

**UNCOVERING CANDIDATE NOVEL
PLURIPOTENCY AND CROSS-SPECIES
COMPLEMENTATION GENES BY
COMPARATIVE TRANSCRIPTOMICS IN
HUMAN AND ZEBRAFISH**

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COMPARATIVE TRANSCRIPTOMICS IN
HUMAN AND ZEBRAFISH**

by

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**Thesis submitted in fulfillment of the requirements
for the degree of
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LIST OF SYMBOL

Ψ packaging signal

LIST OF ABBREVIATIONS

A	adenine
bFGF	basic fibroblast growth factor
BMP4	bone morphogenetic protein 4
CIS	carcinoma <i>in situ</i>
cPPT	central polypurine tract
cDNA	complementary DNA
CM	conditioned medium
Ct	cycle threshold
DAVID	Database for Annotation, Visualization and Integrated Discovery
dpf	days post fertilization
D551	Detroit 551
DMSO	dimethyl sulfoxide
ds	double-stranded
DMEM	Dulbecco's Modified Eagle Medium
DPBS	Dulbecco's Phosphate Buffered Saline
EF1 α	elongation factor 1 alpha
EC	embryonic carcinoma
EG	embryonic germ
ES	embryonic stem
EpiSC	epistem cells
FBS	fetal bovine serum
FGF	fibroblast growth factor

FPKM	fragments per kilobase of transcript per million mapped reads
GEO	Gene Expression Omnibus
GOI	gene of interest
HMG	high mobility group
hpf	hours post fertilization
hECC	human embryonic carcinoma cells
hESC	human embryonic stem cells
HIV	human immunodeficiency virus
iPS	induced pluripotent stem
IRES	internal ribosomal entry site
KO	KnockOut
LIF	leukemia inhibitory factor
LTR	long terminal repeat
MZT	maternal-zygotic transition
mRNA	messenger RNA
μg	microgram
μl	microliter
μm	micrometer
μM	micromolar
MBT	mid-blastula transition
ml	milliliter
mM	millimolar
MEF	mouse embryonic fibroblast
MOI	multiplicity of infection

NCBI	National Center for Biotechnology Information
NEAA	non-essential amino acids
NT2D1	NTERA-2 clone D1
r	Pearson's correlation coefficient
%	percentage
PLL	poly-L-lysine
PCR	polymerase chain reaction
POUh	POU homeodomain
POUs	POU-specific
PGC	primordial germ cells
Puro	puromycin resistance gene
RRE	Rev-responsive element
RIN	RNA Integrity Number
SAGE	serial analysis of gene expression
SCNT	somatic cell nuclear transfer
TGCT	testicular germ cell tumour
T	thymine
TSS	transcription start site
UPL	Universal Probe Library
UTR	untranslated region
VSV-G	vesicular stomatitis virus-glycoprotein
v/v	volume per volume
w/v	weight per volume
YSCs	yolk sac carcinomas

ZEE	zebrafish embryo extract
ZEF	zebrafish embryonic fibroblast
ZES1	zebrafish ES cell-like line 1
ZES4	zebrafish ES cell-like line 4
ZES	zebrafish ES-like cells

LIST OF PUBLICATION

HO, S. Y., GOH, C. W., GAN, J. Y., LEE, Y. S., LAM, M. K., HONG, N., HONG, Y., CHAN, W. K. & SHU-CHIEN, A. C. 2014. Derivation and long-term culture of an embryonic stem cell-like line from zebrafish blastomeres under feeder-free condition. *Zebrafish*, 11, 407-420.

