# EVALUATION OF NEUROPROTECTIVE PROPERTIES OF ECHIUM AMOENUM L. ETHANOLIC EXTRACT

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# EVALUATION OF NEUROPROTECTIVE PROPERTIES OF ECHIUM AMOENUM L. ETHANOLIC EXTRACT

by

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Thesis submitted in fulfillment of the requirements

for the degree of

**Master of Science** 

# This thesis is dedicated to ...

My Parents, Farah and Majid

whose love is the biggest treasure in my life and never can be repaid

My Husband, Roozbeh

who loves me, encourages me, supports me,

accepts my flaws and is never hard on me

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## TABLE OF CONTENTS

Pag	•
ACKNOWLEDGMENT	ii
TABLE OF CONTENTSi	V
LIST OF TABLES	X
LIST OF FIGURESx	κi
LIST OF ABBREVIATIONSxii	ii
LIST OF SYMBOLSxvi	ii
LIST OF PUBLICATIONSxvii	ii
LIST OF PAPER PRESENTATIONxi	X
ABSTRAKx	X
ABSTRACTxx	ii
CHAPTER ONE- LITERATURE REVIEW	1
1. Neurodegeneration and Neurodegenerative Diseases- General Definition	1
1.1. Mechanism of Neurodegeneration	4
1.1.1. Reduced Neurotrophin	
1.1.2. Excitotoxicity and Glutamate Release	5
1.1.3. Free Radical Generation	7
1.1.4. Oxidative Stress	8
1.1.4.1. GSH is a Key Endogenous Antioxidant	
1.1.5. Apoptosis1	2
1.1.5.1. Extrinsic Pathway (Receptor Pathway)	4
1.1.5.2. Intrinsic Pathway (Mitochondria-Dependent Pathway)	5
1.1.6. Hypoxia1	6
1.1.6.1. Hypoxia-Induced Factor (HIF)	7

1.1.7.	Role of Neuroinflammation	18
1.2. The	e Anatomy of the Eye	22
1.2.1.	The Retina	23
1.2.2.	Retinal Ganglion Cells	24
1.3. Opt	tic Neurodegeneration	25
1.3.1.	Retinal Ganglion Cell Degeneration	25
1.3.2.	Nonarteritic Anterior Ischemic Optic Neuropathy	25
1.3.2.	1. Treatment of Acute NAION	27
1.3.3.	Glaucoma	28
1.3.3.	1. Current and Potential Therapeutic Strategies for Glaucoma	29
1.3.4.	Diabetic Retinopathy	30
1.3.5.	Retinal Ischemia	32
1.3.5.	1. How Can Ischemia Affect Retinal Ganglion Cell Survival?	33
1.3.5.	2. Retinal Ischemia in Disease	34
1.3.5.	3. Current and Potential Therapeutic Strategies for Retinal Ische	emia
		35
1.4. Ani	imal Models of Optic Neurodegeneration	36
1.4.1.	Axotomy by Optic Nerve Transection	38
1.4.2.	Crush Injury	38
1.4.3.	Transient Ischemia	38
1.4.4.	Chronic Elevated IOP	41
1.5. Eva	aluation and Analysis of Optic Neurodegeneration	41
1.6. Nei	uroprotection	42
1.6.1.	Mechanism of Neuroprotection	42
1.6.1.	1. Limiting Neuronal or Axonal Injury	42
1.6.1.	2. Prevention of Oxidative Injury	43
1.6.1.	3. Prevention of Excitotoxicity	45
1.6.1. Disea		
1.6.2.	Recent Advances in Neurodegeneration Therapy	47
1.6.3.	Intrinsic Neuroprotective Properties of Plant Extracts	
1.7. <i>Ech</i>	hium amoenum L. as a Potential Neuroprotective Agent	54
1.7.1.	Taxonomy	54

1.7.2.	Traditional Uses of Echium amoenum L.	55
1.7.3.	Phytochemistry and Pharmacological Properties of Echium amoenun	
10 41		
	ns	
•	jectives	
	Study Plan	
CHAPTER	TWO – MATERIALS AND METHODS	60
2.1. Ma	terials	60
2.2. Equ	ipments and Apparatus	64
2.3. Pla	nts Used in Screening Study	66
2.3.1.	Preparation and Extraction of <i>E. amoenum</i>	66
2.4. Cel	l Line and Cell Culture Conditions	66
2.4.1.	Harvesting and Counting of Cells	67
2.5. Cyt	totoxicity and In-vitro Neuroprotective Studies	67
2.5.1.	Cell Viability Testing by MTT Assay	67
2.5.1.	1. Preparation of Cells	67
2.5.1.	2. Treatment of Cells	68
2.5.1.	3. Viability Testing by MTT Test	68
2.5.1.	4. Analysis of Results	68
2.5.2.	Serum Deprivation Assay	69
2.5.3.	Determination of Apoptotic Cells with Hoechst Staining Method	70
2.5.4.	Determination of Effect of E. amoenum on Caspases 3/7, 8 and 9	
Levels		
	tioxidant Capacity Assays	
2.6.1. ethyl-be	Determination of Radical Scavenging Activity Using 2, 2-azinobis (enzothiazoline-6-sulfonic acid) (ABTS) Assay	`
2.6.2. Picrylhy	Determination of Radical Scavenging Activity by 2,2-Diphenyl-1- ydrazyl (DPPH) Assay	73
2.6.3.	β-carotene Bleaching Method	
2.6.4.	Assessment of Total Intracellular GSH	
2.6.5.	Evaluation of Glutathione-S-Transferase (GST) Level	
	giogenesis Studies	
2.7.1	Rat Aorta Ring Anti-angiogenesis Assay (RARA)	77

	2.7.2.	Preparation of Aortic Rings	. 77
	2.7.3.	Preparation of the Tissue Culture Plates	. 77
	2.7.4.	Treatment of the Aortic Tissue Explants	. 78
	2.7.5.	Quantification of Microvessels Outgrowth	. 78
	2.7.6.	Analysis of the Results	. 79
	2.8. Cy	totoxicity on Endothelial Cells	. 79
	2.9. De	etermination of VEGF Concentration by HUVECs	. 80
	2.10.	In vivo Study of Mice Ischemic Optic Neuropathy Model	. 81
	2.10.1.	Experimental Animals	. 81
	2.10.2.	Fluorescence Molecular Tomography (FMT) Imaging	. 82
		Screening for Chemical Compounds Present in E. amoenum Ethanol	
	2.11.1.	Phytochemical Screening of the Extract	
	2.11.	1.1. Estimation of Total Phenolic Content	. 83
	2.11.	1.2. Determination of Total Flavonoid Content	. 84
	2.11.2.	GC-MS Spectrometry	. 84
	2.12.	Statistical Analysis	. 85
C	CHAPTER	THREE- SCREENING FOR NEUROPROTECTIVE PROPER	TY
C	F E. AM	OENUM EXTRACT	. 86
	0.1		0.4
		traction Method	. 86
	•	totoxicity Studies of Effects of <i>E. amoenum</i> on Cell Viability and sm of Neuroprotection	. 86
	3.2.1.	Effect of <i>E. amoenum</i> Extracts on Viability of RGC-5 Cells	
	3.3. Ef	fect of <i>E. amoenum</i> Extracts on Serum Deprived RGC-5 Cell Viability	
	3.3.1.	Neuroprotetive Effect of <i>E. amoenum</i> Water Extract on Viability of	
	RGC-5	Cells Exposed to Serum Deprived Condition	. 88
		fect of <i>E. amoenum</i> Ethanol Extract on Viability of RGC-5 Cells in eprived Condition	. 89
	3.4.1. on Seru	Effect of <i>E. amoenum</i> Ethanol Extract on Morphology of RGC-5 Ce am Deprived Condition	
	3.5. Su	mmary of Results	. 92
	3.6. <i>In</i>	vitro Anti-Apoptotic Study	. 92

