

**TIME TO SUCCESS & ITS PROGNOSTIC
FACTORS AMONG PATIENTS UNDERWENT
MYRINGOPLASTY IN KELANTAN**

EMY ASMAR BINTI BADRUDIN

**UNIVERSITI SAINS MALAYSIA
2016**

**TIME TO SUCCESS & ITS PROGNOSTIC
FACTORS AMONG PATIENTS UNDERWENT
MYRINGOPLASTY IN KELANTAN**

by

EMY ASMAR BINTI BADRUDIN

**Thesis Submitted in Fulfillment of the Requirements
for the Degree of Master of Science (Medical Statistics)**

November 2016

ACKNOWLEDGEMENT

In the name of Allah, the Most Beneficent, the Most Merciful. All the praises and thanks be to Allah, the Lord of the mankind and all that exists for endowing me with good health, time, strength, patience and knowledge and also a good people surround to help me to complete this study.

My first appreciation goes to everyone who helped me throughout the process, contributed to the writing, ideas and supervised me with data reporting. I would like to convey my greatest appreciation to my main supervisor Professor Madya Dr. Sarimah Binti Abdullah, who gave me the opportunity to conduct this study under her kind supervision. My sincere gratitude also goes to my co-supervisor Dr. Najib Majdi bin Yaacob for his support, continuous guidance and meticulous suggestions. Not forgotten to all lecturers and staff in Unit of Biostatistic and Research Methodology for giving me valuable opinions about statistical analysis test. I am indebted to you.

I would also like to thank the experts who were involved in this study; Dr. Norasnieda Md Shukri from Otolaryngology Department, Hospital USM and also my co-researcher Dr. Zulkiflee bin Salahuddin the Head of Otolaryngology Department, Hospital Raja Perempuan Zainab II for constant support and contribution in my study improvement. Without their passionate and input, this research could not have been successfully conducted.

My sincere appreciation also goes to all Hospital USM medical record staff that helped me to locate the files in time as well as medical assistance staff of ENT Department HRPZ II who always willing to help me in tracing the records. To my dearest friends which I call family, I would rather thank you right now and today for constant motivation and prayers through my thick and thin. It's because of you that in

life, I always score a win.

Finally, I must express my very profound gratitude to my beloved husband, parents and family members for providing me with unfailing support and continuous encouragement through the process of researching and writing this thesis. Thank you for always stood by me like a pillar in times of need and strengthened my morale by standing behind me in all situations.

TABLE OF CONTENTS

ACKNOWLEDGEMENT	ii
TABLE OF CONTENTS	iv
LIST OF TABLES	x
LIST OF FIGURES	xi
LIST OF APPENDICES	xv
LIST OF ABBREVIATIONS	xvi
LIST OF SYMBOLS	xviii
ABSTRAK.....	xix
ABSTRACT.....	xxi
CHAPTER 1: INTRODUCTION	1
1.1 Background of study	1
1.2 Problem statement.....	2
1.3 Justification to conduct the study.....	4
1.4 Benefits of the study	6
1.5 Research questions.....	7
1.6.1 General objective.....	7
1.6.2 Specific objectives.....	7
1.6.3 Research hypotheses.....	8
CHAPTER 2: LITERATURE REVIEW	9
2.1 Anatomy of the Ear	9
2.1.1 The External Ear.....	10
2.1.2 The Middle Ear.....	11
2.2.1 Traumatic Perforations	14

2.2.2 Infective Perforations	15
2.3 Effect of Tympanic Membrane Perforations on Hearing.....	15
2.4 Hearing Loss	16
2.5 Myringoplasty	17
2.5.1 Expectation of Myringoplasty	18
2.5.2 Indications of Myringoplasty	18
2.5.3 Contraindications of myringoplasty	19
2.5.4 Patients Selection in Myringoplasty.....	19
2.5.6 Surgical Technique	21
2.6 Postoperative Outcomes Assessment.....	23
2.6.1 Otoscopy.....	23
2.6.2 Pure Tone Audiometry.....	24
2.6.3 Hearing Thresholds	24
2.7 Survival Analysis	25
2.8 Conceptual Framework.....	27
CHAPTER 3: METHODS.....	28
3.1 Study design.....	28
3.2 Study Duration & Location.....	28
3.3 Study Population & Sample.....	29
3.3.1 Reference and Source Population.....	29
3.3.2 Sampling Frame	29
3.3.3 Sample Size Determination	30
3.3.6 Sampling Method & Subject Recruitment	32
3.4 Data Collection Procedure	32
3.5 Research Instrument Tools.....	33

3.6.1 Independent Ethics Committee	33
3.6.2 Ethical conduct of the study	34
3.6.3 Patient Data Protection	34
3.7.1 Hearing loss	35
3.7.2 Median time to success.....	35
3.7.3 Censored observation	35
3.7.4 Success of myringoplasty	36
3.7.5 Myringoplasty	36
3.7.6 Prognostic factors	36
3.8 Statistical Analysis	38
3.8.1 Survival analysis.....	38
3.8.2 Descriptive Analysis.....	40
3.8.3 Univariable Analysis	40
3.8.4 Median Survival Time	40
3.8.5 Simple Cox Regression	41
3.8.6 Multivariable analysis: Multiple Cox Proportional Hazard Regression	41
3.8.7 Checking linearity of continuous variable	42
3.8.8 Checking for interaction	42
3.8.9 Checking for multicollinearity	42
3.8.10 Checking Specification Error	43
3.8.11 Assessment of Model Adequacy.....	43
3.8.12 Checking for Assumptions	43
3.8.13 Model Fitness Assessment.....	45
3.8.14 Remedial Measures	46
3.8.15 Final Model	46

3.8.16 Summary Steps in Survival Analysis	47
3.8.17 Study Flow Chart.....	48
CHAPTER 4: RESULTS	49
4.1 Profile of Patients Underwent Myringoplasty	49
4.1.1 Sociodemographic characteristic.....	49
4.1.2 Clinical Characteristic	50
4.2 Median Survival Time in Group Variables	53
4.3 Prognostic Factors.....	66
4.3.1 Simple Cox Proportional Hazards Regression	66
4.3.2 Multiple Cox Proportional Hazards Regression.....	68
4.3.3 Checking Linearity of Continuous Variable	70
4.3.4 Multicollinearity & Interaction	70
4.3.5 Specification Error	71
4.3.6 Checking Assumption of the Model.....	72
4.13 Model Fitness Assessment by Regression Residuals	87
4.14 Remedial Measure.....	96
4.15 Final Model	97
CHAPTER 5: DISCUSSION.....	100
5.1 Profile of Patients underwent myringoplasty.....	100
5.1.1 Socio-demographic Factors	100
5.2 Time to Success in Myringoplasty.....	101
5.2.1 Age.....	101
5.2.2 Marital Status.....	102
5.2.3 Postoperative URTI	102
5.2.4 Tympanosclerosis	103

5.2.5 Smoking status	103
5.2.6 Occupation.....	104
5.3 Prognostic Factors of Success in Myringoplasty	104
5.3.1 Gender	104
5.3.2 Smoking Status.....	104
5.3.3 Postoperative URTI	106
5.3.4 Size of perforation	106
5.3.5 Affected Side	107
5.3.6 Graft Used	107
5.3.7 Surgeon Rank	108
5.3.8 Associated Disease	109
5.3.9 Revision Case	110
5.3.10 Ear Discharge	110
5.3.11 Cause of perforation	111
5.3.12 Site of perforation.....	111
5.4 Study Strength and Limitations	112
CHAPTER 6: CONCLUSION & RECOMMENDATIONS	113
6.1 Conclusion	113
6.2 Recommendations.....	113
REFERENCES	115
APPENDICES	120
APPENDIX A: DATA COLLECTION FORM	121
APPENDIX B: ETHICAL APPROVAL FROM HUMAN ETHICS COMMITTEE OF USM.....	124
APPENDIX C: APPROVAL FROM DIRECTOR OF HOPSITAL USM.....	126