**PENDEDAHAN CALON BARU PLURIPOTENSI DAN KOMPLEMENTASI
ANTARA SPESIES GEN DENGAN PERBANDINGAN TRANSKRIPTOMIK
DI MANUSIA DAN IKAN ZEBRA**

ABSTRAK

Profil transkriptom pluripotensi manusia telah dikenali dengan menggunakan DNA microarray, expressed sequence tag, penjujukan selari besar-besaran, serial analysis of gene expression (SAGE) and SAGE terbalik. Untuk mendedahkan gen lain yang terlibat dalam pluripotensi manusia, profile transkriptom sel pucuk embrio (ES) and sel karsinoma embrio (EC) manusia dikaji dengan menggunakan Jujukan Illumina Next Generation. Pendedahan gen lain ini akan menyediakan gambaran komprehensif tentang pluripotensi manusia. Pengajian transkriptom ini menyokong penemuan sebelumnya tentang gen pluripotensi yang dikenali, termasuk *POU5F1*, *SOX2*, *NANOG* dan *LIN28A*. Selain itu, gen baru dan bahagian transkripsi baru yang diekspres khususnya pada sel ES/EC manusia juga dikenali. Transkrip ini besar kemungkinan terlibat dalam pengekalan pluripotensi manusia. Dengan itu, data transkriptom manusia ini menyumbang untuk pemahaman yang lebih baik tentang pluripotensi manusia dan juga memajukan anotasi rujukan manusia semasa. Walaupun *POU5F1* tidak boleh dikecualikan untuk pluripotensi dalam vertebrata, *pou5f1* ikan zebra tidak boleh mengekalkan atau mengaruh pluripotensi dalam vertebrata tinggi dalam laporan sebelumnya. Fungsi zebrafish *pou5f1* yang tidak dipelihara dalam pluripotensi mencetuskan pengajian pemeliharaan fungsi ini. Gen *POU5F1*, *SOX2* dan *NANOG* adalah teras transkripsi pengawal dalam rangkaian pluripotensi. Dalam pengajian pemeliharaan fungsi ini, *POU5F1*, *SOX2*, *NANOG* dan *LIN28A* manusia boleh memprogram sel fibroblast manusia kepada sel pluripotensi pucuk aruhan (iPS) manusia ke takat tertentu tetapi ortolog ikan zebra

tidak boleh. Dengan itu, fungsi *pou5f1*, *sox2*, *nanog* dan *lin28a* dalam aruhan pluripotensi adalah tidak dipelihara pada ikan zebra. Ketidakupayaan *pou5f1*, *sox2*, *nanog* and *lin28a* ikan zebra dalam aruhan pluripotensi menggesakan pengajian profil transkriptom pluripotensi dalam ikan zebra dengan menggunakan Jujukan Illumina Next Generation pada sel pucuk ikan zebra (ZES). Dataset transcriptom awam pada embrio awal ikan zebra juga termasuk dalam analisis untuk menyediakan gambaran menyeluruh tentang pluripotensi dalam ikan zebra. Sepadan dengan pengajian pemeliharaan fungsi sebelumnya, teras faktor pluripotensi *pou5f1*, *sox2* dan *nanog* tidak diekspres atau diekspres pada tahap yang amat rendah pada ZES tetapi diekspres pada tahap yang tinggi pada embrio awal ikan zebra. Pemeliharaan ekspresi sesetengah ortholog gen pluripotensi manusia dan ekspresi sesetengah gen sasaran *pou5f1* ikan zebra pada tahap tinggi pada ZES membayangkan lebih fungsi *pou5f1*, *sox2* dan *nanog* untuk pluripotensi ikan zebra dan mekanisme lain kemungkinan terlibat dalam pengekalan pluripotensi *in vitro* pada ikan zebra. Gen *nop14*, *zgc:109782* dan *tuba8l4* dan transkrip hipotetikal novel pada loci chr12:17512223-17550720, chr12:20132645-20193454 dan chr13:11761814-11775511 besar kemungkinan terlibat dalam pluripotensi ikan zebra.