	5.1. Assessment of <i>E.amonum</i> Ethanol Extract on Serum Deprived Induced poptosis to RGC-5 Cells Evaluated Using Hoechst Staining
3.6.2	
5 Ce	lls94
3.7.	Summary
СНАР	TER FOUR- ANTIOXIDANT CAPACITY OF ECHIUM AMOENUM
•••••	
4.1.	Preview96
4.2.	In vitro Measurements of Antioxidant Activity of E. amoenum
	2.1. ABTS (2,2-azinobis [3-ethyl-benzothiazoline-6-sulfonic acid]) Radical avenging Assay
4.2	2.2. DPPH Scavenging of <i>E. amoenum</i> Ethanol Extract
	2.3. Antioxidant Activity of <i>E.amoeum</i> Ethanol Extract, Determined by β-rotene Bleaching Method
4.3.	Effect of <i>E. amoenum</i> Ethanol Extract on GSH and GST Levels in Serum
Debi	ived RGC-5 Cells
4.4.	
4.4.	
4.4. <b>CHAP</b>	Summary
4.4. CHAP AMOE	Summary
4.4.  CHAP  AMOE  5.1.	Summary
4.4. CHAP AMOE 5.1. 5.2.	Summary
4.4.  CHAP  AMOE  5.1.  5.2.	Summary
4.4.  CHAP  AMOE  5.1.  5.2.  Acc	Summary
4.4.  CHAP  AMOE  5.1.  5.2.  5.2  Ac  5.2	Summary
4.4.  CHAP  AMOE  5.1. 5.2.  5.2  Ac  5.2  5.3	Summary
4.4.  CHAP  AMOE  5.1. 5.2.  5.2  Ac  5.2  5.3	Summary
4.4.  CHAP  AMOE  5.1. 5.2. 5.2 Ac 5.2 5.3. Lysa 5.4.	Summary
4.4.  CHAP  AMOE  5.1. 5.2. 5.2 Ac 5.2 5.3. Lysa 5.4.  CHAP	Summary
4.4.  CHAP  AMOE  5.1. 5.2. 5.2 Ac 5.2 5.3. Lysa 5.4.  CHAP	Summary
4.4. CHAP  AMOE  5.1. 5.2. 5.2 Ac 5.2 5.3. Lysa 5.4. CHAP  NEUR	Summary
4.4. CHAP  AMOE  5.1. 5.2. 5.2 Ac 5.2 5.3. Lysa 5.4. CHAP  NEUR  USING	Summary
4.4. CHAP  AMOE  5.1. 5.2. 5.2 Ac 5.2 5.3. Lysa 5.4. CHAP  NEUR  USING  6.1.	Summary

CHAPT	TER SEVEN-	SCREENING	FOR	CHEMICAL	COMPOUNDS
PRESE	NT IN E. AMOI	ENUM ETHANC	L EXT	RACT	117
7.1.	Phytochemical S	Screening of E. an	noenum	Ethanol Extract.	117
7.1.		olic and Flavonoid			
					117
7.2.	Gas Chromatogi	raphy Mass Specti	rometry	(GC-MS)	118
СНАРТ	TER EIGHT- GI	ENERAL DISCU	SSION		122
СНАРТ	TER NINE- CON	NCLUSION	•••••		134
9.1.	Suggestion for F	Future Studies			136
9.2.	Limitation of the	e Study			136
REFER	ENCES				138
APPEN	DICES				193

## LIST OF TABLES

I I	age
Table 3-1 Cytotoxic, IC <sub>50s</sub> of different extractions of <i>E. amoenum</i>	87
Table 5-1 Inhibitory effect of <i>E. amoenum</i> ethanol extract on blood vessel growth	108
Table 6-1 Comparison of Fluorescent Intensity (mm <sup>2</sup> ) and % inhibition of ische	emia
induced fluorescent signal in treated and control groups	. 115
Table 7-1 Phytochemical contents of <i>E. amoenum</i> ethanol extract	. 117
Table 7-2 Chemical composition screened in the ethanol extract of the E. amoe	num
by GC-MS	. 119

### LIST OF FIGURES

Page
Figure 1-1 Process of Neuron Degeneration
Figure 1-2 Trauma to the central nervous system
Figure 1-3 Retinal Cellular Structure
Figure 1-4 Glutathione metabolism
Figure 1-5 Glutathione synthesis
Figure 1-6 Schematic views of the differences between apoptosis and necrosis 12
Figure 1-7 Proposed interaction between intrinsic and extrinsic apoptotic pathways 14
Figure 1-8 General apoptotic pathways
Figure 1-9 Cysteine cathepsins involvement in neurodegeneration and inflammation-
induced neurodegeneration
Figure 1-10 Basic structure of human eye
Figure 1-11 Fundus photographs of left eye
Figure 1-12 Schematic picture of the selective ligature of ophthalmic vessels 40
Figure 1-13 Violet-Blue Petals of <i>Echium</i> amoenum <i>L.</i> plant
Figure 1-14 Schematic diagram of the study plan
Figure 3-1 Effect of E. amoenum extracts on viability of RGC-5 cells
Figure 3-2 Effect of E. amoenum water extract on serum deprived RGC-5 cell
viability
Figure 3-3 Effect of E. amoenum ethanol extract on serum deprived RGC-5 cell
viability90
Figure 3-4 Morphology of RGC-5 cells subjected to serum deprivation91
Figure 3-5 Representative apoptotic RGC-5 cells exposed to serum deprived
condition using Hoechst 33342 staining
Figure 3-6 Effect of <i>E. amoenum</i> ethanol extract on caspases 3/7, 8 and 9 levels 95
Figure 4-1 Free radical scavenging activity of ethanol extract of E. amoenum
measured by ABTS assay
Figure 4-2 Effect of <i>E. amoenum</i> ethanol extract on DPPH scavenging activity 101
Figure 4-3 β-carotene bleaching assay of <i>E. amoenum</i> ethanol extract
Figure 4-4 Effect of <i>E. amoenum</i> ethanol extract on GSH levels
Figure 4-5 Effect of <i>E. amoenum</i> ethanol extract on GST levels
Figure 5-1 Effect of <i>E. amoenum</i> ethanol extract on blood vessel growth

Figure 5-2 Antiangiogenic effect of ethanol extract of <i>E. amoenum</i>
Figure 5-3 Anti-proliferation activity of E. amoenum ethanol extract on HUVEC
cells
Figure 5-4 Effect of E. amoenum ethanol extract on HUVECs VEGF concentration
Figure 6-1 Fluorescence Molecular Tomography images without Region of Interest
(ROI)
Figure 6-2 Fluorescence Molecular Tomography images with Region of Interest
(ROI)
Figure 6-3 Graphical representation of the intensity of fluorescence signal generated
after induction of ischemia
Figure 7-1 GC-MS chromatogram of ethanol extract of the <i>E. amoenum</i>
Figure 9-1 Schematic presentation of neuroprotective effect of E. amoenum ethanol
extract in mice model of optic nerve ischaemia

#### LIST OF ABBREVIATIONS

ABTS 2,2'-Azino-bis-

(3-ethylbenthiazoline-sulphonic acid)