APPENDIX D: APPROVAL FROM MEDICAL RESEARCH ETHICS COMMITTEE.....	128
APPENDIX E: APPROVAL FROM DIRECTOR OF HOSPITAL RAJA PEREMPUAN ZAINAB II	130

LIST OF TABLES

Table	Title	Page
Table 4.1	Sociodemographic features of Patients underwent myringoplasty in Hospital USM and HRPZ II	51
Table 4.2	Clinical characteristic of patients underwent myringoplasty in Hospital USM and HRPZ II	52
Table 4.3	Median survival time of patients underwent myringoplasty in Hospital USM and HRPZ II	54-55
Table 4.4	Prognostic factors of postoperative success among patients underwent myringoplasty in Hospital USM and HRPZ II by Simple Cox Proportional Hazards Regression	67-68
Table 4.5	Prognostic factors of postoperative success among patients underwent myringoplasty in Hospital USM and HRPZ II by Multiple Cox Proportional Hazards	69
Table 4.6	Checking Multicollinearity of possible variables by Correlation Matrix	70
Table 4.7	Multicollinearity of possible Variables by Variation Inflation Factor (VIF)	70
Table 4.8	Possible Interaction between variables	71
Table 4.9	Checking specification error	71
Table 4.10	Test of Proportional Hazards Assumptions by Unscaled and Scaled Schoenfeld Test	86
Table 4.11	Remedial Measure	96
Table 4.12	Simple and multivariable Cox proportion hazard regression model of prognostic factors associated with success of myringoplasty among patients treated in Kelantan	98

LIST OF FIGURES

Figure	Title	Page
Figure 2.0	Vertical coronal diagrammatic section through right ear	10
Figure 2.1	Lateral view of left auricle	11
Figure 2.2	Right external auditory meatus	12
Figure 2.3	Right Tympanic membrane with four quadrants	13
Figure 2.4 (a)	The underlay technique	22
Figure 2.4 (b)	The underlay technique	23
Figure 2.5	The Degree of Hearing Lost	25
Figure 2.6	Prognostic factors of time to success among patients underwent myringoplasty	27
Figure 3.1	Summary of Survival Analysis	47
Figure 3.2	Study Flow Chart	48
Figure 4.1	Kaplan Meier survival curves for Age	56
Figure 4.2	Kaplan Meier survival curves for Gender	56
Figure 4.3	Kaplan Meier survival curves for Occupation	57
Figure 4.4	Kaplan Meier survival curves for Ethnicity	58
Figure 4.5	Kaplan Meier survival curves for Marital Status	58
Figure 4.6	Kaplan Meier survival curves for upper respiratory tract infection	59
Figure 4.7	Kaplan Meier survival curves for comorbid	59

Figure 4.8	Kaplan Meier survival curves for allergy	60
Figure 4.9	Kaplan Meier survival curves for revision	60
Figure 4.10	Kaplan Meier survival curves for ear discharge	61
Figure 4.11	Kaplan Meier survival curves for tympanosclerosis	61
Figure 4.12	Kaplan Meier survival curves for affected side	62
Figure 4.13	Kaplan Meier survival curves for cause of perforation	62
Figure 4.14	Kaplan Meier survival curves for site of perforation	63
Figure 4.15	Kaplan Meier survival curves for size of perforation	63
Figure 4.16	Kaplan Meier survival curves for graft used	64
Figure 4.17	Kaplan Meier survival curves for smoking status	64
Figure 4.18	Kaplan Meier survival curves for surgeon rank	65
Figure 4.19	Hazard function plot for Age	73
Figure 4.20	Hazard function plot for gender	73
Figure 4.21	Hazard function plot for smoking status	74
Figure 4.22	Hazard function plot for URTI	74
Figure 4.23	Hazard function plot for site of perforation	75
Figure 4.24	Hazard function plot for affected side	75
Figure 4.25	Hazard function plot for graft used	76
Figure 4.26	Hazard function plot for rank of surgeon	76
Figure 4.27	Log-minus-log plot for age	77

Figure 4.28	Log-minus-log plot for gender	78
Figure 4.29	Log-minus-log plot for smoking status	78
Figure 4.30	Log-minus-log plot for URTI	79
Figure 4.31	Log-minus-log plot for site of perforation	79
Figure 4.32	Log-minus-log plot for affected side	80
Figure 4.33	Log-minus-log plot for graft used	80
Figure 4.34	Log-minus-log plot for rank of surgeon	81
Figure 4.35	Schoenfeld residual for age	82
Figure 4.36	Schoenfeld residual for gender	82
Figure 4.37	Schoenfeld residual for smoking status	83
Figure 4.38	Schoenfeld residual for URTI	83
Figure 4.39	Schoenfeld residual for site of perforation	84
Figure 4.40	Schoenfeld residual for affected side	84
Figure 4.41	Schoenfeld residual for graft used	85
Figure 4.42	Schoenfeld residual for rank of surgeon	85
Figure 4.43	Cox-Snell Residuals	87
Figure 4.44	Martingale residuals against Time	88
Figure 4.45	Martingale residuals against rank of Time	89
Figure 4.46	Deviance Residual against Time (months)	90
Figure 4.47	The plot of time in weeks against df-beta residual of age	91

Figure 4.48	The plot of time in weeks against df-beta residual of gender	91
Figure 4.49	The plot of time in weeks against df-beta residual of smoking	92
Figure 4.50	The plot of time in weeks against df-beta residual of URTI	92
Figure 4.51	The plot of time in weeks against df-beta of size of perforation	93
Figure 4.52	The plot of time in weeks against df-beta of affected side	94
Figure 4.53	The plot of time in weeks against df-beta of graft used	94
Figure 4.54	The plot of time in weeks against df-beta of rank of surgeon (Specialist)	95
Figure 4.55	The plot of time in weeks against df-beta of rank of surgeon (Resident)	95

LIST OF APPENDICES

Appendix	Title
Appendix I	Data collection form
Appendix II	Ethical approval from Human Research Ethics Committee Universiti Sains Malaysia (HREC)
Appendix III	Approval from Director of Hospital Universiti Sains Malaysia
Appendix IV	Ethical approval from Medical Research Ethics Committee Kementerian Kesihatan Malaysia (MREC)
Appendix V	Approval from Director of Hospital Raja Perempuan Zainab II

LIST OF ABBREVIATIONS

A	Accrual time
CI	Confidence interval
df	degree of freedom
F	Additional follow-up
Hospital USM	Hospital Universiti Sains Malaysia
HRPZ II	Hospital Raja Perempuan Zainab II
LML	Log minus log
OR	odds ratio
PH	proportional hazard
PS	power and sample size calculation
HR	hazard ratio
IQR	interquartile range
LR	Likelihood ratio
m	ratio of control to experiments patients
m ₁	median survival time in first group
m ₂	median survival time in the second group
SD	standard deviations
WHO	World Health Organisation
SPSS	Statistical Package for Social Science
t	time
AOM	Acute otitis media
CSOM	Chronic suppurative otitis media
TM	Tympanic membrane

dB	Hearing decibel
PTA	Pure tone audiogram
CHL	Conductive hearing loss

LIST OF SYMBOLS

n	sample size
$\%$	percentage
$<$	Less than
$>$	More than
\leq	Less than or equal
\geq	Equal than or more
$=$	equal
α	Alpha (type I error)
b	regression coefficient
β	Beta (type II error)
R^2	regression correlation

ABSTRAK

TEMPOH MENCAPAI KEJAYAAN & FAKTOR PROGNOSTIK DI KALANGAN PESAKIT YANG MENJALANI MYRINGOPLASTY DI KELANTAN

Pengenalan: Myringoplasty merupakan prosedur pembedahan bertujuan untuk membaiki penembusan pada membran timpanum. Indikasi utamanya adalah untuk mencegah daripada infeksi kanal auditori luar dan untuk pemulihan pendengaran.