**UNCOVERING CANDIDATE NOVEL PLURIPOTENCY AND CROSS-
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TRANSCRIPTOMICS IN HUMAN AND ZEBRAFISH**

ABSTRACT

The transcriptome profile of human pluripotency has been revealed using DNA microarray, expressed sequence tag, massively parallel signature sequencing, serial analysis of gene expression (SAGE) and reverse SAGE. To discover additional genes involved in human pluripotency, the transcriptome profile of human embryonic stem (ES) and embryonic carcinoma (EC) cells was studied using Illumina Next Generation Sequencing. The discovery of these additional genes will provide a more comprehensive overview of human pluripotency. This transcriptome study supported the previous findings of known pluripotency genes, including *POU5F1*, *SOX2*, *NANOG* and *LIN28A*. In addition, additional genes and novel transcribed regions specifically expressed in human ES/EC cells were also revealed. These transcripts are likely to be involved in the maintenance of human pluripotency. Thus, this human transcriptomic data contributes to a better understanding of the human pluripotency as well as improves the current human reference annotation. Though *POU5F1* is indispensable for pluripotency in vertebrates, zebrafish *pou5f1* could not maintain nor induce pluripotency in higher vertebrates in previous reports. The non-conserved roles of zebrafish *pou5f1* in pluripotency triggered this functional conservation study. Pluripotency genes *POU5F1*, *SOX2* and *NANOG* are core transcriptional regulators of pluripotency network. In this functional conservation study, human *POU5F1*, *SOX2*, *NANOG* and *LIN28A* could reprogram human fibroblast to human induced pluripotent stem (iPS) cells to certain extent but not zebrafish orthologues of these genes. Thus, the roles of *pou5f1*, *sox2*, *nanog* and

lin28a in the induction of pluripotency were not conserved in zebrafish. The inability of zebrafish *pou5f1*, *sox2*, *nanog* and *lin28a* in the induction of pluripotency prompted the transcriptome profile study of pluripotency in zebrafish using Illumina Next Generation Sequencing on zebrafish ES-like cells (ZES). Public transcriptome data sets on zebrafish early embryos were also included in the analysis to provide a comprehensive overview of pluripotency in zebrafish. Corresponding to the previous functional conservation study, core pluripotency factors *pou5f1*, *sox2* and *nanog* were not expressed or expressed at extremely low levels in ZES but highly expressed in zebrafish early embryos. The conserved expression of some other orthologues of mammalian pluripotency genes and the high expression of some zebrafish *pou5f1* target genes in ZES implied the functional redundancy of *pou5f1*, *sox2* and *nanog* in zebrafish pluripotency and other mechanisms might be involved in the maintenance of *in vitro* pluripotency in zebrafish. Genes *nop14*, *zgc:109782* and *tuba8l4* and novel hypothetical transcripts at loci chr12:17512223-17550720, chr12:20132645-20193454 and chr13:11761814-11775511 are likely to be involved in zebrafish pluripotency.

CHAPTER 1

INTRODUCTION

1.1 Research background

Human pluripotency gene candidates have been identified in different transcriptome studies using DNA microarray, expressed sequence tag (Bhattacharya et al., 2004), massively parallel signature sequencing (Wei et al., 2005), serial analysis of gene expression (SAGE) (Richards et al., 2004) and reverse SAGE (Richards et al., 2006). Some of these candidate genes were functionally proven their significance in the maintenance of pluripotency. In each transcriptomic study, additional potential genes involved in pluripotency were revealed. With the introduction of Next-Generation Sequencing, a major transformation was seen in the transcriptomic area with enormous throughput in the gathering of genomic and transcriptomic information. Next-Generation Sequencing allows detection and sequencing of all expressed transcripts without prior knowledge of transcript sequence and reference annotation (Morozova et al., 2009). With this advance, we hypothesized that an unprecedented exploration could be achieved in human pluripotency with the discovery of additional annotated and novel hypothetical pluripotency transcripts. Novel hypothetical transcripts mean transcripts that could map to reference genome, but they have not been annotated in reference transcript database.