ACS14 Hydrogen sulfide releasing derivative

of aspirin

ACS67 Latanoprost acid derivative

ALA α-lipoic acid

ATCC American type culture collections

ATP Adenosine triphosphate

Bcl-2 β-cell CLL/lympha 2

BHT Butylated Hydroxytoluene

CO<sub>2</sub> Carbon dioxide

CNS Central nervous system

cm Centimeter

DEVD-, Amino acid sequence

substrate for caspase 3/7

DMEM Dulbecco's modified eagle medium

DMSO Dimethyl sulfoxide

DNA Deoxyribonucleic acid

DPPH 2,2-diphenyl-1-picrylhydrazyl

ELISA Enzyme-linked immnuosorbent assay

Echium amoenum ethanol

ECA-EE extract

Echium amoenum water

extract

E. amoenum *Echium amoenum L.* 

eV Electron volt

FBS Fetal bovine serum

g Gram

**ECA-WE** 

HIF-1α Hypoxia inducible factor 1- alpha

HIFCS Heat inactivated fetal calf serum

GC-MS Gas chromatography-mass

spectrometry

GSH Glutathione

GST Glutathione S-Transferase

h Hour

H<sub>2</sub>O<sub>2</sub> Hydrogen peroxide

H<sub>2</sub>SO<sub>4</sub> Sulfuric acid

HUVEC Human umbilical vein endothelial cells

IC<sub>50</sub> Inhibitory concentration of 50%

IOP Intraocular Pressure

km Kilometer

LEHD- Amino acid sequence substrate

for caspase 8

LETD- Amino acid sequence substrate

for caspase 9

m/z Mass-charge ratio

mCB Monochlorobimane

mg/mL Miligram per millilitre

mg miligram

min Minute

mL Millilitre

mm Millimeter

mM Millimolar

nm nanometer

mRNA Messenger ribonucleic acid

MTT 3-(4,5-Dimethylthiazol-2-yl-2,

5-diphenyl tetrazolium bromide

NAION Nonarteric Anterior Ischemic

Optic Neuropathy

NMDA N-methyl-D- aspartate

Na<sub>2</sub>CO<sub>3</sub> Sodium carbonate

NaCl Sodium chloride

NaNO<sub>2</sub> Sodium nitrate

NIST National institute of standards

NFk-B Nuclear factor kappa B

P53 tumor suppressor protein 53

PBS Phosphate buffered saline

pg/mL picogram per millilitre

RGC Retinal ganglion cells

ROS Reactive oxygen species

rpm Revolutions per minute

R<sup>2</sup> Regression coefficient

RT Retention time

s Second

S.E.M Standard error of the mean

TMB Tetramethylbenzidine

U/mL Units per millilitre

UV Ultraviolet

V/V Volume per volume

VEGF Vascular endothelial growth factor

WHO World health organization

μg/mL Microgram per millilitre

 $\mu M$  Micromolar

μm Micrometer

# LIST OF SYMBOLS

Alpha
β
Beta

Degree
Celsius

Percent

#### LIST OF PUBLICATIONS

Ghazaleh Behnammanesh, Saba Khalilpour, Mohamed Khadeer Ahamed Basheer, Doblin Sandai2, Shah Kamal Khan Jamaldin, Amin Malik Shah Abdul Majid, Dan Ji\*, Aman Shah Abdul Majid\* "Investigation of neuroprotective mechanisms of Echium amoenum on Optic Nerve Injury models: in-vitro and in-vivo study". (Submitted American Journal of Physiology- Cell Physiology, February 2015).

Saba Khalilpour, **Ghazaleh Behnammanesh**, Amin Malik Shah Abdul Majid, Dan Ji \*, Aman Shah Abdul Majid\* "Evaluation of neuroprotective effects of Rhus coriaria on transformed rat retinal ganglion cells (RGC-5) and mice model of ischemic optic neuropathy. (Submitted to PLOS ONE, December 2014).

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#### PENILAIAN SIFAT-SIFAT PERLINDUNGAN NEURO BAGI

#### EKSTRAK ETANOL ECHIUM AMOENUM L.

#### **ABSTRAK**

Dalam penyakit degeneratif neuro iskemia dan hipoksia, tekanan oksidatif, eksitotoksisiti dan keradangan semuanya boleh membawa kepada kehilangan neuron. Kematian sel ganglion akibat degenerasi saraf optik boleh disebabkan oleh kesakitan iskemia berselang-selang sepanjang tempoh yang ditetapkan. Perlindungan neuro bertujuan untuk mencegah, melambatkan atau menterbalikkan kerosakan neuron akibat daripada keadaan asas patofisiologi. Matlamat kerja ini adalah untuk menilai sifat-sifat perlindungan neuro daripada tumbuhan asli Iran Echium amoenum L. Kajian telah dijalankan untuk menyimpulkan sama ada E. amoenum boleh menumpulkan pengaruh negatif kekurangan serum terhadap sel-sel ganglion retina (RGC-5) dalam kultur dan juga terhadap kesakitan iskemia tertakrif dalam saraf optik tikus. Anti-apoptotik, anti-oksidan, gerak balas angiogenik dan aktiviti-aktiviti antiradang semuanya disiasat. Kajian lanjut seterusnya dijalankan untuk mencirikan entiti perubatan aktif yang hadir dengan menggunakan Jisim Spektrometri-Kromatografi Gas (GC/MS). Ekstrak kloroform, etanol, n-heksana, dan akueus daripada kelopak E. amoenum telah disediakan melalui teknik maserasi/pemaseratan dan disaring dengan titisan sel RGC-5 untuk sifat-sifat neurotoksiknya. Ekstrak etanol dan air adalah tidak toksik. Walau bagaimanapun kajian in vitro ischemia mendedahkan bahawa ekstrak etanol *E. amoenum* pada 5 μg/mL adalah berkesan dalam menyelamatkan RGC-5 sel-sel daripada kesan buruk kekurangan serum dengan ketara mengekalkan daya maju (cerakin MTT), mengurangkan sel-sel apoptotik (pewarnaan Hoescht) dan penanda caspases 3/7, 8 dan 9 (cerakin Caspase)

mereka. Sifat-sifat perlindungan neuro E. amoenum dalam melemahkan keadaan tekanan oksidatif telah disimulasi dengan menguji kapasiti antioksidan menggunakan DPPH, ABTS dan ujian pelunturan β-karotena serta kemampuannya untuk meningkatkan tahap glutation (GSH) dan glutation-S-transferase (GST). Aktiviti IC<sub>50</sub> yang radikal masing-masing ialah 10.89  $\pm$  0.082 µg/mL, 15.91  $\pm$  0.10 µg/mL dan 9.49 ± 0.12 μg/mL. E. amoenum ekstrak etanol pada 5 μg/mL dengan ketara meningkatkan tahap GSH dan GST. Saringan dengan GC/MS mendedahkan jumlah fenolik dan flavanoids yang tinggi menyokong sifat mujarab antioksidan. Kesannya terhadap angiogenesis telah dinilai melalui cerakin cincin aorta tikus (RARA), ekstrak etanol E. amoenum pada 100 μg/mL menghalang daripada 93.22 ± 0.127% pembentukan saluran darah dan mengurangkan dengan ketara aktiviti hipoksia yang disebabkan faktor kepekatan VEGF dalam Lisat HUVECs daripada 240.89 ± 0.00 pg/mL hingga  $68.15 \pm 9.56$  pg/mL. Tambahan pula *E. amoenum* adalah sitotoksik terhadap HUVECs dalam cerakin percambahan. Dalam model tikus albino BALB/c iskemia neuropati optik, ProSense 750 telah digunakan untuk menyiasat pengaktifan katepsin pengantara keradangan dan aktivitinya dipantau menggunakan sistem pengimejan in vivo Tomografi Molekul Pendarfluor (FMT). Tikus dirawat E. amoenum (200 and 400mg/kg) mempunyai pengurangan 61.43% dan 75.63% daripada iskemia disebabkan gerak balas keradangan masing-masing. E. amoenum menunjukkan kesan mujarab perlindungan neuro dalam model in vitro dan in vivo degenerasi neuro retina. Ini mungkin disebabkan oleh anti-apoptotik, aktiviti antioksidan, keupayaan untuk meningkatkan spesis sel dalaman antioksidan, modulasi angiogenesis, dan ciri-ciri anti-radang.

Keywords: Perlindungan neuro; RGC; Model Tikus Iskemik Neuropati Optik; FMT; *Echium amoenum L.*; Perubatan tradisional.

#### **EVALUATION OF NEUROPROTECTIVE PROPERTIES**

#### OF ECHIUM AMOENUM L. ETHANOLIC EXTRACT

#### **ABSTRACT**

In neurodegenerative diseases, ischemia and hypoxia, oxidative stress, excitotoxicity, and inflammation can all lead to neuronal loss. Ganglion cell death as a result of optic nerve degeneration can be caused by intermittent ischemic insults over defined periods. Neuroprotection aims to prevent, retard or reverse neuronal damage as a result of the underlying pathophysiological state. The goal of the present work is to evaluate the neuroprotective properties of *Echium amoenum L*. an Iranian native plant. Studies were carried out to deduce whether E. amoenum ethanol extract can blunt the negative influences of serum deprivation to retinal ganglion cells (RGC-5) in culture and a defined ischemic insult to the optic nerve in mice. Its antiapoptotic, antioxidant, angiogenic response and anti-inflammatory activities were all investigated. Further studies were then undertaken to screen the active medicinal entities present by the use of Gas Chromatography-Mass Spectrometry (GC/MS). Petals of E.amoenum chloroform, ethanol, n-hexane, and aqueous extract were prepared via maceration technique and screened on RGC-5 for its neurotoxic properties. Ethanol and water extracts were non-toxic. However in vitro ischaemia studies revealed only the ethanol extract of E. amoenum at 5 µg/mL was effective in rescuing RGC-5 cells from the detrimental effect of serum deprivation by significantly maintaining viability (MTT assay), decreasing apoptotic cells (Hoescht staining) and their markers caspases 3/7, 8 and 9 (Caspase assay). E. amoenum ethanol extract neuroprotective properties in attenuating oxidative stress conditions was simulated by testing its antioxidant capacities using DPPH, ABTS,