Objektif: Kajian ini dijalankan untuk menentukan median masa kejayaan dalam myringoplasty serta membandingkan masa untuk berjaya di antara kumpulan dan mengenalpasti faktor prognostik kejayaan dalam myringoplasty di kalangan pesakit di Kelantan. **Kaedah:** Kajian retrospektif telah dilaksanakan melibatkan 215 pesakit yang mengalami penembusan membran timpani dan menjalani myringoplasty dalam tempoh Januari 2006 hingga Disember 2015. Susulan semula ke atas pesakit dilakukan pada bulan ke 3, 6, 9, 12 dan 24 bermula dari hari pertama pembedahan dilakukan. Semua maklumat yang diperlukan diambil dari nota pembedahan dan juga borang perkembangan pesakit. Masa pesakit mencapai penutupan penuh membrane timpani serta pendengaran yang normal dikira sebagai berjaya manakala pesakit yang gagal untuk dijejaki atau gagal dalam pembedahan dipertimbangkan sebagai *censored*.

Keputusan: Analisis Kaplan Meier mendapati keseluruhan median masa untuk berjaya dalam myringoplasty adalah 19.9 (95%CI: 13.6, 44.0) bulan. Terdapat perbezaan masa untuk berjaya yang signifikan bagi pembolehubah umur, pekerjaan, status perkahwinan, URTI, tympanosclerosis dan status merokok. *Multiple Cox Proportional Hazard Regression* mendapati bahawa umur, jantina, status merokok, URTI, saiz penembusan, sisi yang terlibat, jenis graft dan rank pakar bedah mempunyai hubungan yang

signifikan dengan kadar kejayaan myringoplasty. **Kesimpulan:** Kadar kejayaan dalam myringoplasty didapati lebih rendah berbanding kadar yang biasa dinyatakan kepada klien semasa mengambil persetujuan disebabkan perbezaan dari aspek kriteria pemilihan pesakit, definisi kejayaan serta tempoh *follow up* selepas pembedahan. Tempoh untuk berjaya, hasil yang dijangkakan dan faktor prognostik perlu diterangkan dengan baik kepada klien dengan mengambilkira pelbagai definisi kejayaan dimana ekspektasi klien yang tidak realistik merupakan kontraindikasi bagi memperbaiki penembusan membran timpani melalui myringoplasty.

Kata kunci: myringoplasty, penutupan perforasi, kejayaan, pelekatan graft, pemulihan pendengaran

ABSTRACT

TIME TO SUCCESS AND ITS PROGNOSTIC FACTORS AMONG PATIENTS UNDERWENT MYRINGOPLASTY IN KELANTAN

Introduction: Myringoplasty is a surgical procedure which is use to repair a perforation in the tympanic membrane. Most common indications are to prevent further infection of the ear via external auditory canal and to improve hearing. **Objectives:** This study was conducted to determine the median time to success in myringoplasty as well as to compare the median time to success in myringoplasty between groups and also to identify the prognostic factors of success in myringoplasty among patients in Kelantan.

Methods: A retrospective cohort study was carried out on 215 patients having tympanic membrane perforations and underwent myringoplasty within the period of January 2006 to December 2015. From the day of surgery patients were retrospectively followed up at 3, 6, 9, 12 and 24 months. All the information needs had been retrieved from the operation's note and patient's progress sheet. Time when patients achieved complete closed of perforation with normal hearing was considered as time to success while those who were lost to follow up as well as failure case were censored observation. **Results:** Kaplan Meier analysis showed that overall median time to success in myringoplasty was 19.9 (95%CI: 13.6, 44.0) months. There were significant different of time to success between groups for variable age, occupation, marital status, smoking status, postoperative URTI, tympanosclerosis and smoking status. Multiple Cox Proportional Hazard Regression revealed that age, gender, smoking status, upper respiratory tract infection, size of perforation, affected side, graft used and rank of surgeon were significantly associated with success rate of myringoplasty. **Conclusion:** Success of a

myringoplasty appeared to be lower than commonly quoted success rates to the clients in taking consent due to different of patient's selection criteria, the definition of success used as well as the duration of follow up time after surgery. Time to success, postoperative expected outcome & the prognostic factors should be properly counselled when taking into account of various definitions of success as unrealistic patient expectation is the contraindications for repairing tympanic membrane through myringoplasty.

Key words: myringoplasty, surgical success rate, graft uptake, hearing improvement

CHAPTER 1: INTRODUCTION

1.1 Background of study

Tympanic membrane perforations result mainly from infectious and traumatic aetiologies. Perforations resulting from acute otitis media and traumatic heal spontaneously in most cases (Athanasiadis-Sismanis, 2010). However, persistent perforation with otorrhea commonly associated with hearing loss.

From 2015 recently updated World Health Organization media centre's information, it is stated that over 5% of the world's population has disabling hearing loss consisting 328 million adults and 32 million children with majority comes from low and middle income countries (WHO, 2015). Dhingra, PL. (2007) explained that there are three types of hearing loss; conductive hearing loss, sensorineural hearing loss and both conductive and sensorineural hearing loss.

Chronic suppurative otitis media can be differentiates from other chronic forms of otitis media with the presence of a persistent tympanic perforation and middle ear discharge (WHO, 2004). Disruption of the eardrum and ossicles assembly (conductive hearing loss) or hair cell damage by bacterial infection that has penetrated the inner ear (sensory hearing loss), or both (mixed hearing loss) had produces mild to moderate conductive hearing loss in more than 50% of cases (Chao and Wu, 1994).

Myringoplasty is a simple repair of tympanic membrane perforation without ossicular reconstruction (Manolidis, 2003). It is a special procedure indicates for tympanic membrane perforations and associated hearing loss, with or without middle ear pathology. The aims of this surgery is to restore the integrity of the tympanic

membrane, avoid possible otological or intracranial effects or complications and improve the sound transmission mechanism (Ordenez-Ordenez *et al.*, 2008). Many study showed that the success rate of myringoplasty varies with different factors were found influencing the outcome of the surgery. However, a few study reported the median time to success or time when 50% of the patient's success in the surgery after considering loss to follow up and failure cases.

1.2 Problem statement

Many studies reported different success rate of myringoplasty with varies definition of postoperative success at different follow-up times. Some researchers interested in anatomical success and some interested in both anatomical and pathological success together with hearing outcomes. It is important to use the correct definition of successful treatment as many studies reported the differences of success rate when it is defined as anatomical success only or anatomical with functional success.