POU5F1, *SOX2* and *NANOG* (Boyer et al., 2005) are core transcriptional regulators in pluripotency network in vertebrates. Various cross-species complementation experiments were conducted between different species both *in vitro* and *in vivo* to reveal the cross-species complementation of these genes in vertebrate. The interchangeable function of *POU5F1* and *NANOG* from different species in the maintenance and induction of pluripotency in mouse ES cells *in vitro* (Morrison, 2006, Laval et al., 2007, Niwa et al., 2008, Theunissen et al., 2011, Schuff et al., 2012b) and the ability of mouse *Pou5f1*, *Sox2* and *Klf4* to reprogram *Xenopus* tadpole muscle to proliferating cell clusters *in vivo* (Vivien et al., 2012) demonstrated the cross-species complementation of pluripotency factors in vertebrates and the induction of pluripotency could be induced in distant and diverse groups of animals. Nonetheless, interaction necessary to maintain pluripotency in mouse and *Xenopus* was lost in zebrafish. Zebrafish *pou5f1* could not rescue *Pou5f1*-deficient mouse ES cells (Morrison, 2006, Niwa et al., 2008) nor induce pluripotency in mouse (Tapia et al., 2012) and zebrafish *pou5f1* showed very little rescue in PouV depletion phenotype in *Xenopus* (Morrison, 2006, Laval et al., 2007). In addition, zebrafish *pou5f1* transcription was reported to be absent in zebrafish transient ES-like culture but present abundantly in zebrafish oblong stage embryos which is the embryonic stage for the derivation of zebrafish ES-like cells (ZES). The unusual expression and the inability of zebrafish *pou5f1* in the maintenance and induction of pluripotency triggered us to study the cross-species complementation of pluripotency genes, *POU5F1*, *SOX2*, *NANOG* and *LIN28A* in human and zebrafish via human induced pluripotent stem (iPS) cell reprogramming assay. *LIN28A* was included in this assay to increase the reprogramming efficiency (Yu et al., 2007).

From the reprogramming assay, zebrafish *pou5f1*, *sox2*, *nanog* and *lin28a* could not induce pluripotency in human. Moreover, the expression of *pou5f1* and *nanog* orthologues was undetectable in ZES but was high in zebrafish early embryos. These previous findings prompted us to conduct transcriptome profiling on ZES with full developmental potency using Illumina Next-Generation Sequencing approach. To gain a thorough profile on zebrafish pluripotency, transcriptomic data of zebrafish early embryos from public database (Aanes et al., 2011) was included in the analysis to reveal the molecular signatures of pluripotency in zebrafish.

1.2 Objectives of this study

The objectives of this study are:

- I. To identify the pluripotency gene candidates in human by transcriptome analysis of human ES and EC cell lines profiled using RNA-seq.
- II. To study the cross-species complementation of pluripotency genes *POU5F1*, *SOX2*, *NANOG* and *LIN28A* in human and zebrafish using human iPS cell reprogramming assay.
- III. To identify the pluripotency gene candidates in zebrafish using the RNA-seq data from ZES cells and early embryos.

CHAPTER 2

LITERATURE REVIEW

2.1 Pluripotency

Pluripotency has different definitions by different scientists. The definition of pluripotency which is widely accepted is the competency to self-renew indefinitely and to differentiate into derivatives of all three embryonic germ layers both *in vivo* and *in vitro*. This competency is a dynamic state influenced by cellular microenvironment which affects the differentiation capacity of the cells into functional tissues (Smith et al., 2009). Pluripotency is maintained by a globally open chromatin state of cells. This open chromatin state is accessible to transcriptional machinery and other factors maintaining a local silencing of lineage-specific genes until differentiation is initiated (Gaspar-Maia et al., 2011).

Pluripotency is classified into two phases: naïve and primed. Mouse inner cell mass from preimplantation embryos and embryonic stem (ES) cells derived from mouse inner cell mass constitute naïve pluripotency or ground state. Mouse epiblast cells from postimplantation embryos, mouse Epistem cells (EpiSC) derived from the epiblast cells and human embryonic stem cells (hESC) constitute primed pluripotency. Mouse EpiSC and hESC are similar in morphological traits, epigenetic, genetic, culture conditions and signaling requirements (Nichols and Smith, 2009).