and β-carotene bleaching tests and its ability to increase the levels of glutathione (GSH) and glutathione-S-transferase (GST). The IC<sub>50</sub> of radical scavenging activities were  $10.89 \pm 0.082 \, \mu \text{g/mL}$ ,  $15.91 \pm 0.10 \, \mu \text{g/mL}$  and  $9.49 \pm 0.12 \, \mu \text{g/mL}$ respectively. E. amoenum ethanol extract at 5 µg/mL significantly increased the levels of GSH and GST. Screening with GC/MS revealed high amounts of phenolics and flavonoids supporting its potent antioxidant property. Its effect on angiogenesis was evaluated in SD-Rat aortic ring assay (RARA), E. amoenum ethanol extract at 100  $\mu$ g/mL inhibited of 93.22  $\pm$  0.127% blood vessel formation and significantly decreased the activity of the hypoxia induced factor, VEGF concentration in HUVECs lysates from 240.89  $\pm$  0.00 to 68.15  $\pm$  9.56 pg/mL. Furthermore, E. amoenum ethanol extract was cytotoxic to HUVECs in proliferation assay. In albino Balb/c mice ischaemic optic neuropathy model, ProSense 750 was used to probe the activation of the inflammatory mediator cathepsin and its activity monitored using the Fluorescence Molecular Tomography (FMT) in vivo imaging system. E. amoenum ethanol extract treated mice (200 and 400 mg/kg) had 61.43% and 75.63% reduction of ischaemia induced inflammatory response respectively. E. amoenum ethanol extract showed potent neuroprotective effect in in vitro and in vivo models of retinal neurodegeneration. This is likely due to its anti-apoptotic, antioxidant activity, ability to enhance cellular endogenous antioxidant species, modulation of angiogenesis, and anti-inflammatory properties.

Keywords: Neuroprotection; RGC; Mice Ischaemic Optic Neuropathy Model;FMT; Echium amoenum L.; Traditional medicine

#### CHAPTER ONE- LITERATURE REVIEW

#### 1. Neurodegeneration and Neurodegenerative Diseases- General Definition

Neurodegeneration is a collective term for the progressive loss of structure, function, or even death of neurons. It is a characteristic feature in the pathogenesis of diseases as for Alzheimer's disease, frontotemporal dementia, Parkinson's disease, amyotrophic lateral sclerosis, progressive supranuclear palsy, corticobasal degeneration, Huntington's disease, Pick's Disease, prion diseases, motor neuron diseases, glaucoma, and also optic neruropathies, etc. (G Kalesnykas, Tuulos, Uusitalo, & Jolkkonen, 2008; Stokin & Goldstein, 2006).

Identified risk factors for neurodegenerative diseases regard in disputable genetic polymorphisms and increasing age. Gender, poor education, endocrine conditions, oxidative stress, inflammation, stroke, hypertension, diabetes, smoking, head-trauma, depression, infection, tumors, vitamin deficiencies, immune and metabolic conditions, and chemical exposure are to be other possible causes included (Brown, Lockwood, & Sonawane, 2005; Hitzl, Mossler, & Schnait, 2011). The mechanistic features of neurodegeneration include aberrant cellular processing, protein misfolding and subsequent aggregation of normal proteins and their intracellular or extracellular depositions (Doyle et al., 2011; Jucker & Walker, 2013). At the molecular level, mechanisms that have been observed include defective neuronal plasticity through aberrant regenerative responses, reduced neurotrophin levels, or increased neuronal vulnerability to stress (Imitola, Snyder, & Khoury, 2003; Mattson et al., 2000).

Concerning the population of our world which is ageing, also an ever-increasing number of elderly are being impacted upon by neurodegenerative diseases. In the developed world, about 2% of the population is afflicted at any time (Hardy & Orr, 2006; Mattson, Chan, & Duan, 2002). It forecasted that overall number of neurodegenerative disease drug market will make a notable growth from around US\$9 billion in 2005 to more than US\$17 billion by 2010 (Fung, 2007; Huss, Spoerri, Egger, & Röösli, 2009). Consequently, the overall neurodegenerative diseases market has been making the so-called grow at over 12% per year. Therefore, with an increase in life expectancy and the number of old people, alongside with advances in treatments of neurodegenerative diseases, the increases look set to be continued.

Central nervous system trauma and neurodegenerative disorders trigger a cascade of cellular events resulting in an extensive damage to neurons (Figure 1-1) (Koeppen, 2008; Magharious, D'Onofrio, & Koeberle, 2011). Regenerative failure is truly a critical endpoint to these destructive triggers which are increasingly culminating in neuronal apoptosis (Magharious et al., 2011; Mattson, 2006) and inhibition of functional recovery.

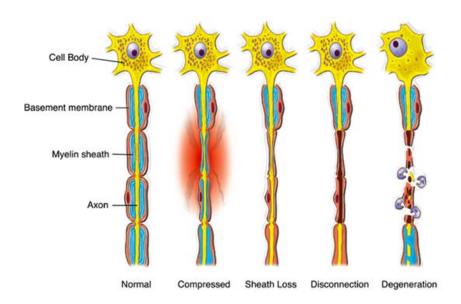


Figure 1-1 Process of Neuron Degeneration

Source: (Koeppen, 2008)

The non-permissive regenerative environment is due to expression of inhibitory cues (Magharious et al., 2011; Winzeler et al., 2011), glial scarring (Silver & Miller, 2004), slow clearance of axonal debris, and CNS inflammation (Jaerve & Müller, 2012). Neuronal susceptibility to apoptosis and regenerative failure are crucial endpoints of CNS trauma (Figure 1-2).

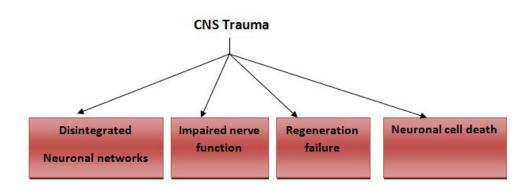


Figure 1-2 Trauma to the central nervous system

**Source:** (Burnett & Zager, 2004)

Trauma to the central nervous system causes axonal injury leading to disintegrated neuronal networks, impaired nerve function, regeneration failure and cell death, resulting in long-term neuronal disability.

The retina is a division of the central nervous system (CNS), with various types of neurons, including photoreceptors, bipolar cells, horizontal cells, amacrine cells and retina ganglion cells (RGCs) (Figure 1-3) (Arendt, 2003; Kolb, Nelson, Ahnelt, & Cuenca, 2001). The retinal ganglion cells (RGCs) are considered as the output neurons of the retina (Boya, 2012). The axons of RGCs, which constitute the last stop of the relay, will also be merged to form the optic nerve (Lamb, Collin, & Pugh, 2007). They transmit signals to the visual centers of the brain (Kolb et al., 2001). These visual signals are specifically transmitted from the retina to the lateral geniculate nucleus plus superior colliculus (SC) within the brain. Therefore, retina

and optic nerve could be affected by similar degenerative processes during neurodegeneration (Tezel, 2006; Zhai et al., 2003).

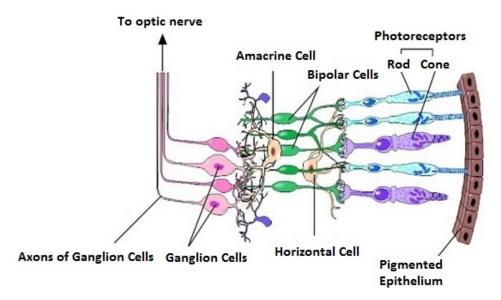


Figure 1-3 Retinal Cellular Structure

Source: (Hagerman & Johnson, 1991)

The optic nerve head appears to be more vulnerable than the rest of the retina, probably because the tissue is softer and the inelastic sclera does not cover the outside of the eye in this area (Lönngren, 2008). The unproblematic accessibility of the optic nerve and the reproducibility of optic neurodegeneration would make it a highly effective tool to study CNS trauma and also help in understanding the ensuing traumatic events that activate neuronal apoptosis (Lönngren, 2008; Srejić, 2009).

