</i> sp.	Nutritional supplements, aquaculture feedstock, infants formula		
Dunaliella salina	β-carotene		
Haematococcus pluvialis	Carotenoids, astaxanthin		
Isochrysis galbana	Aquaculture feedstock		
Nannochloropsis sp.	PUFA		
Pavlova lutheri	Nutritional supplements, aquaculture feedstock		
Phaedactylum tricornutum	Nutritional supplements, aquaculture feedstock		
Spirulina platensis	Phycocyanin, nutritional supplements, infants formula		



Figure 2.1: Statistics on microalgae-biofuels publications since 1991 (Larkum *et al.*, 2012).

- a) Publication of microalgal-biofuel based on strain;
- b) Publication number by year for microalgae-biofuels.

2.1.2 Isochrysis maritima

Isochrysis is categorized as one of the haptophytes. *Isochrysis maritime* is rarely reported compared to the other phyla members such as *Isochrysis galbana* and *Pavlova* sp. (Andersen, 2004). Haptophytes are divided into two classes; Pavlovophyceae and Prymnesiophyceae, and this strain was classified under Prymnesiophyceae. As reported by Guiry and Guiry (2012), there are three species that have been taxonomically classified as *Isochrysis* which are *Isochrysis galbana*, *Isochrysis littoralis* and *Isochrysis maritima*. Due to their richness in carotenoid, the strain appears golden-brown in colour.

According to Billard and Gayral (1972), the young cells of the strain are usually non-motile and have a hemispherical (3 x 6 μ m) shape forming a cubic mass. As the cells approach maturity, they appear spherical (6 x 7 μ m) and encircled by concentric pectic envelopes. The strain reproduces asexually by division of the young non-motile cells and by production of elongated microtubules, with two homodynamic flagella. The mode of movement of this strain is highly specific. They move in a backward direction with rotation of the body around the long axis. Plate 2.1 showed the images of *I. maritima* used in the present study.

Owing to their richness in PUFA, *Isochrysis* sp. are becoming an important strain used in the aquaculture feedstocks (Table 2.2). The strain has been used as one of the main ingredients in an infant formula and health supplement in the form of biscuits enriched with EPA from the strain (Gouveia *et al.*, 2008).



Plate 2.1: Isochrysis maritima using light microscope under 100x magnifications.

2.2 Biochemical composition of microalgae

Microalgae contain lipids and fatty acids as membrane components, storage products, metabolites and sources of energy. In living organisms, lipids serve as storage material in the cell, structural components of cellular membranes and participate in signaling pathways (Fahy *et al.*, 2011). On the other hand, fatty acids are the building blocks of various types of lipid.

2.2.1 Lipids

Lipids are a diverse and ubiquitous group of compounds which serves several key biological functions in organisms. The term "Lipid" is often loosely used to describe any group of compounds that are insoluble in water but soluble in nonpolar solvents such as benzene, ether and chloroform (Bailey and Ollis, 1986).

According to Christie (2011) and Fahy *et al.*, (2011), lipids are classified into simple and complex groups. Simple lipids are those yielding, at most, two types of primary product per mole upon hydrolysis, mainly consist of fatty acid, glycerol (triacylglycerol; TAG, diacylglycerol;DAG), sterols and wax ester. As depicted in Figure 2.2, triacylglycerol is a glycerol esterified with three fatty acids, and in the presence of alcohol, TAG reacts to form biodiesel with glycerol as a by-product (Suali and Sarbatly, 2012). Hence, TAG is the main material in the production of biodiesel (Huang *et al.*, 2010). On the other hand, complex lipids are those yielding three or more hydrolysis products per mole, constituted of phospholipids and glycolipids.



Figure 2.2: Transesterification reaction of TAG extracted from microalgal oils for fatty acid methyl ester (biodiesel) production (Gong and Jiang, 2011).

Microalgae are recognized as one of the good lipid producer among other microorganisms. Owing to their vast biodiversity, the lipid content and productivity of the microalgal differ tremendously. The values of lipid content reported ranges from of the low 10 to 30 %up to 80% of the dry cell weight (Larkum *et al.*, 2012). Table 2.3 shows lipid content and lipid productivity of various species of microalgae.