Karela *et al.*, (2008) defined the successful operation achieved when the patient had intact tympanic membrane at three and six months follow-up period respectively (Karela *et al.*, 2008). Their research also considers on hearing outcomes which is improvement of postoperative air conduction by 10 dB hence was accepted as hearing improvement but this parameter was not in the postoperative success definition. Triolo, R., and O'Leary, S., (2009), stated that surgical outcomes in their study are expressed in terms of successful closure of the tympanic membrane, improvement in air conduction (AC) PTA and in terms of the number of patients who achieved normal or mildly impaired hearing ($AC\ PTA \leq 40\ dB$). But similarly like the previous study mentioned,

the hearing gain outcomes variable has been analyzed separately. Same goes to Joshi *et al.*, (2013) whose defined successful surgery achieved when ear was dry and the tympanic membrane intact and mobile at the end of three months' follow-up with separately analyze pure tone audiograms at three months' post-operative.

More briefly, the operation was considered failed if perforation were still present at three months postoperatively (Becker and Lubbe, 2011). On the contrary, it was mentioned that success of myringoplasty was defined according to two distinct anatomical and audiological criteria (Knapik and Saliba, 2011). It was achieved when ear with grade I tympanic membrane retraction and myringosclerosis were considered intact postoperatively together with postoperative difference of no more than 10 dB in the mean bone conduction threshold. The outcomes were measured at 6, 12 and 24 months postoperatively. Similarly, previous study defined the true success rate of myringoplasty as an intact tympanic membrane at 12 months post-surgery without evidence of effusion together with preservation of hearing at 12 months (Kumar *et al.*, 2010)

However, the definition of true success rate in this study are much more similar to the study carried in Japan whose defined successful of treatment as an intact tympanic membrane without evidence of adhesion, retraction or effusion at the 12 months' postoperative visit together with hearing preservation (Takahashi-Tatsumi *et al.*, 2013).

Furthermore, a few studies have discussed about the optimal time to achieve the postoperative success. They only report the variation of follow-up period without describing the median time to achieve the event which can be finding through survival analysis. Some studies report only on descriptive data which is not giving so much benefit to the treatment. For an example, a study conducted in Melaka had reported the

number of cases achieved closure of perforation and numbers of patients had reduced in size of perforation postoperatively (Subramaniam and Abdul, 2006).

Pertaining to the grade of surgeon conducting the procedure, most studies were carried out with same surgeon conducting the surgery. A study conducted by Karela *et al.*, (2008) represented a single experienced ear surgeon who either performed or supervised all procedures leads to a homogeneous approach which in contrary a more heterogeneous group of surgeons of different grades using different techniques or variations of similar techniques could eventually lead to different results. Therefore, this study is to look on the outcomes when considering for three levels of surgeon; consultant, specialist and residents.

1.3 Justification to conduct the study

WHO (2010), further highlights that the major preventable causes of hearing impairment in low and middle-income countries are middle ear infections, excessive noise, inappropriate use of certain drugs, problems during childbirth and vaccine-preventable infections. The burden of otitis media occurs overwhelmingly in the developing world with almost nine times more cases reported compare to developed countries (Smith and Mathews, 2006). Otitis media with effusion (OME) in children is one of the most common condition encountered by the practising otorhinolaryngologists in Malaysia (Abdullah *et al.*, 2007). According to the researchers, no proper study on the clinical and audiology profiles on chronic otitis media with effusion in the Northeast of Malaysia since the patients who attended the public hospital generally are from a relatively poorer socioeconomic background with predominance of the Malay race (Abdullah *et al.*, 2007).

Hearing loss may be perpetuated in these sub-urban communities because of the exposure to more risks of hearing loss, such as unhygienic living conditions, disease outbreaks, lack of access to healthcare, and poorer knowledge about prevention (Swanepoel *et al.*, 2010). Thus, a cycle of hearing loss contribute to poverty and poverty contributing to hearing loss may be perpetuated in the developing world (Swanepoel *et al.*, 2010). According to Albera *et al.*, (2006), myringoplasty is a common procedure in otology practice to surgically repair the tympanic membrane which is indicates for infections, social reasons and hearing improvement. However, this treatment is a challenging procedure with some great variations in outcomes among various surgeons and institutions.

In Malaysia, a few studies had been carried out to identify the true time to success of myringoplasty and the prognostic factor of the postoperative success. In 2005, the efficacy of preserved human amniotic membrane as an alternative graft material were evaluated in terms of its anatomical and functional outcomes to repair tympanic membrane defects during myringoplasty (Harvinder *et al.*, 2005). In the following year, Subramaniam and Abdul had done a descriptive study on day care myringoplasty in Hospital Melaka. They did include data as side and size of perforation, grade of surgeon, surgical approach, graft material, postoperative dressings, and the need for overnight admission, complication and outcome without analysing the relationship among them (Subramaniam and Abdul, 2006).

Furthermore, a few studies include patients smoking status as one of the prognostic factor for the outcomes. Recently study in Bangladesh shows that smoking cigarettes significantly increase the risk of hearing impairment especially at higher frequency, compare to the non-smoker group. The smoker group was found at higher risk of developing hearing loss due to showing higher hearing thresholds at various frequencies tested (Sumit *et al.*, 2015). Therefore, variable smoking status has been included in this study.

1.4 Benefits of the study

This study conducted to identify the predictive factors for total closure of perforation with normal hearing after surgery as well as the median time of the event after surgery as it is very important in patient's post-surgery management to ensure complete healing and to avoid any complications accordingly. Findings of the associate factors for the interest outcome is useful for any precaution in order to optimized the healing improvement progressing time as speedy recovery will provide more benefits to the patients. Besides, the findings on optimal healing can be apply in giving consent to patients before undergoing the treatment as well as identifying the clinical progression of disease with intervention.

1.5 Research questions

- i. What is the socio-demographic & clinical characteristic of patients undergoing myringoplasty in Kelantan?
- ii. What is the median time to success among patients underwent myringoplasty in Kelantan based on socio-demographic and clinical factors?
- iii. Is there any differences of time to success between groups based on socio-demographic and clinical factors?
- iv. What is the prognostic factor for success of myringoplasty among patients in Kelantan?

1.6 Research objectives

1.6.1 General objective

To determine median time to success and its prognostic factor among patients underwent myringoplasty in Kelantan, Malaysia.

1.6.2 Specific objectives

- i. To describe the socio-demographic and clinical characteristic of patients underwent myringoplasty in Kelantan.
- ii. To determine the overall median time to success in myringoplasty among patients in Kelantan.
- iii. To compare the median time to success in myringoplasty between groups based on socio-demographic and clinical factors.
- iv. To identify the prognostic factors of success in myringoplasty among patients in Kelantan.

1.6.3 Research hypotheses

Hypothesis Alternative (H_a):

- i. There is a difference of median time to success in myringoplasty between groups based on socio-demographic and clinical factors.
- ii. There is an association between identified prognostic factors with success of myringoplasty among patients in Kelantan.

CHAPTER 2: LITERATURE REVIEW

Mainly all the literature search pertaining to myringoplasty, tympanoplasty type I, hearing loss, tympanic membrane perforation, chronic suppurative otitis media, trauma perforation, upper respiratory tract infection and hearing test was widely done by search engines such as Google Scholar, Science Direct, MedLINE and EBSCOhost. The title divided into search concepts and a few of alternative keywords were identified for each concept. For example, the concept of “hearing outcome in myringoplasty” were alternatively search as “audiological result in myringoplasty” and concept of “prognostics factors of success in myringoplasty” alternatively searches by “factors influencing outcome in myringoplasty / tympanoplasty type I”. Various searching strategy had been applied such as combination of terms with the used of Boolean connectors (AND, OR and NOT). Other search strategies used were searching by phrase and using truncation or synonyms words. The entire literature search were published from 2006 to 2016 was included as clinically up to date. However, scoping reviewed on books was not limited to the date published.