### 1.1. Mechanism of Neurodegeneration

#### 1.1.1. Reduced Neurotrophin

The neurotrophic hypothesis holds the idea that both mammalian neuronal growth and maintenance depend sharply upon the viability of retrograde axoplasmic transport of soluble growth factors which are; scientifically speaking, called neurotrophins (Agarwal, Gupta, Agarwal, Saxena, & Agrawal, 2009; Bennett,

Gibson, & Lemon, 2002; Kaushik, Pandav, & Ram, 2003; Linker, Gold, & Luhder, 2009; Nave, 2010). It has been shown that increased neurotrophic factor concentrations delay or attenuate cell death following injury. The neurotrophins supplied to the retinal ganglion cells are small peptides that function in order to regulate cellular metabolism by having them attached to neuronal target-cell receptors (Gao, Qiao, Cantor, & WuDunn, 2002; Kido et al., 2000; Osborne et al., 2004). From there, a cascade of molecular enzymatic events and maintain cellular homeostasis are being initiated. Ganglion cells appear to be particularly dependent upon the brain-derived neurotrophin factor which necessarily is vital for their continued survival (Nave, 2010; T. Su, 2010). This factor is able to promote survival; furthermore, prevent neuronal death after axotomy in the optic nerve (Koeberle, Tura, Tassew, Schlichter, & Monnier, 2010). Further support for the neurotrophic hypothesis comes from the findings of Gao et al showing that an enhanced expression of brain-derived neurotrophin factor in the RGC layer takes place after the optic nerve injury (Kaushik et al., 2003; Kuehn, Fingert, & Kwon, 2005; Seki et al., 2005).

#### 1.1.2. Excitotoxicity and Glutamate Release

In the pathology of a list of neurodegenerative disorders regarding the brain, excitotoxicity has been implicated as forth, Alzheimer's, Parkinson's, Huntington's disease and amyotrophic lateral sclerosis (Mattson, 2008; Swartz, Linn, & Linn, 2013). Neurodegenerative diseases of the eye, considering glaucoma, retinal ischemia, and diabetic retinopathy, also have pathologies associated with excitotoxicity (K.-G. Schmidt, Bergert, & Funk, 2008; Seki & Lipton, 2008; Swartz et al., 2013). Excitotoxicity is the excessive stimulation of neurons by excitatory amino acids such as glutamate, aspartate and quisqualate through postsynaptic

receptors. Glutamate serves as a neurotransmitter at most CNS synapses. Ironically, in addition to being the main neurotransmitter involved in excitotoxicity in mammals, glutamate is also the most prevalent neurotransmitter in the mammalian brain, being utilized in varying degrees by nearly all neurons of the vertebrate central nervous system and exposure to high concentrations has shown to have apoptosis of cells brought forththrough a process of excitotoxic cell death in a variety of model systems, giving rise to the very term which is called the glutamate-induced excitotoxicity (Mattson, 2003; Swartz et al., 2013). This so-called matter occurs when the oxygen and glucose supply in the brain has been dramatically decreased in cases where blood flow ceases; for instance, during a stroke.

Regarding a number of reports indicating that the neurotoxic effects of ischemia and hypoxia on the brain and retinal tissue result directly from an increase in the concentration of amino acid neurotransmitters, most notably to name glutamate. It has been confirmed that in both brain and retina, the levels of glutamate are being increased due to experimentally induced ischemia or hypoxia.

Certain pathological conditions can cause excessive glutamate to be released, however under normal circumstances glutamate from the synaptic cleft is reaccumulated immediately into the nerve terminal or into adjacent glia, which holds glutamine synthetase that would have glutamate converted into glutamine. Possessing a similar plasma membrane glutamate uptake carrier, glial cells and neurons keep the extracellular glutamate concentration below levels that damage neurons (Inage, Itoh, Wada, Hoshika, & Takashima, 2000).

The self-reinforcing deleterious action of glutamate receptor stimulation also produces an influx of sodium and calcium ions plus further membrane depolarization.

The influx of Na<sup>+</sup> is accompanied with the influx of chloride and the osmotically-driven influx of H<sub>2</sub>O, resulting in cellular swelling. This early phase of excitotoxic injury is followed by activation of several types of cell receptors, including N-methyl-D-aspartate receptors that can allow entry of excessive amounts of calcium. In ischemic neuronal injury, the loss of calcium homeostasis plays a critical role in neuronal death. Abnormally calcium overload leads to sustained activation of a number of calcium-dependent enzymes, including protease, endonucleases and lipid peroxidases, they straightly attack cell constituents which leads to the generation of highly reactive free radicals plus the activation of the nitric oxide pathway (Naskar & Dreyer, 2001). DNA nitrosylation, fragmentation and activation of the apoptotic program turn out of the resulting interaction between intermediate compounds and free radicals (Wei et al., 2000; Z. Zheng, Lee, & Yenari, 2003).

#### 1.1.3. Free Radical Generation

Being unstable, and having highly reactive molecules are distinctive features of free radicals characterized by the presence of unpaired electrons in their outermost shells (Halliwell, 2005). Usually, electrons associated with atoms or molecules are paired because this makes atoms relatively stable and unreactive. The loss of an electron leaves a molecule much more reactive than its paired counterpart. In case two radicals react, both radicals are eliminated; while if a radical reacts with a non-radical, another free radical is; thus, produced. Allowing free radicals to participate in chain reactions, this characteristic may be thousands of events long. According to Williams and Jeffrey (Si et al., 2011; G. M. Williams & Jeffrey, 2000), reactive free radicals or reactive oxygen species, such as superoxide anion, hydroxyl radical and peroxyl radical are particularly reactive and known to be a biological product of reducing molecular oxygen or metabolic processes.

Ischemia causes a net increase in free radicals, overwhelming the antioxidant capabilities and contributing to ischemia/reperfusion injury in the retina (Shibuki, Katai, Yodoi, Uchida, & Yoshimura, 2000), particularly in the early reperfusion period when flow is restored to energy-depleted tissue. Generation of free radicals are not only through the activation of glutamate receptors but it also is an inevitable by-product of normal oxidative mechanisms (Halliwell, 2001). Specially, this is true in the retina which owns a very high metabolic rate. These free radicals are normally being inactivated by endogenous antioxidants such as superoxide dismutase, Vitamins E and C, and glutathione. However, worthy to be mentioned, when not inactivated sufficiently, the so-called free radicals can react detrimentally with most macromolecular cellular constituents resulting in one: the disruption of membrane fluidity, two: protein denaturation, three: lipid peroxidation, four: oxidative DNA and finally: alteration of platelet functions (D.-O. Kim, Jeong, & Lee, 2003).

#### 1.1.4. Oxidative Stress

Oxygen is essential to life and it plays an essential role in variety of biological phenomena, however, it can also provoke damaging oxidative events within cells. There is a variety of free radicals given rise to by molecules, those that are produced from molecular oxygen have received the most investigative interest, though (Apel & Hirt, 2004). Due to their higher reactivity relative to molecular oxygen partially reduced metabolites of molecular oxygen (O<sub>2</sub>) are referred to as "reactive oxygen species (ROS)" (Tezel, 2006). ROS includes molecules such as superoxide anion, hydrogen peroxide (H. A. Jung et al., 2008), hydroxyl radical, nitric oxide, peroxyl radical and singlet oxygen (S. Jung et al., 2008), are generated as by-products of normal aerobic metabolism or, in other words, as secondary messengers (Choi, Kim, Kim, Yoo, & Chon, 2006) in various signal transduction

pathways (Choi et al., 2006). An increase over physiological values in the intracellular concentrations of ROS is defined as oxidative stress. At the time when there are changes in the endogenous activity of antioxidant enzymes, this situation is being initiated (e.g. catalase, glutathione, superoxide dismutase, metallothionein) and/or at the time of the concentrations regarding certain vitamins (S. Jung et al., 2008; Mostafa, 2006).