The accumulation of lipid in microalgae cells depends on various factors. These include growth temperature, pН, nutrients. growth condition (photoautotrophic, heterotrophic, photoheterotrophic or mixotrophic), harvesting time and the strain (Perez-Garcia et al., 2011). According to Hu et al., (2008), the synthesis and accumulation of large amounts of TAG occur in the cell when the microalgae are placed under stress conditions imposed by chemical or physical environmental stress, either acting individually or in combination. These stress conditions can alter the normal metabolic pathways of cells, causing a metabolic preference from carbohydrate or protein to lipid synthesis. Amongst the stress employed, high light exposure and nutrient limitation are the most effective strategy in lipid accumulation (Packer et al., 2011; Go et al., 2012). These strategies are frequently applied in microalgae cultivation producing lipid and polyunsaturated fatty acids (PUFA).

Table 2.3: Lipid content and lipid productivity of various species of microalgae (Rodolfi *et al.*, 2009; Mata *et al.*, 2010).

Miaraalgaa strains	Lipid content	Lipid productivity
	(% biomass)	(mg/L/d)
Chaetoceros calcitrans CS 178	39.8	17.6
Chaetoceros muelleri F&M-M43	33.6	21.8
Chlorella sorokiniana IAM-212	19.3	44.7
Chlorella sp. F&M-M48	18.7	42.1
Chlorella vulgaris CCAP 211/11b	19.2	32.6
Chlorella vulgaris F&M-M49	18.4	36.9
Chlorococcum sp. UMACC 112	19.3	53.7
Ellipsoidion sp. F&M-M31	27.4	47.3
Isochrysis sp. (T-ISO) CS 177	22.4	37.7
Isochrysis sp. F&M-M37	27.4	37.8
Monodus subterraneus UTEX 151	16.1	30.4
Nannochloropsis sp. CS 246	29.2	49.7
Nannochloropsis sp. F&M-M24	30.9	54.8
Nannochloropsis sp. F&M-M26	29.6	61
Nannochloropsis sp. F&M-M27	24.4	48.2
Nannochloropsis sp. F&M-M28	35.7	60.9
Nannochloropsis sp. F&M-M29	21.6	37.6
Neochloris oleobundans	29-65	90-134
Pavlova lutheri CS 182	35.5	50.2
Pavlova salina CS 49	30.9	49.4
Phaeodactylum tricornutum F&M-M 40	18.7	44.8
Porphyridium cruentum	9.5	34.8
Scenedemus F&M-M19	19.6	40.8
Scenedemus quadricauda	18.4	35.1
Scenedemus sp. DM	21.1	53.9
Skeletonoma costatum CS 181	21	17.4
Skeletonoma sp. CS 252	31.8	27.3
Tetraselmis suecica F&M-M33	8.5	27
Tetraselmis suecica F&M-M35	12.9	36.4
Tetraselmis sp. F&M-M34	14.7	43.4
Thalassioria pseudonana CS 173	20.6	17.4

2.2.2 Fatty acid

Fatty acids are classified based on the number of carbon chain length, namely medium-chain length (MC; C10–C14), long-chain length (LC; C16–C18) and very long-chain length (VLC; \geq C20). They can be saturated or unsaturated with varying number and position of the double bonds on the carbon chain backbone (Hu *et al.*, 2008). Saturated fatty acids (SFA) contain no double bonds and mainly predominate in a form of palmitic acid (C16:0) and myristic acid (C14:0). In constrast, monounsaturated fatty acids (MUFA) have one double bond, primarily present as palmitoleic acid (C16:1) and oleic acid (C18:1). According to Basova (2005), SFA and MUFA make up of approximately 2.1-58.9% of the total fatty acid presence in microalgae, depending on the genetic inheritance of the microalgae species (Ratledge, 2004).