Epigenetic signatures and transcriptional network regulating pluripotency are conserved in human and mouse. The same four transcription factors *POU5F1*, *SOX2*,

KLF4 and *c-MYC* could be used in the reprogramming of somatic cells to iPS cells in these two species (Johnson et al., 2008). In spite of these similarities, hESC are different from mouse ES cells in morphology, clonogenicity, global gene expression profile, downstream target genes of *POU5F1*, *SOX2* and *NANOG*, culture condition and differentiation behavior (Johnson et al., 2008, Nichols and Smith, 2009). SSEA1 is expressed in undifferentiated mouse ES cells, but is expressed in differentiated hESC. In contrast, SSEA3 and SSEA4 are expressed in undifferentiated hESC, but are expressed in differentiated mouse ES cells (Draper et al., 2002). In term of culture condition, hESC require Activin/Nodal and fibroblast growth factor (FGF) while mouse ES cells require leukemia inhibitory factor (LIF) and bone morphogenetic protein 4 (BMP4) in the absence of feeder cells for the maintenance of pluripotency. LIF signaling could not maintain self-renewal of hESC and BMP4 induces differentiation of hESC to trophoblast (Vallier, 2005, Daheron et al., 2004, Xu et al., 2002). These differences are thought to be developmental distinction between naïve and primed pluripotency rather than species-specific difference (Nichols and Smith, 2009).

Mouse ES cells are distinct from mouse EpiSC in culture condition, growth factor dependence, gene expression, epigenetic status and function (Nichols and Smith, 2009, Guo et al., 2009). The culture of mouse ES cells requires cytokine LIF but the culture of mouse EpiSC requires Activin and FGF but not LIF (Brons et al., 2007). Mouse ES cells could be converted to EpiSC in response to Activin A and FGF2 with the resulting EpiSC show downregulation of *Klf4*. Mouse EpiSC could be converted to mouse ES cells by overexpression of *Klf4* and culture in ES cell media containing Mek/Erk inhibitor, Gsk3 inhibitor and LIF. The resulting EpiSC-iPS cells exhibit undifferentiated morphology, express ES cell specific transcripts and show

downregulation of lineage specification markers. Both X-chromosomes are activated in mouse ES cells while one of the X-chromosome is silenced in mouse EpiSC. Mouse ES cells could form chimera and germ-line transmission but mouse EpiSC could not, after injecting the cells into blastocysts. The distinct differentiation ability could be explained by different in X-chromosome silencing. In addition, mouse EpiSC were argued to be differentiated from mouse ES cells developmentally, functionally and epigenetically (Guo et al., 2009). Thus, mouse EpiSC are more developmental restricted than mouse ES cells (Nichols and Smith, 2009). In spite of the differences between mouse ES and EpiSC, these two cells are similar in the expression of core pluripotency genes *Pou5f1*, *Sox2*, *Nanog* and in the ability to differentiate into three germ layers via embryoid body and teratoma formation. *Pou5f1* and *Nanog* are two transcriptional regulators that are required for the establishment and maintenance of pluripotent compartments in early embryos (Nichols and Smith, 2009).

2.1.1 Pluripotency in fish

In order to explore the mechanism of pluripotency genes for therapeutic application, it is important to understand the pluripotency gene *in vivo*. Fish is an excellent model to study *in vivo* pluripotency. Fish could complement mouse model by the combination of embryological, genetic and molecular analysis. The large number of transparent fish embryos, *ex utero* development and easier gene function manipulation enable rapid analysis of pluripotency genes in early embryonic development and discovery of new molecules and mechanisms that govern the pluripotency *in vivo* (Sanchez-Sanchez et al., 2011).

The discovery of *pou5f1* and *nanog* homologues in medaka and zebrafish genomes indicates that the key pluripotency genes are not exclusive to mammals (Camp et al., 2009, Sánchez-Sánchez et al., 2010). Cross-species complementation assay of pluripotency genes between fish and mammals are required to reveal the extent of cross-species complementation of these genes in vertebrates. Functional characterization of pluripotency genes in teleost could reveal the evolution of these genes in vertebrate lineage and clarify some discrepancies in gene function between mouse and human (Sanchez-Sanchez et al., 2011).