Excessive ROS are cytotoxic, as they disturb intercellular homeostasis and impair the mitochondrial transport chain, thus inducing cell death. Mitochondria comprises the greatest amount of ROS, since the mitochondrial transport chain consumes 85% of oxygen that the cell utilizes. Once generated, ROS inhibit complex enzymes in the electron transport chain, resulting in an enhancement of ROS production and a shutdown of mitochondrial energy production. Energy depletion causes failure of many ATP-reliant processes in the cell and destroys cell integrity. Moreover, ROS activates mitochondria-mediated apoptosis pathway, leading to DNA breakdown (Bayr, 2005; Sena & Chandel, 2012). Oxidative stress has been suggested to have the pathogenesis of age-related macular disease contributed to (Jarrett & Boulton, 2012; Virgili, Do, Bressler, & Menchini, 2007). Not only because of photochemical reactions the photoreceptors of the retina are particularly susceptible to oxidative damage (Chrysostomou, Rezania, Trounce, & Crowston, 2013) but also because of their high content of polyunsaturated fatty acid (B. Zhang & Osborne, 2006) and rich supply of oxygen from the choroidal circulation (B. Zhang & Osborne, 2006). Support for the involvement of oxidative stress in age-related macular degeneration (AMD) springs from the finding which notices that daily administration of antioxidant vitamins and/or zinc significantly decelerates the rate of progression in specially patients from high risk atrophic age-related macular degeneration to

neovascular age-related macular degeneration (Fawcett & Osborne, 2007). Another compelling piece of evidence, moreover, stems from mass spectroscopy of drusen (deposits) from postmortem eyes of patients with age-related macular degeneration, which showed many oxidized proteins (Crabb et al., 2002; Fawcett & Osborne, 2007). A causative role in glaucoma has also been suggested to be played by oxidative stress (Mozaffarieh, Grieshaber, Orgül, & Flammer, 2008). As the neurons in glaucoma are at a low energetic state, the scavenging system may not be efficient enough to remove cell metabolites, thus accumulating waste products and generating ROS. Also, substantial evidence exists to bear the suggestion that oxidative stress plays a major part in the pathogenesis of glaucoma or glaucomatous optic neuropathy (Izzotti, Bagnis, & Saccà, 2006).

#### 1.1.4.1. GSH is a Key Endogenous Antioxidant

To name, one of the crucial modulators of cell survival, under both normal and pathological conditions, that would be the endogenous antioxidant tri-peptide glutathione (GSH, γ-glutamyl-L-cysteinyl-glycine) (Circu & Aw, 2012; Franco, Schoneveld, Pappa, & Panayiotidis, 2007). The involvement of GSH exists in many essential cell processes, for instance, DNA synthesis and cell metabolism, yet acting as an antioxidant and scavenger of ROS is its primary function (G. Wu, Fang, Yang, Lupton, & Turner, 2004). There is a reduced and active state GSH exists and is also oxidized to glutathione disulfide (GSSG) upon unstable molecules being reduced, such as ROS. The reduction of ROS by GSH is facilitated by the enzyme GSH peroxidase (GPx) (Dringen, Gutterer, & Hirrlinger, 2000; Takahashi, 2012). The enzyme, glutathione reductase (GR), then returns GSSG to its reduced form GSH (Figure 1-4).

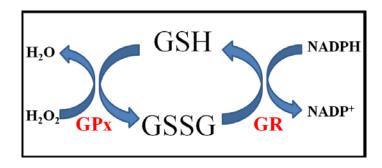


Figure 1-4 Glutathione metabolism

**Source:** (Circu & Aw, 2012; G. Wu et al., 2004)

There exist two distinct steps in biosynthesis of GSH to happen. The first so-called step occurs when  $\gamma$ -glutamylcysteine ligase (GCL) has glutamate and cysteine combined together. This reaction is, in GSH synthesis, called the rate-limiting step. The second step to be explained in GSH synthesis comes about when GSH synthesis adds up glycine to the C-terminus of the end product of the first step, that is to say,  $\gamma$ -glutamylcysteine. The rate-limiting precursor in GSH synthesis is named Cysteine (Figure 1-5) (G. Wu et al., 2004). Since its potent antioxidant activity plus its neuroprotective capacity have been demonstrated in many *in vitro* and *in vivo* systems, strategies that enhance GSH synthesis draws attention as potentially novel therapies regarding neurodegenerative diseases.

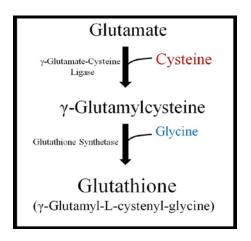


Figure 1-5 Glutathione synthesis

**Source:** (G. Wu et al., 2004)

#### 1.1.5. Apoptosis

Apoptosis and Necrosis are two distinct mechanisms of cell death, with very different characteristics. In general, necrosis is less organized than apoptosis. Figure 1-6 gives a brief outline of differences between necrosis and apoptosis.

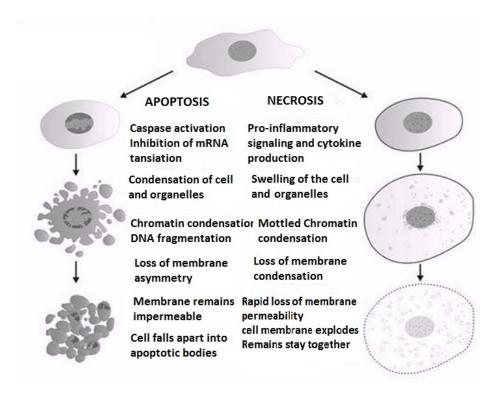


Figure 1-6 Schematic views of the differences between apoptosis and necrosis

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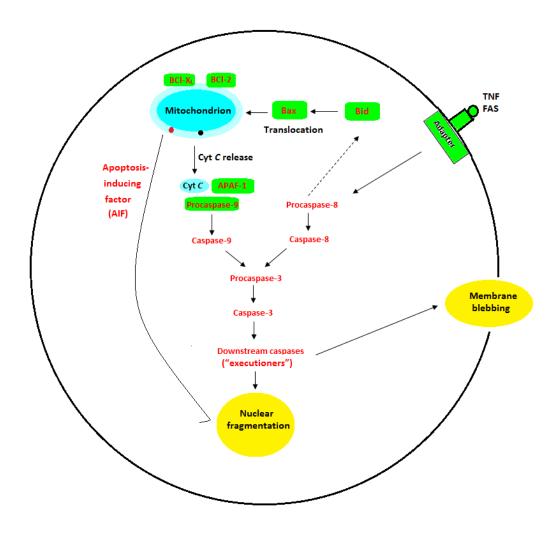
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Necrotic cell death is caused by the following matters: injury, infection, cancer, infarction and lastly inflammation. In necrosis, cells swell and cytoplasmic organelles are injured, plus random DNA fragmentation which leads to uncontrolled release of enzymes having been stored by lysosomes with a rapid collapse of internal homeostasis. The initiation of cell damage may take place by a reaction due to the membrane lysis and subsequent release of cellular contents that can put surrounding

cells in danger. Unlike apoptosis, the association between necrosis and inflammation is positive (Vanden Berghe et al., 2013).

Apoptosis, or programmed cell death, is energy-dependent and is executed in such a way as to safely dispose of dead cells and their fragments. In contrast to necrosis, apoptosis is carried out in a well ordered process and generally confers advantages during an organism's life cycle. Following an initial insult, the cells try to minimize or buffer the damage done through a variety of processes. Generation of "suicide triggers" could be one of the consequences of these processes and interactions and these molecules may start the process of apoptosis which is characterized by an orderly pattern of inter-nucleosomal DNA fragmentation, chromosome clumping, cell shrinkage and membrane blebbing (Farkas & Grosskreutz, 2001). This is followed by disassembly of cells into multiple membrane-enclosed vesicles that are engulfed by neighboring cells without inciting inflammation.

Up to now two apoptosis pathways have already been described and distinguished, the intrinsic pathway and the extrinsic one. They are initiated by different factors and thus have different biochemical changes, yet both share the same consequences of caspase-3 activation and DNA fragmentation as shown in Figure 1-7.



**Figure 1-7** Proposed interaction between intrinsic and extrinsic apoptotic pathways **Source:** (Schon & Manfredi, 2003)

# 1.1.5.1. Extrinsic Pathway (Receptor Pathway)

The activation of membrane receptors is the involvement seen in the extrinsic pathway (Figure 1-7). The death signal concerns the binding of extracellular death ligands, such as FAS, glutamate, nitric oxide or tumor necrosis factor- $\alpha$ , which are released by malfunctioning cells, as proposed to be occurred in the region of the optic nerve head (Morgan, 2000; Osborne, Melena, Chidlow, & Wood, 2001), in the initiation of glaucoma.