Polyunsaturated fatty acids (PUFA)s contain two or more double bonds in their carbon backbone. VLC-PUFAs, namely, docosahexanoic acid (DHA; C22:6n3), eicosapentaenoic acid (EPA; C20:5n4), arachidonic acid (AA; C20:4n6), linolenic acid (ALA; C18:3n6) and linoleic acid (LA; C18:2n6) are known to have a wide range of pharmaceutical applications in human and marine animals. They have been widely used in prevention and treatment of various diseases such as heart and inflammatory diseases in humans and also as the health supplement for physiological development of marine animals.

Initially, PUFAs are widely obtained from fish oil. However, in recent years, problem arise with its unpleasant odor, high purification cost and poor oxidative stability, accompanied by inadequate fish supplies making it less favorable (Li *et al.*, 2005). Microalgae have been known as a good PUFAs producer. In comparison to

fish, microalgae can directly produce fatty acid, making the process simple and economical (Harun *et al.*, 2010).



Figure 2.3: PUFAs of high pharmaceutical and nutritional value (Pulz and Gross, 2004).

AA; arachidonic acid; EPA; eicosapentaenoic acid; DHA; docosahexanoic acid.

2.2.3 General biosynthesis of lipid and fatty acid

The biosynthesis of lipid in microalgae was first described using *Chlamydomonas reinnhardtii*. Among the microalgal strain, *C. reinhardtii* has been a model organism for many fundamental biological processes and metabolisms study (Li-Beisson, 2012). It is generally believed that the basic pathways of lipid and fatty acid in microalgae are similar with higher plants. However, it has been poorly studied compared to higher plants. Figure 2.4(a) shows a modified illustration on basic overview of fatty acid and lipid biosynthesis pathway in microalgae.



Figure 2.4(a): Illustration on basic overview of pathway in fatty acid and lipid biosynthesis (Scott *et al.*, 2010).



The synthesis routes of lipid in microalgae may consist of the following three steps; (i) the biosynthesis of fatty acid; (ii) the biosynthesis of TAG; and (iii) the discharge of TAG in a form of lipid bodies. In microalgae, the complete pathway from carbon fixation to lipid formation occurs in the single cell, whereas the synthesis and accumulation of lipid in higher plant only occur in specific tissues or organs.



Figure 2.4(b): Fatty acid *de novo* synthesis pathway in microalgae (Hu *et al.*, 2008)

R1: acetyl CoA carboxylase;	R2: malonyl CoA:ACP transferase;	R3: 3-ketoacyl synthase;
R4: 3-ketoacyl-ACP reductase;	R5: 3-hydroxylacyl ACP dehydrase;	R6: enoyl ACP reductase

The metabolic pathway of fatty acid synthesis (shown in Figure 2.4b) is also known as *de novo* synthesis and occurs primarily in chloroplast. In fatty acid synthesis, acetyl CoA carboxylase (ACCase) serves as the main regulatory enzyme that controls the rate of fatty acid synthesis (Livne and Sukenik, 1990). The ACCase is generated by the conversion of glycosis-derived pyruvate and acts as the substrate for acetyl CoA. It catalyses the first reaction in the pathway by catalyzing the conversion of acetyl CoA to malonyl CoA. The transformation of malonyl CoA to malonyl ACP in the second reaction is considered as one of the important reactions in the pathway. The malonyl ACP produced serves as the central carbon donor for the subsequent fatty acid elongation process. The elongation process of fatty acid starts with a series of condensation process (R3), accompanied by three additional process; reduction (R4 and R6) and dehydration (R5). At the end of these four reactions, saturated C16-ACP or C18-ACP fatty acid or both are produced.

The biosynthesis of VLC-PUFAs in microalgae utilizes iterative desaturation and elongation process. Figure 2.4(c) shows the elongation and desaturation process of fatty acid to form VLC-PUFAs.



Figure 2.4(c): The elongation and desaturation of carbon chain of fatty acids in microalgae (Huang *et al.*, 2010).