2.1 Anatomy of the Ear

The human ear consists of three parts; external ear, the middle ear, and the inner ear (Yueh *et al.*, 2003). The anatomy structure of the three parts viewed in figure 1.0 (Dale and Kerr, 1989).

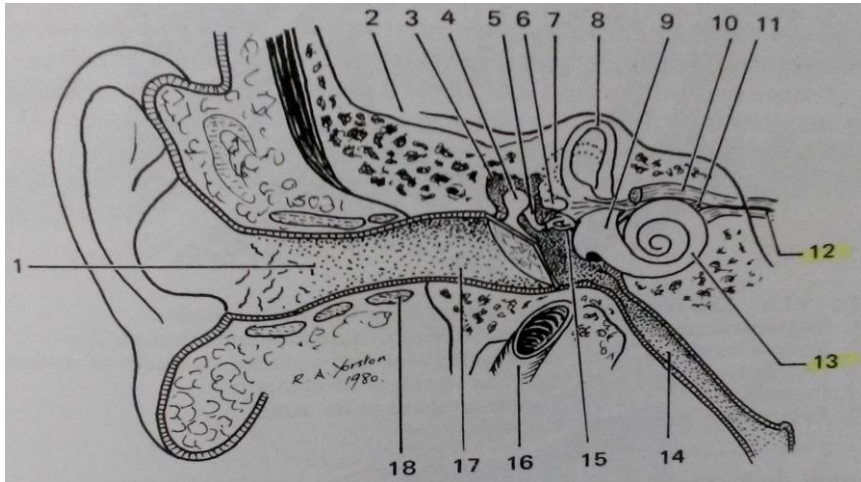


Figure 2.0 Vertical coronal diagrammatic section through right ear

- | | |
|---|----------------------------------|
| 1. External meatus, cartilaginous part | 10. Facial nerve |
| 2. Middle cranial fossa | 11. Vestibular nerve |
| 3. Attic | 12. Cochlear nerve |
| 4. Malleus | 13. Cochlea |
| 5. Incus | 14. Eustachian tube |
| 6. Position of semicircular canal | 15. Stapes |
| 7. Position of posterior semicircular canal | 16. Internal carotid artery |
| 8. Superior semicircular canal | 17. Bony part of external meatus |
| 9. Vestibule | 18. Cartilage |

2.1.1 The External Ear

The external ear consists of the pinna (auricle) and the external auditory canal, and it is immediately accessible to physical examination. Its function is thought to be largely protective, although its physical configuration may provide moderate (5 – 15 dB) passive augmentation of sounds at the upper range of speech processing frequencies (Yueh *et al.*, 2003). Figure 1.1 showed the lateral view of left auricle (Dale and Kerr, 1989).

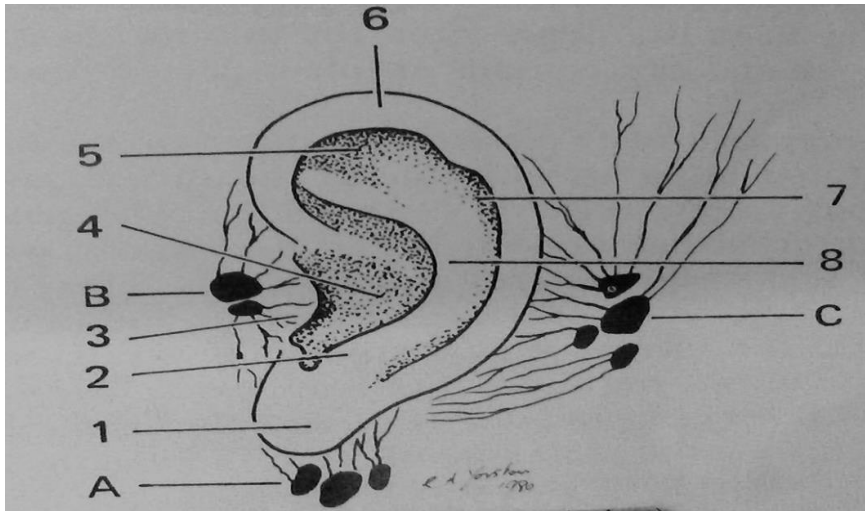


Figure 2.1 Lateral view of left auricle

- | | |
|-----------------------|---------------------------|
| 1. Lobule | 10. Facial nerve |
| 2. Antitragus | 7. Scapha |
| 3. Tragus | 8. Antihelix lymph nodes: |
| 4. Cavum conchae | A. Superficial cervical |
| 5. Fossa triangularis | B. Preauricular |
| 6. Helix | C. Postauricular |

2.1.2 The Middle Ear

The middle ear is bounded laterally by the tympanic membrane (eardrum) and medially by the osseous labyrinth, which is the bone-encased structure that houses the end organs of hearing (cochlea) and balance (semicircular canals). The healthy middle ear is an air-filled cleft that contains the three ossicles (malleus, incus, and stapes) that transduce vibrations from the tympanic membrane to the oval window of the fluid-filled cochlea. The substantially larger area of the tympanic membrane, compared with that of the oval window, and the relatively minor mechanical gain from the ossicular configuration combine to amplify sound pressures by 20 to 30 dB (approximately the difference between a whispered voice and normal conversational speech) (Yueh, B. *et al.*, 2003).

The middle ear consists of the tympanic membrane that terminates the ear canal

and the three small bones (ossicles), the malleus, the incus and the stapes together with tensor tympany muscle and the stapedius muscle as shown in figure 1.2 (Dale and Kerr, 1987). The manubrium of malleus is embedded in the tympanic membrane and the head of the malleus is connected to the incus that in turn connects to the stapes, the footplate of which is located in the oval window of the cochlea. The chorda tympani are a branch of the facial nerve (the nervous intermedius) that travels across the middle ear cavity which carries taste fibres and probably also pain fibres. The Eustachian tube connects the middle ear cavity to the pharynx (R.Moller, 2006).

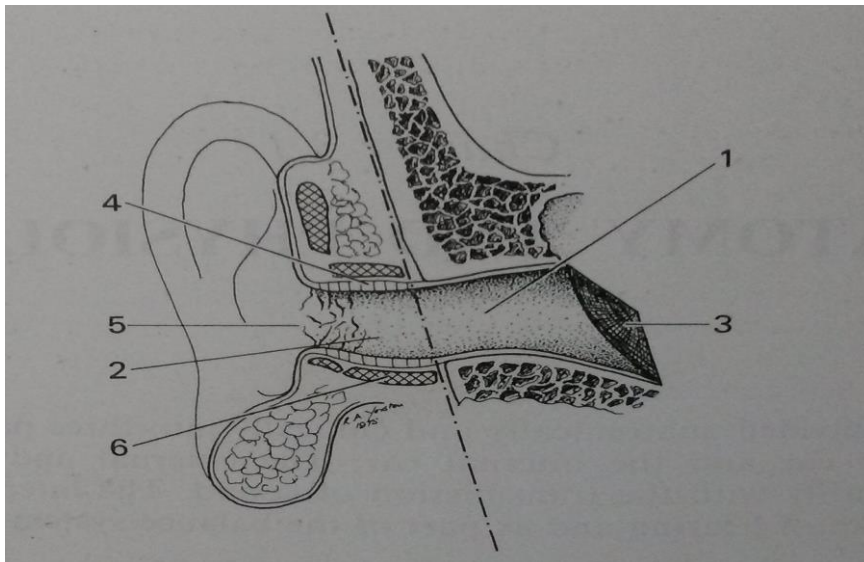


Figure 2.2 Right external auditory meatus

- | | |
|-----------------------|---|
| 1. Bony part | 4. Hair follicles and ceruminous glands |
| 2. Cartilaginous part | 5. Introitus |
| 3. Tympanic membrane | 6. Meatal cartilage |