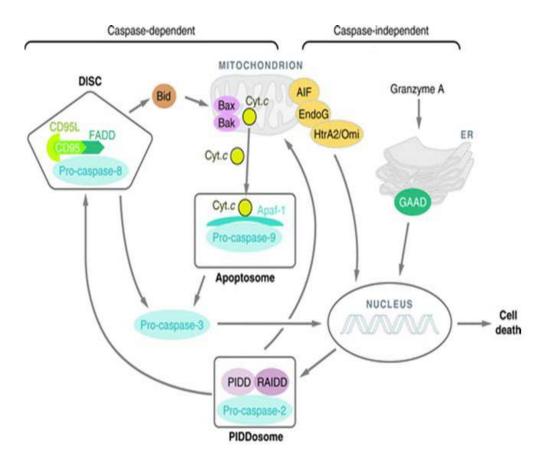
Such extracellular stimuli can have the intracellular biochemical pathways activated which causes an increase in ROS, then reacts chemically with various targets within the cell in order to elicit a chain of events that is often regulated by the tumour suppressor gene p53. The p53 protein can then alter the expression of several other genes which eventually lead to the catabolic enzymes activation, particularly those that degrade the cellular DNA (nucleases) and proteins (proteases). The death receptors transmit signals to the interior of the cells and recruit procaspase-8, which can be auto-activated by aggregation to caspase-8. Then, this activates procaspase-3 resulting in apoptosis. This process whereby extracellular signals, for instance, glutamate which can stimulate ganglion cell death has been known as the extrinsic pathway of apoptosis (Wajant, 2002, 2003).

#### 1.1.5.2. Intrinsic Pathway (Mitochondria-Dependent Pathway)

In most cell types, however, caspase-8 first cleaves BH3-interacting domain death agonist, the B cell lymphoma 2 family protein, which, in turn, induces the translocation, oligomerization, and also insertion of the other family members, BCL-2-associated X protein and/or BCL-2 antagonist or killer, into the outer mitochondrial membrane (OMM). This is, then, followed by permeabilization of the outer mitochondrial membrane plus the release of several proteins from the mitochondrial intermembrane space, including cytochrome c, which forms a cytosolic apoptosome complex with apoptosis having factor-1 (Apaf-1) and procaspase-9 activated (Figure 1-8).

This results in the activation of procaspase-9, which consequently leads to the activation of procaspase-3 and other effector caspases. The distinctive feature regarding this so-called pathway would be the involvement of the mitochondria. Triggering events within the cell to have mitochondria affected can be stress signals

and/or loss of survival signals. The intrinsic pathway would be either caspase-dependent or caspase-independent.



**Figure 1-8** General apoptotic pathways

Source: (Orrenius, 2007)

# **1.1.6.** Hypoxia

As the final electron accepter of aerobic respiration, molecular oxygen is essential for the survival of most metazoans. Oxygen deprivation (hypoxia) is often involved in development, homeostasis and many other diseases (Correia & Moreira, 2010; Grimm et al., 2005; Ogunshola & Antoniou, 2009). Multi-cellular organisms, in order to adapt to hypoxia, have evolved complex networks that have metabolic changes regulated in both systemic and cellular levels to mediate changes in

angiogenesis/vascular remodeling, glycolytic metabolism plus cell proliferation (Correia & Moreira, 2010; Grimm et al., 2005; Hopkins & Powell, 2001). The oxygen tension-dependent transcriptional factor, hypoxia inducible factor-1 (HIF-1), holds the responsibility for the induction of genes which is responsible to facilitate the adaption and survival of cells exposed to hypoxia. HIF-1 activation induces a diverse range of target genes, encompassing a wide variety of cellular processes, including angiogenesis, erythropoiesis, energy metabolism, cell proliferation, and cell cycle control (Ke & Costa, 2006; Schumacker, 2005). HIF-1 can improve the redox environment (Guo, Miyake, Liu, & Shi, 2009), increase blood oxygen plus glucose supply, and have an effect on iron metabolism by regulating its target genes. The brain consumes a large quantity of oxygen and demonstrates a high vulnerability at conditions with impaired oxygen supply. It has been noticed that reduced oxygen supply plays an influential role in neurodegeneration during the aging process (Ogunshola & Antoniou, 2009). Oxidative stress, impaired oxygen or glucose supply, and disruption of iron homeostasis would all be considered as pathological processes which are common in neurodegenerative diseases (Benarroch, 2009; Correia & Moreira, 2010; Gironi et al., 2010). This makes up the very possibility that HIF-1 could be a potential therapeutic target for these neurodegenerative diseases.

# 1.1.6.1. Hypoxia-Induced Factor (HIF)

Simple diffusion of oxygen to metabolizing tissues beyond a size limitation has become inadequate; furthermore, specialized systems of increasing complexity have evolved to meet the demands of oxygen delivery higher in animals (Pugh & Ratcliffe, 2003). One important role in the systems is angiogenesis, to make new vessels sprouting into the location that blood delivery is being needed. Thus, one of the key factors which would lead to the initiation of angiogenesis is ischemia or

hypoxia. Yet how hypoxia exactly induced angiogenesis is however poorly understood. The landmark of hypoxia study in the early 1990s indicated that hypoxia could only induce expression of platelet-derived growth factor (PDGF) mRNA and vascular endothelial growth factor (VEGF) mRNA in tissue culture. Both platelet-derived growth factor (PDGF) and VEGF are thought to be crucial growth factors triggering angiogenesis. A large number of genes are engaged in diverse steps in angiogenesis and they also are independently responsive to hypoxia in tissue culture. Besides platelet-derived growth factor and VEGF, nitric oxide synthase, fibroblast growth factor, angiopoietins, and matrix metalloproteinases are involved. Cell migration or endothelial tube formation which are of the individual phenotypic processes in angiogenesis can be induced by hypoxia tissue culture (Krishnamachary et al., 2003).

Further study of hypoxia-induced angiogenesis leaded to the discovery of a key transcriptional regulator, hypoxia-inducible factor (HIF)-1. The molecular mechanism behind HIF-1 is a pathway which has oxygen availability plus the gene expression of several different growth factors linked, especially VEGF. In normoxia and hyperoxia oxygen-dependent prolyl hydroxylases hydroxylate HIF-1  $\alpha$  proline residues, and this chemical modification leads to a HIF-1 capture by an ubiquitin ligase complex that directs it to the proteasome for destruction. Under hypoxic conditions, HIF-1 $\alpha$  is not hydroxylated, escapes ubiquitination, accumulates and directs pro-angiogenic expression (Maxwell & Ratcliffe, 2002).

#### 1.1.7. Role of Neuroinflammation

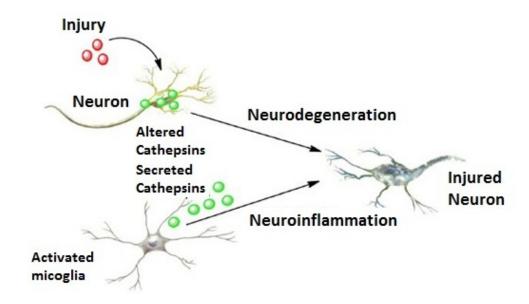
Neuroinflammation and protein aggregates pathology (e.g. amyloid plaques, Lewy Bodies) are another theory which explains the cause of neurodegeneration (Frank-Cannon, Alto, McAlpine, & Tansey, 2009; Khandelwal, Herman, & Moussa,

2011). Based on this theory, following stimuli, specifically protein aggregates for instance, amyloid plaques, the neuroinflammatory cycle is generated followed by microglia and astrocytic activation (Skaper, 2007). Controlled biosynthesis, maturation, function and terminal breakdown of proteins are factors that play crucial role in maintenance of a healthy organism (Reiser, Adair, & Reinheckel, 2010). Proteolytic enzymes donate all the above processes irreversible cleavage of peptide bonds in a polypeptide chain by a nucleophilic attack on the carbonyl carbon. The proteases are acting in two ways by either cleaving one or a few amino acids at the N or C terminus, which called exopeptidases protease or endopeptidases one, cleaving internal peptide bonds (Barrett & Rawlings, 2007; Puente, Sánchez, Overall, & López-Otín, 2003). Endopeptidases are classified, in terms of their catalytic mechanism, into threonine, aspartic, metallo, serine and cysteine endopeptidases (Vos et al., 2000). The latter, cysteine proteases, form the largest cathepsin family. The human family of cysteine cathepsins comprises cathepsins B, C (also known as cathepsin J and dipeptidyl-peptidase 1), F, H, K (also known as cathepsin O2), L, O, S,W, V (also known as cathepsin L2), and X (also known as cathepsin Z and cathepsin P), which share a conserved active site formed by cysteine, histidine and asparagines residues (Rawlings, Barrett, & Bateman, 2012).