The desaturation process of carbon chain of fatty acid at C18 starts by the introduction of cis-double bond at the specific position in the fatty acid chain (Khozin-Goldberg *et al.*, 2011). Further elongation process takes place to produce a VLC fatty acid (\geq 20C) (Huang *et al.*, 2010). The elongation process continues until the acyl group is removed from the ACP which indicates the termination of the

subsequent elongation process (Ohlrogge and Browse, 1995). The synthesized fatty acids are transferred from the chloroplast to the endoplasmic reticulum (ER) for the synthesis of membrane complex lipid and cytosolic simple lipid (mainly TAG). The simplified schematic diagram of TAG biosynthesis pathway is shown in Figure 2.6(d).

ii) Biosynthesis of lipid (TAG)



Figure 2.4(d): Simplified schematic diagram of triacylglycerol (TAG) biosynthesis pathway in microalgae (Hu *et al.*, 2008).

G-3-P: glycerol-3-phosphate;	Lyso-PA: lysophosphatidic acid;	PA: phosphatidic acid;
DAG: diglyceride;	TAG: Triacylglycerol	

Generally, G-3-P and acetyl CoA are two major primers in the biosynthesis of TAG. The synthesized fatty acid (fatty acyl CoA) reacts with one hydroxyl group of G-3-P, resulting in the formation of lyso-PA which later combines with another acetyl CoA to form PA. These two reactions are catalyzed by glycerol phosphate acyl-transferase. This is followed by PA being hydrolyzed by phosphatidate phosphatase to form DAG. Subsequently, DAG combined with the third acetyl-coA

to complete the biosynthesis of TAG, catalyzed by glyceryl diester transacylase (Hu *et al.*, 2008; Huang *et al.*, 2010). TAGs are release to the cytoplasm in a form of lipid bodies.

2.3 Microalgae cultivation modes

The growth characteristic and biochemical composition of microalgae is generally dependent on cultivation modes. There are four major types of cultivation modes: photoautotrophic, heterotrophic, photoheterotrophic and mixotrophic (Chen *et al.*, 2011). The biomass and lipid productivities of different microalgae species under different cultivation modes are shown in Table 2.4.

Table 2.4: The biomass and lipid productivity of different microalgae species under different cultivation conditions from recent publications.

Cultivation		P* biomass	P* Lipid	D 0
condition	Algal strain	(g/L/day)	(g/L/day)	References
Photoautotrophic	Botryococcus braunii UTEX57	0.03	5.5	Yoo <i>et al.</i> , (2010)
	Chaetoceros calcitrans CS178	0.04	17.6	Rodolfi <i>et</i> <i>al.</i> , (2009)
	Chlorella sorokiniana IAM- 212	0.23	44.7	Rodolfi <i>et</i> <i>al.</i> , (2009)
	<i>Chlorella</i> sp.	0.23	41.2	Rodolfi <i>et</i>
	F&M-M48			<i>ui</i> ., (2007)
	Chlorella vulgaris#259	0.01	38.0	Liang <i>et</i> <i>al.</i> , (2009)
	Chlorella vulgaris INETI 58	0.18	7.4	Gouveia & Oliveira (2009)
	Chlorella vulgaris F&M-M49	0.20	36.9	Rodolfi et al., (2009)
	Chlorella vulgaris	0.133	0.04	Heredia- Arroyo <i>et</i> <i>al.</i> , (2011)
	<i>Chlorococcum</i> sp. UMACC	0.28	53.7	Rodolfi et al., (2009)
	Dunaliella tertiolecta IPIMAR	0.12	20.0	Gouveia & Oliveira (2009)
	<i>Ellipsoidion</i> sp. F&M-M31	0.17	47.3	Rodolfi <i>et</i> <i>al.</i> , (2009)
	<i>Isochrysis</i> sp. (T- ISO) CS 177	0.17	37.7	Rodolfi <i>et</i> <i>al.</i> , (2009)
	<i>Isochrysis</i> sp. F&M- M37	0.14	37.8	Rodolfi et al., (2009)
	Monodus subterraneus	0.19	30.4	Rodolfi <i>et</i> <i>al.</i> , (2009)