2.1.2.1 Tympanic Membrane

The tympanic membrane is a slightly oval, thin membrane that terminates the ear canal. It is cone-shaped, with an altitude of two mm with the apex pointed inward. Seen from the ear canal, the membrane is slightly concave and is suspended by a bony

ring. Normally it is under some degree of tension. Its surface area is approximately 85 mm². The main part of the tympanic membrane, the pars tensa with an area of approximately 55 mm², is composed of radial and circular fibres overlaying each other. These fibres are composed of collagen and they provide a lightweight stiff membrane that is ideal for converting sound into vibration of the malleus. A smaller part of the tympanic membrane, the pars flaccida, located above the manubrium of malleus, is thicker than the pars tensa and its fibres are not arranged as orderly as the collagen fibres of the pars tensa.

The tympanic membrane is covered by a layer of epidermal cells, continuous with the skin in the ear canal. This outer layer of the tympanic membrane migrates from its center outwards and this moves small injuries and scars and transports small foreign bodies out in to the ear canal. Small holes in the tympanic membrane usually heal spontaneously (Moller, A.R., 2006). For purpose of description the membrane is divided into four quadrants by imaginary lines, one drawn horizontally through the umbo while the other bisects this line at right angles as shown in Figure 1.3(Dale and Kerr, 1987)

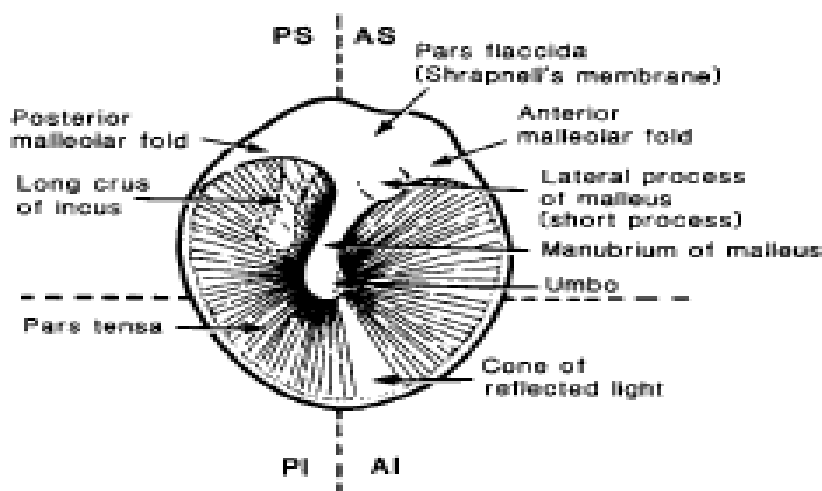


Figure 2.3. Right Tympanic membrane with four quadrants:
 PS=posterior-superior, AS=anterior-superior,
 PI=posterior-inferior, AI=anterior-inferior

2.1.2.2 Middle Ear Cavities

The middle ear cavities consist of the tympanum (the main cavity) that lies between the tympanic membrane and the wall of the inner ear (the promontorium), a smaller part (the epitympanum) that is located above the tympanum, and a system of mastoid air cells. The head of the malleus is located in the epitympanum. The middle-ear cavity and the eustachian tube are covered with mucosa. The total volume of the middle-ear cavities is often given to be approximately 2 cm³, but the size of the middle ear cavities varies considerably from person to person and if the volume of the mastoid air cells is included, the total volume can be as large as 10 cm³ (R.Moller, 2006).

2.2 Tympanic Membrane Perforation

Tympanic membrane perforations are a common case that is due to infection, ventilation tube insertion or trauma (Saliba *et al.*, 2011).

2.2.1 Traumatic Perforations

Traumatic perforations are referring to tears in the tympanic membrane and considers significant when involved a large section of the membrane (Carrasco, V., 1998). Large traumatic tympanic membrane perforations can heal without any intervention in approximately three to six weeks and additional time may be allotted if the significant healing is not complete while repairing is recommended if there is no sign of healing or the quality of new membrane is poor. Traumatic perforations with

tympanic membrane flaps that extend into the middle ear heals poorly and were treated immediately by bringing the flap out into position and approximating the edges with either a paper patch (Carrasco, V., 1998).

2.2.2 Infective Perforations

Klien J.O., (2000) stated that acute otitis media (AOM) is a very common condition and a leading cause of health care visits and antibiotic prescription. WHO estimated that 50% of people who suffer from hearing impairment were those among 65 and 330 million individuals who suffer from chronic suppurative otitis media (Acuin, 2004). Otitis media effusion can be define as the presence of middle ear effusion without signs of acute infection (Takata *et al.*, 2002). It is stated that the presence of bubbles in the middle ear cavity is a reliable sign of middle ear effusion but their absence does not reliably exclude effusion. CSOM is persistent discharge of pus through a perforated tympanic membrane (Gunasekera *et al.*, 2009). The duration of 'persistent' varies in different studies. The World Health Organization, 2004 uses a definition of two weeks. If the discharge is of shorter duration, the child would be considered to have AOM with perforation.

2.3 Effect of Tympanic Membrane Perforations on Hearing

The tympanic membrane (TM) serves as a key component of the tympano-ossicular system for sound transmission. Perforation of the TM is common in an otologic practice and can result from various causes such as trauma and chronic otitis media. Perforations of the TM can result in a conductive hearing loss (CHL) that ranges

from negligible to 50 dB and the effects of TM perforations on middle-ear sound transmission were not well characterized (Mehta *et al.*, 2006). The primary mechanism of conductive hearing loss due to perforation is a reduction in ossicular coupling caused by a loss in the sound pressure difference across the tympanic membrane (Merchant and Rosowski, 2010).

In the experimental approach, measurements of middle-ear sound transmission were made in cadaveric temporal bones before and after controlled TM perforations of various sizes and locations. In the theoretical approach, a quantitative, physics-based model of the human middle-ear was used to understand the mechanisms of hearing loss resulting from a TM perforation. The dominant mechanism causing hearing loss in ears with TM perforations is a reduction in the sound pressure difference across the TM and not the phase cancellation at the round window (Mehta *et al.*, 2006).

2.4 Hearing Loss

The causes of hearing loss and deafness can be divided into congenital and acquired causes. Congenital cause can be hereditary and non-hereditary genetic factors or by certain complications during pregnancy and childbirth including maternal rubella, syphilis or certain other infections during pregnancy, low birth weight, lack of oxygen at the time of birth, inappropriate use of particular drugs during pregnancy and also by severe jaundice during neonatal period while acquired causes were due to infectious diseases, chronic ear infections, collections of fluid in the ear, drugs reaction, injury to the head or ear, excessive noise, ageing and also wax or foreign bodies (WHO, 2015). Hearing loss also results from pathologic conditions along the sound transduction pathway. The cochlea and to a lesser extent, the middle and external ear are commonly

involved; pathologic conditions of the auditory nerve or brainstem rarely manifest as hearing loss (Agrawal *et al.*, 2008).