2.1.2 Assessment of pluripotency

Both undifferentiated state and differentiation potential are assayed in the assessment of pluripotency. The assessments of undifferentiated state are cell morphology, cell cycle, gene expression and epigenetics. Undifferentiated cells have prominent nucleoli, high nuclear-to-cytoplasm ratio and they form multi-layered colonies (Smith et al., 2009). In addition, undifferentiated cells have abbreviated G1 phase of cell cycle and they proliferate rapidly (Becker et al., 2006). The undifferentiated cells could also be assessed by expression of alkaline phosphatase, telomerase, cell surface antigens and three core pluripotency factors: *OCT4* (Nichols et al., 1998), *SOX2* and *NANOG* (Mitsui, et al., 2003). The epigenetic status of undifferentiated cells could be assessed by bisulfite sequencing of *OCT4* and *NANOG* gene promoters (Wernig et al., 2007) and expression of unique set of microRNA (Houbaviy et al., 2003).

The assessment of differentiation potential could be assayed both *in vitro* and *in vivo*. For *in vitro* differentiation potential, directed differentiation using specific

culture conditions and growth factors (Trounson, 2006) and differentiation via embryoid bodies formation (Itskovitz-Eldor et al., 2000) into cell lineage representatives of each germ layer (ectoderm, mesoderm and endoderm) could be assessed. For *in vivo* differentiation potential, the capability of a cell to form chimera, tetraploid blastocyst and teratoma (Smith et al., 2009) could be assayed.

Chimera and tetraploid blastocyst complementation are the gold standards for the characterization of pluripotency (Smith et al., 2009). Chimera is formed by injection of pluripotent cells into normal 2n blastocyst. These pluripotent cells will then differentiate into various tissues when blastocyst develops into an adult (Okita et al., 2007). Tetraploid blastocyst-complemented embryo is formed by injection of pluripotent cells into 4n blastocyst. This 4n blastocyst is formed by the fusion of 2 cells and is developmentally defective. Thus, pluripotent cells compensate for this developmental defectiveness and form the entire organism (Nagy et al., 1993). Teratoma is a less stringent criterion to assess pluripotency *in vivo* (Smith et al., 2009). Teratoma is a non-malignant tumor consisting of tissues from all three germ layers. This tumor is formed by implantation of pluripotent ES cells into immunocompromised mouse (Wesselschmidt, 2011). Teratoma is used to assess pluripotency of human pluripotent cells *in vivo* as both chimera and tetraploid complementation are not ethically feasible in human. The standard criteria of human pluripotent cells established by the US National Institutes of Health (NIH) are the ability of cells to proliferate indefinitely, the expression of pluripotent transcription factors and cell surface markers and the formation of teratoma containing derivatives of three germ layers (Smith et al., 2009).

2.2 Sources of pluripotent cells

Pluripotent cells can be obtained from the following sources or processes: inner cell mass of pre-implantation blastocyst (Evans and Kaufman, 1981, Martin, 1981, Thomson, 1998), teratocarcinoma (Andrews et al., 2005), somatic cell nuclear transfer (SCNT) (Gurdon, 1968, Gurdon and Laskey, 1970), cellular hybridization (Miller and Ruddle, 1976, Tada et al., 1997, Tada et al., 2001, Tada et al., 2003, Cowan et al., 2005) and reprogramming (Takahashi and Yamanaka, 2006, Takahashi et al., 2007, Yu et al., 2007). The pluripotent cells of teratocarcinoma are known as EC cells (Andrews et al., 2005). Blastomeres of inner cell mass isolated from pre-implantation blastocyst and cultured *in vitro* are known as ES cells (Evans and Kaufman, 1981, Martin, 1981, Thomson, 1998). SCNT is a technique in which somatic cell nucleus is injected into enucleated egg and host cell cytoplasm reprograms the epigenome of somatic cell to pluripotent state (Gurdon, 1968, Gurdon and Laskey, 1970). Cellular hybridization is the fusion of somatic cells and EC (Miller and Ruddle, 1976), embryonic germ (EG) (Tada et al., 1997) or ES cells (Tada et al., 2001, Tada et al., 2003, Cowan et al., 2005) forming pluripotent hybrid cells. Reprogramming is the conversion of somatic cells into iPS cells by the overexpression of transcription factors or the use of small molecules (Takahashi and Yamanaka, 2006, Takahashi et al., 2007, Yu et al., 2007, Dey and Evans, 2011, Yuan et al., 2011).