	UTEX151			
	<i>Nannochloropsis</i> sp. CS246	0.17	49.7	Rodolfi <i>et</i> <i>al.</i> , (2009)
	Nannochloropsis oculata	-	0.32	Su <i>et al.</i> , (2011)
	<i>Tetraselmis</i> sp. F&M-M34	0.3	43.4	Rodolfi <i>et</i> <i>al.</i> , (2009)
Heterotrophic	Chlorella protothecoides	4.0-4.4	1.88	Cheng <i>et</i> <i>al.</i> , (2009)
	Chlorella vulgaris#259	0.15	35	Liang <i>et</i> <i>al.</i> , (2009)
	Chlorella protothecoides	0.99	0.25	Heredia- Arroyo <i>et</i> <i>al.</i> , (2010)
	Chlorella vulgaris	0.25	0.08	Heredia- Arroyo <i>et</i> <i>al.</i> , (2011)
	Chlorella zofingiensis	-	0.31	Liu <i>et al.</i> , (2011)
	Chlorella protothecoides	1.36	0.19	Heredia- Arroyo <i>et</i> <i>al.</i> , (2010)
Mixotrophic	Chlorella vulgaris #259	0.25	54	Liang <i>et</i> <i>al.</i> , (2009)
	Scendesmus obliquus	0.51	58.6	Mandal and Mallick (2009)
	Chlorella protothecoides	1.59	0.27	Heredia- Arroyo <i>et</i> <i>al.</i> , (2010)
	Chlorella sorokiniana	0.11	0.69	Wan <i>et al.</i> , (2011)
	ССТССМ209220			
	Chlorella vulgaris	1.62	0.25	Heredia- Arroyo <i>et</i> <i>al.</i> , (2011)
	Dunaliella salina	0.04	0.16	Wan <i>et al.</i> ,

	FACHB435			(2011)
	Nannochlropsis oculata CCMP525	0.05	0.19	Wan <i>et al.</i> , (2011)
	Pseudochlorococcum sp.	0.76	0.29	Li <i>et al.</i> , (2011)
	<i>Chlorella</i> sp.	0.45	0.11	Cheirsilp & Torpee (2012)
	Chlorella vulgaris	2.5	1.1	Mitra <i>et</i> <i>al.</i> , (2012)
	Nannochloropsis sp.	0.59	0.15	Cheirsilp & Torpee (2012)
	C. vulgaris	0.25	0.054	Liang <i>et</i> <i>al.</i> , (2009)
Photoheterotrophic	C. minutissima UTEX2341	1.78	0.29	Li <i>et al.</i> , (2011)
	C. minutissima UTEX2341	0.05	0.6	Yang <i>et</i> <i>al.</i> , (2011)
	<i>C. vulgaris</i> ESP-31	nd	0.115	Yeh & Chang, (2012)

*P** : productivity

2.3.1 Photoautotrophic

Photoautotrophic is the most employed cultivation modes in microalgae production. It is primarily employed to enhance light-regulated metabolites in microalgae. In photoautotrophic culture, the cells harvest light as an energy and utilize CO_2 as the main carbon source. Due to the elimination of organic carbon substrates, phototoautotrophic offers lower production cost than other cultivation condition. Moreover, the contamination problem is less severe, which makes it as the preferred condition for open pond cultivation condition (Chen *et al.*, 2011).

However, photoautotrophic condition severely limits the cell biomass production due to cellular self-shading that limits light penetration at high cell densities towards the end of the cultivation period (Cheirsilp and Torpee, 2012). Consequently, the low cell biomass concentration obtained will raise the biomass harvesting cost, which is one of the main concerns in microalgae cultivation.

2.3.2 Heterotrophic

Some of the microalgae have the ability to grow heterotrophically, utilizing organic carbon as the sole energy and carbon source for growth (Mata et al., 2010). Due to the absence of light, heterotrophic is known to be a cost-effective and also an alternative method for a mass cultivation of microalgae. In comparison to photoautotrophic, heterotrophic takes advantage of fast growth, high production rate, and convenient harvesting process (Zheng *et al.*, 2012).

Nevertheless, heterotrophic have several major limitations that should be taken into consideration. Table 2.5 shows several major limitation of heterotrophic cultivation condition.