Hearing loss is a societal problem. It is known to be highly prevalent, and the cost of needs increased and diminished autonomy associated with hearing loss is shared by society. The prevalence of hearing loss in the United States is predicted to rise significantly because of an aging population and the growing use of personal listening devices. Indeed, there is concern that we may be facing an epidemic of hearing impairment (Agrawal *et al.*, 2008). In one study conducting by Marke Trak Survey, the incidence of hearing loss per 1000 households increased to 283 from 266 in 1989. In 2004, this equates to 31.5 million people reporting a hearing difficulty. Hearing loss population grew 9.9% compared to a 6.8% increase in US households (Kochkin, 2005).

2.5 Myringoplasty

Perforations due to otitis media heal spontaneously in the majority of cases and perforations due to traumatic etiologies also heal spontaneously within 4 to 6 weeks (Athanasiadis-Sismanis, 2010). However in certain cases these perforations may remain and associated with hearing loss. Myringoplasty is a surgical procedure introduced by Berthold in 1878 but was only in 1956 when Wullstein and Zoellner developed some fundamental principles in modern practice (Wullstein, H., 1956). It is said to be the surgical restoration of the perforated tympanic membrane by grafting, with the principal goals being a 'dry ear' and improved hearing (Merchant *et al.*, 2003). The principal indication of myringoplasty are recurrent otorrhea, the desire to swim without having to waterproof the ear and to improve conductive hearing loss (Sergi *et al.*, 2011).

2.5.1 Expectation of Myringoplasty

The aim of the myringoplasty is to produce a safe, dry ear and if possible to restore or improve the hearing which depends upon the nature and extent of the disease (Dale and Kerr, 1988).

2.5.2 Indications of Myringoplasty

2.5.2.1 Protection

Closing tympanic membrane perforations isolated the middle ear from external environment and prevent contamination by exposure to pathogens introduced via the external auditory canal. Repeated exposure to pathogens can lead to recurrent, acute otitis media with consequent permanent alteration of the middle ear and its sound transmitting mechanism or active chronic otitis media with otorrhea that is refractory to the treatment (Athanasiadis-Sismanis, 2010).

2.5.2.2 Auditory

Closure of TM perforation restores the vibratory area of the membrane and affords round window protection, thus improving hearing and decreasing tinnitus. Therefore, patients with tympanic membrane perforation associated with hearing loss with or without middle ear pathology such as tympanosclerosis, small retraction pockets

and choleastetoma were indicated for the treatment (Manolidis, 2003).

2.5.3 Contraindications of myringoplasty

Poor general health, malignant tumors of the outer and middle ear, uncontrolled cholesteatoma, unusual infection, complications of chronic ear disease and non-functioning Eustachian tube were reported as contraindications for myringoplasty. Furthermore, a patient with tympanic membrane perforation on the only better hearing ear was also contraindicated for this procedure. However, exacerbation of chronic otitis media, chronic mucoid discharge associated with allergic rhinosinusitis or chronic otitis externa should be controlled with appropriate treatment prior to the surgery (Dale and Kerr, 1988).

2.5.4 Patients Selection in Myringoplasty

The following intervention should be thoroughly practice to achieve the target of the treatment and in identifying risk factors for failure of the proposed myringoplasty.:

- i. A proper history of the patients relates to the tympanic membrane perforation and a complete head and neck assessment should be taken
- ii. The clinical assessment should include diagram of the perforation which can be obtain by high quality photograph of the otoscopic findings.
- iii. Patient should undergo pure tone air and bone conduction audiometry testing along with speech discrimination evaluation.
- iv. Patient should be explain on the nature of the problem, the proposed treatment

and alternatives therapies, expected outcome and potential complications either in verbal or written explanations (Manolidis, 2003).

2.5.5 Factors considered preoperatively

2.5.5.1 Eustachian Tube Function

A normal contralateral ear indicates a proper of Eustachian tube function. Success of surgery associated with bilateral pathology especially in children (Caylan *et al.*, 1998) but it should not prevent the surgical intervention (Manolidis, 2003).

2.5.5.2 Control of infection

Proper aural toilet, improvement monitoring in otorrhea, ear irrigation and antibiotic administration has been practicing in infection control of the affected ear. The effectiveness of control intervention is depends on patient compliance and consideration on mastoid involvement, severe allergies and incomplete treatment of the offending organism (Manolidis, 2003).

2.5.5.3 Allergies

Coexistent inhalant allergies as well as environmental allergies prior to surgery should be identifying before performs the treatment. The status of the upper respiratory tract influences the Eustachian tube function thus affect the outcome of surgery.

2.5.5.3 Age of the Patient

Previous study reported variations result of myringoplasty among different age of patients. Consensus stated that myringoplasty among children are not encourage for as many reported a lower success rate compared to adult patient. However, the reasons of the differences are not clear and commonly related to higher incidence of otitis media and its predisposing factors among children (Knapik and Saliba, 2011).

2.5.5.4 Status of Contralateral Ear

The choice of which ear to repair is depends on hearing status which the worse hearing ear should be operated first. However, a better hearing contralateral ear that threatens health such as cholesteatoma and active infection should be consider to operate first (Wasson *et al.*, 2009).

2.5.6 Surgical Technique

2.5.6 Grafting Techniques

There are two types of grafting techniques in myringoplasty, which are underlay and overlay. Graft placement in the underlay technique is medial to the tympanic membrane remnant and manubrium of the malleus while in the overlay technique graft placement is lateral to the tympanic membrane remnant and medial to the manubrium.

Both techniques give excellent results as long as properly performed by experienced surgeons. Figure 2.4 (a) and (b) shows the grafting procedure with underlay technique (Athanasiadis-Sismanis, 2010).