2.2.1 Embryonic stem (ES) cells

Embryonic stem (ES) cells are cells derived from the inner cell mass of blastocysts. These cells are pluripotent which are capable to self-renew and to

differentiate. ES cells self-renew to produce more stem cells when cultured in appropriate condition and differentiate to generate derivatives of all three embryonic germ layers both *in vivo* and *in vitro* (Evans and Kaufman, 1981; Martin, 1981; Thomson, 1998). During differentiation of ES cells, phenotypic and molecular changes occurred hierarchically, with epiblast cells formed first, followed by germ layers ectoderm, mesoderm and endoderm and subsequently somatic cells (Gaspar et al., 2012).

The first embryo-derived pluripotent cells were derived from mouse by two independent groups in 1981 (Evans and Kaufman, 1981, Martin, 1981). After 17 years since derivation of mouse ES cells, the first hESC was derived in 1998. These pluripotent hESC display normal karyotypes, express high telomerase activity and cell surface markers and capable of forming teratoma containing derivatives from three embryonic germ layers (Thomson, 1998). Human ES cells provide an excellent cell source for human development study, drug discovery and regenerative medicine. However, its derivation from human early embryos raises ethical issues and controversies. The reprogramming of somatic cells to ES-like cells serves as an alternative to solve this disputation (Orkin, 2005).

2.2.1.1 Fish ES-like cells

Fish ES-like cells are commonly derived from blastula stage embryos. The inner cell mass at this embryonic stage are pluripotent and developmental undetermined (Robles et al., 2011, Wang et al., 2011b). These cells are capable of forming germline chimera (Fan et al., 2004b). ES-like cells were derived and characterized in medaka (Hong and Scharf, 2006), zebrafish (Fan et al., 2004a, Fan

et al., 2004b, Fan and Collodi, 2006), gilt-head bream (Bejar et al., 2002; Parameswaran et al., 2012), sea perch (Chen et al., 2003), Asian sea bass (Parameswaran et al., 2007), Indian major carp (Dash et al., 2010) and Atlantic cod (Holen et al., 2010). These fish ES-like cells share *in vitro* properties with mouse ES cells. In addition, it is possible to derive embryonic cell cultures from stages earlier than blastula stage (Li et al., 2011) or at gastrula stage (Chen et al., 2004). Fish stem cell serves as an excellent tool to study *in vivo* and *in vitro* stem cell biology as observation of ES cell-derived chimeras is feasible with the external and transparent fish embryos.

2.2.2 Embryonic carcinoma (EC) cells

Embryonic carcinoma (EC) cells are the undifferentiated cells derived from teratocarcinoma. Teratocarcinoma is a germ cell tumor consists of both differentiated tissues from three embryonic germ layers and undifferentiated, malignant cells which are known as EC cells. This tumor commonly occurred in testis which is known as testicular germ cell tumour (TGCT) (Andrews, 2002). TGCT originates from a carcinoma *in situ* (CIS) stage before the tumor could be histologically classified into seminoma or non-seminoma. Seminoma is composed of homogeneous cells which resemble primordial germ cells (PGC) while non-seminoma is composed of teratocarcinomas with undifferentiated EC cells, yolk sac carcinomas (YSCs) and choriocarcinomas (Oosterhuis and Looijenga, 2005) (Figure 2.1).