Cysteine cathepsins are synthesized as inactive precursors, normally activated in the acidic environment of lysosomes. Therefore, they were initially considered as intracellular enzymes, responsible for the non-specific, bulk proteolysis in the acidic environment of the endosomal/lysosomal compartments, where they degrade intracellular and extracellular proteins (B. Turk, Turk, & Turk, 2000; V. Turk, Turk, & Turk, 2001). Furthermore, they are involved in proteolytic processing of specific substrates. Cathepsin plays an important role in protein, neuropeptide and hormone

processing (Funkelstein, Toneff, Hwang, et al., 2008; Funkelstein, Toneff, Mosier, et al., 2008), major histocompatibility complex (MHC) classII-mediated antigen presentation (Honey & Rudensky, 2003), bone remodeling (Yasuda, Kaleta, & Brömme, 2005), apoptosis (B. Turk et al., 2002) and to keratinocyte differentiation. Apart their normal physiological roles, cysteine cathepsins are involved in several pathologies, such as tumour development and progression, inflammation (Conus & Simon, 2008), psoriasis, muscular dystrophy, atherosclerosis (J. Liu et al., 2006), rheumatoid arthritis (Yasuda et al., 2005), osteoporosis and other bone disorders (Conus & Simon, 2008; J. Liu et al., 2006; Stoch & Wagner, 2007; Yasuda et al., 2005), acute pancreatitis (Halangk et al., 2000) and neurodegeneration (Nakanishi, 2003b).

It is extensively well-accepted that overexpression, increased enzymatic activity and mis-localization of cysteine cathepsins in cells of the nervous system are associated with pathological processes in neurodegenerative disorders (Hook, 2006; Lynch & Bi, 2003; Yamashima, 2000). Dysfunction of the endosomal/lysosomal system in neurons is closely associated with activation of microglia, which could initiate an inflammatory response to provoke neurodegeneration. Activated microglia also releases certain cathepsins that induce neuronal death through degradation of extracellular matrix proteins (Figure 1-9) (Nakanishi, 2003a; Pišlar & Kos, 2014).



**Figure 1-9** Cysteine cathepsins involvement in neurodegeneration and inflammation-induced neurodegeneration

Source: (Pišlar & Kos, 2014)

Lysosomal cysteine cathepsins can be altered by many toxic insults leading to enhanced cathepsin expression and proteolytic activity, which contribute to neuronal injury during neurodegeneration. Activated microglia mediate neuroinflammation by secreting inflammatory cytokines thereby, inducing neuronal death. In addition to cytokines, activated microglia secretes certain cathepsins thus upscaling inflammation-induced neurodegeneration.

During neurodegeneration, cathepsins contribute to neuronal injury induced by excitotoxins, through degradation of axonal and myelin proteins, by converting protein precursor into active peptide neurotransmitters and by amplifying apoptotic signaling (Haque, Banik, & Ray, 2008; Hook, 2006). Furthermore, a central role in the neuronal cell death mechanism has been proposed for cathepsins (Stoka, Turk, & Turk, 2005). In terms of which cysteine cathepsins are specifically involved in neurodegeneration, cathepsins B and L have been investigated most intensively. They have been reported to induce age-related changes that are closely related to neuronal degeneration (Yamashima, 2000). Due to the harmful action of cysteine cathepsins in pathological processes of neurodegeneration (Benchoua, Braudeau,

Reis, Couriaud, & Onténiente, 2004; Chwieralski, Welte, & Bühling, 2006), cathepsin inhibitors constitute a possible tool for therapeutic interventions to inhibit excessive proteolytic activity (Haque et al., 2008; Stoch & Wagner, 2007). Some beneficial effects of cystatins have been demonstrated; however, they are general inhibitors, not selective for particular cathepsins therefore, and off-target side effects are expected if they are used as drugs for treating patients. Instead of cystatins and other endogenous cysteine protease inhibitors therefore, synthetic cathepsin inhibitors have been suggested as agents for treating neurodegenerative disorders (Booth, 2012; Pišlar & Kos, 2014; Tyynelä et al., 2000).

### 1.2. The Anatomy of the Eye

Optically working like a film camera, the eyes of all the vertebrates are structurally similar. The light enters the eye through the pupil and forms an inverted image on the retina, the light-capturing component that functions like the film in a camera (Drack, 2006). The cornea and the lens help to focus so that the clearest image is presented on the retina. The white outer surface of the eye ball is termed sclera, which consists of tough but flexible fibrous tissue and provides the mechanical support of the entire eye. The choroid is a layer contained within the sclera, and it is a dense meshwork of blood vessels and other tissues. One of the most important functions of the choroid is to provide nutritional and metabolic support for the retina, which is a neuronal sheet that lies within the choroid. The retina is the most inner surface at the back of the eye. Most of the space in the eye is filled with a gelatinous body, called vitreous. It is surrounded by the lens and the retina and the ciliary body. In the ciliary body, the cells secrete the aqueous fluid into the eye, which contributes to the maintenance of the pressure within the eye (Irsch & Guyton, 2014; Purves et al., 2001).

#### 1.2.1. The Retina

The retina, a layer about 0.4 mm in thickness, is primarily composed of neural tissue including five classes of neurons. It spreads out on the interior surface of the back of the eye (Figure 1-10). The visual pathway is initiated when the light stimulates the photoreceptors that are embedded in the outer retinal layers. The signal is transmitted to bipolar cells and then to ganglion cells. The signal then travels along the axon of the ganglion cells lining inner nuclear layer, respectively, while amacrine cells contact with bipolar axons primarily in the inner plexiform layer (Boya, 2012; Rabin, 2013).

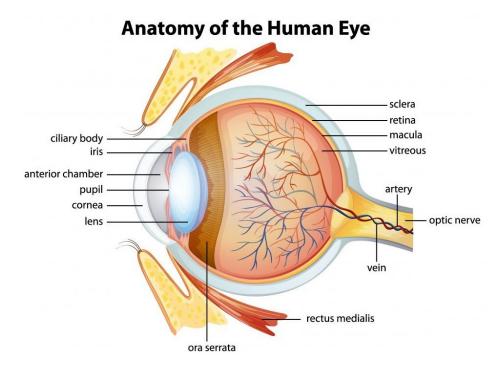


Figure 1-10 Basic structure of human eye

**Retrieved from:** <a href="http://www.nei.nih.gov">http://www.nei.nih.gov</a>

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Light passes through almost the whole thickness of the retina to be captured by photoreceptors, or the outer segments of the photoreceptor in detail, where the visual pigment molecules for light capturing are located. There are two types of

photoreceptors, rods and cones (Boya, 2012). Rods are specialized to convey variations in light intensity in dim conditions, but they are not able to function in bright light. Cones are specialized for bright light conditions, but they are not as sensitive as rods. The retina cross section can be divided into multiple layers. The nuclear layers are basically where cell nuclei are located, and the synaptic layers are the place where cells communicate and transmit electric or chemical signals. The retinal pigment epithelium (RPE) functions as the outer blood-retinal barrier (BRB) that shut off the diffusion of large molecules from choroicapillaries. And the retinal vasculature doesn't grow beyond the inner limiting membranes under normal physiological conditions.

### 1.2.2. Retinal Ganglion Cells

The innermost neuronal layer of the retina is where the retinal ganglion cells are located in. The axons of the retinal ganglion cells form the nerve fiber layer (stratum opticum) which is situated on top of the retinal ganglion cell soma, next to the side of the vitreous. These axons conjoin in the central retina as optic nerve head and thus form thereafter the optic nerve, which extend after the optic chiasm as optic tract in the optic center of the brain (Provencio, Rollag, & Castrucci, 2002). Different subpopulations of retinal ganglion cells exist (Vaney, Sivyer, & Taylor, 2012) which use different neurotransmitter gamma-aminobutyric acid (GABA), glycine or glutamate (Iuvone, 2012). The retinal ganglion cells, apart from sending photoreceptor derived signal into the optic center of the brain, perform different functions. For instance, photosensitive retinal ganglion cell are involved in the pupillary reaction and circadian rhythm (Lucas, Douglas, & Foster, 2001; T. M. Schmidt, Chen, & Hattar, 2011).