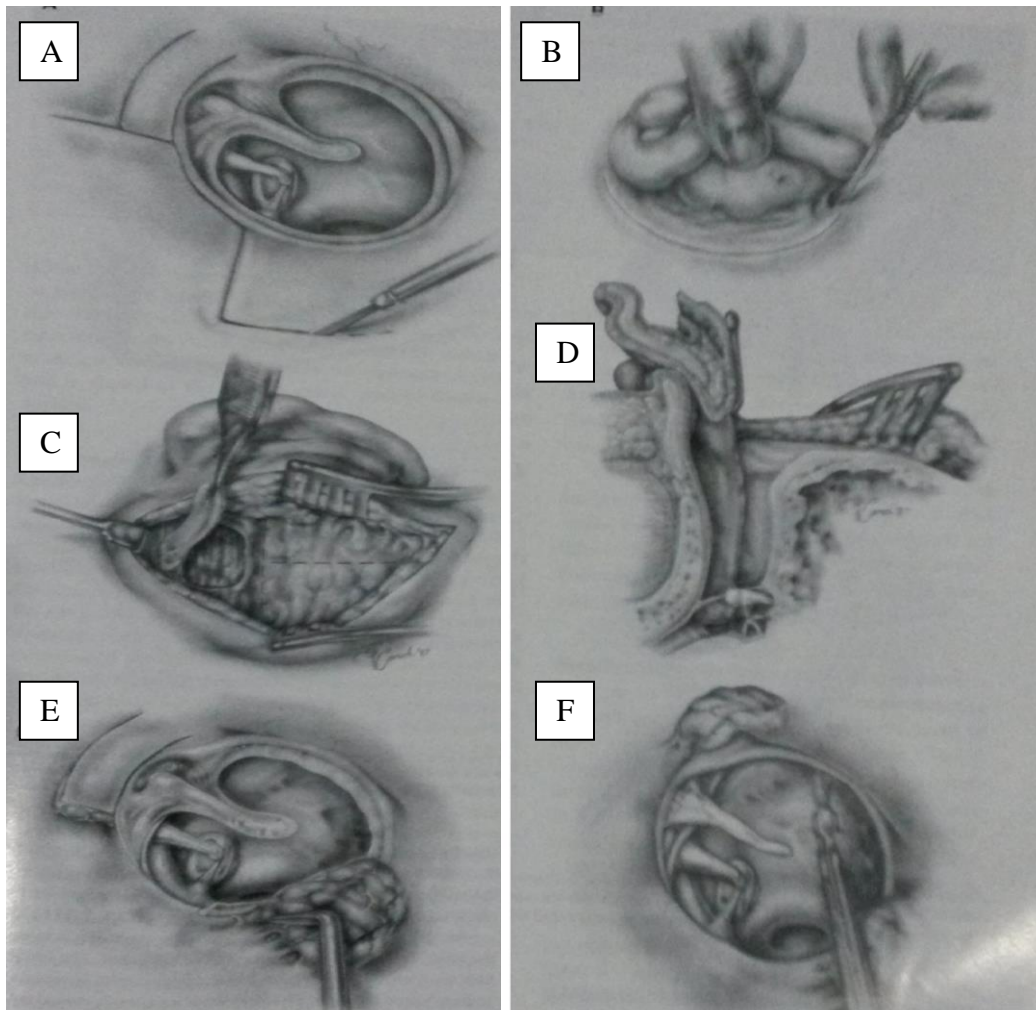


Figure 2.4 (a) The underlay technique with grafting by temporalis fascia

A: The Vascular strip is outline with a no.67 Beaver blade

B: standard postauricular incision is used to exposed the temporalis fascia

C: Larger piece of loose areolar temporalis fascia is removed, pressed and dried under a heat lamp

D: The vascular strip is lifted out of the external auditory canal and placed under the anterior blade of a self retaining retractor

E: The inferior flap is elevated to the fibrous annulus with a House No.2 knife

F: Cup forceps remove mucosa from under the fibrous annulus

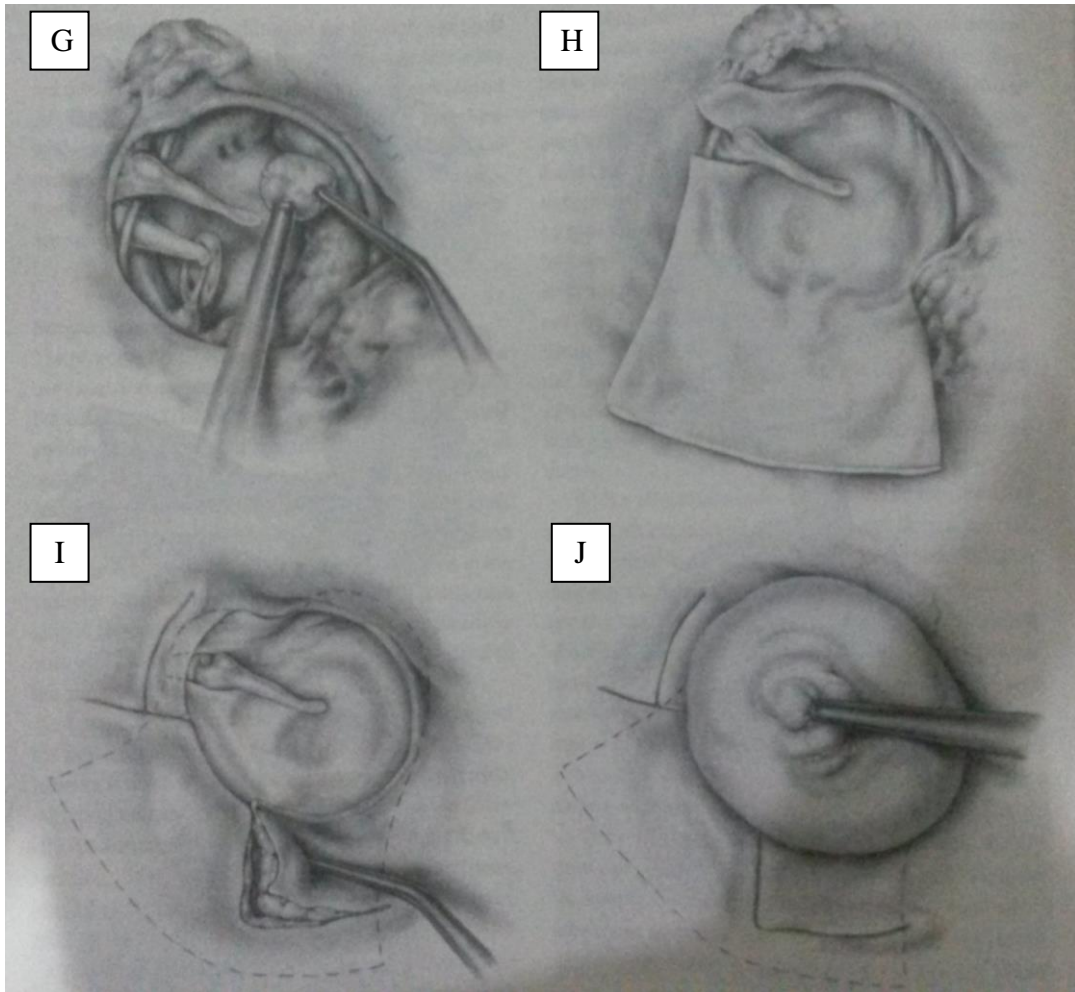


Figure 2.4 (b) The underlay technique with grafting by temporalis fascia

G: Moist absorbable gelatine sponge (Gelfoam) is packed into the entire middle ear space, starting in the Eustachian tube orifice

H: The graft is placed into the middle ear so that it lies under the annulus anteriorly.

I: When the inferior and superior flaps are replaced, the graft is held securely in position

J: The ear canal is filled with polymixin B and polysporin ointment instead of packing at the end of the procedure

2.6 Postoperative Outcomes Assessment

2.6.1 Otoscopy

Otoscopy is the clinical examination of the external auditory meatus especially the tympanic membrane perforation closure and the passage leading to it from the

external meatus using an instrument that magnifies thru lenses and lights the area, known as otoscope. The important of performing otoscopy is to identify several common problems that preclude sound from entering the ear as well as to identify the abnormalities compared to the known norm (Oxford Press, 2007).

2.6.2 Pure Tone Audiometry

Pure tone audiometry is the common behavioural procedure used to determine both the degree and aetiology of hearing loss. Pure tone audiogram is a measure of threshold of hearing by air and bone conduction and thus the degree and type of hearing loss (Oxford Press, 2007). An audiometer is an electronic device which produces pure tones, the intensity of which can be increased or decreased in 5 dB steps. The amount of intensity that has to be raised above the normal level is a measure for degree of hearing impairment at that frequency and is recorded in the form of a graph called audiogram. The threshold of air and bone conduction (A-B gap) is a measure of the degree of conductive deafness. It may be noted that audiometer is so calibrated that the hearing of a normal person, both for air and bone conduction is at zero dB and there is no A-B gap.

2.6.3 Hearing Thresholds

According to Trudgen R., 2008, hearing threshold were classified into six categories that is normal hearing (-10 to 25 dB), mild hearing loss (26 to 40 dB), moderate hearing loss (41 to 55 dB), moderately severe hearing loss (56 to 70 dB), severe hearing loss (71 to 90 dB) and profound hearing loss (≥ 91 dB). Conductive