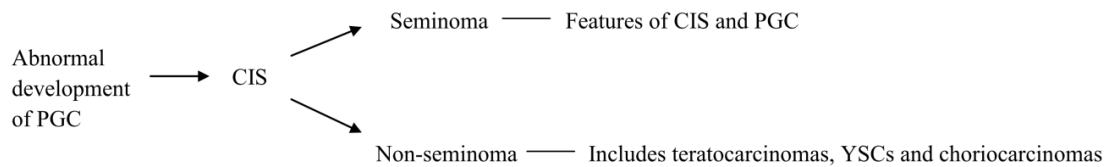


Figure 2.1: Development of teratocarcinoma. Simplified diagram of teratocarcinoma development.

EC cells were the first pluripotent cells isolated and cultured *in vitro*. (Andrews, 2002). EC cells could be categorized into pluripotent EC cells and nullipotent EC cells. There are several differences between these two types of EC cells. Pluripotent EC cells show the full capacity to differentiate into somatic tissues of ectodermal, mesodermal and endodermal lineages and extraembryonic tissues while nullipotent EC cells show limited capacity for differentiation and could only divide to form EC cells. In addition, nullipotent EC cells have shorter doubling time and higher colony forming ability than pluripotent EC cells. In the absence of feeder cells, pluripotent EC cells differentiate while nullipotent EC cells still could form colonies. When EC cells are injected into immune-compromised mouse, pluripotent EC cells form teratocarcinoma which is composed of EC cells and other differentiated cell types while nullipotent EC cells form a sac of EC cells (Pera et al., 1989, Teshima et al., 1988). Studies on nullipotent EC cells will provide information on the tumorigenesis and regulation of proliferation in ES cells (Pera et al., 1989).

Human and mouse EC cells are resemble to each other in which they have similar morphology, prominent nucleoli and sparse cytoplasm, grow in clusters of tightly packed cells and express alkaline phosphatase (Bernstine et al., 1973; Benham et al., 1981). In spite of these similarities, human and mouse EC are different in molecular and differentiation potential. In molecular, human EC cells express

embryonic antigen SSEA3 but not SSEA1. Conversely, mouse EC cells express SSEA1 but not SSEA3 (Andrews et al., 1982, Solter and Knowles, 1978). In differentiation potential, human EC cells have the propensity to differentiate into trophectoderm but this propensity is absent in mouse EC cells (Damjanov and Andrews, 1983).

EC cells are important for the understanding of tumor progression, self-renewal and differentiation in embryonic development (Andrews, 1998, Przyborski et al., 2004). Compared to human ES cells, human EC cells are easier to culture, does not require a feeder layer and almost no spontaneous differentiation (Knott et al., 2012). Mouse ES cells are derived from 3.5 embryonic day of blastocyst while the cut-off time for the formation of embryo-derived teratocarcinoma is 7.5 embryonic day, which is too late for derivation of mouse ES cells.

2.2.2.1 EC cells are malignant surrogates of ES cells

In spite of the cancerous origin of EC cells, both ES cells and EC cells are similar to each other. Both ES and EC cells are capable to self-renew indefinitely and to differentiate. As seen in EC cells, culture-adapted ES cells also acquire karyotypic change upon prolonged culture *in vitro*, increased proliferation and decreased differentiation potential which are parallel to the malignant transformation (Baker et al., 2007). Normal stem cells and cancer cells might use similar signaling pathways to control self-renewal (Reya et al., 2001). In addition, ES cells also exhibit tumorigenicity properties such as expression of tumor-related genes, downregulation of p53, a tumor suppressor gene and increased telomerase activity which allows indefinite proliferation (Wobus, 2010). This telomerase activity contributes to

immortality of both ES and EC cells (Shay et al., 2001). When ES cells are injected into immuno-compromised mouse, teratocarcinoma is formed. This teratocarcinoma contains stem cells which are similar to ES cells in morphology and expression of cell surface markers such as SSEA3, SSEA4, Tra-1-60 and Tra-1-81. Similar to ES cells, *Pou5f1* knockdown in EC cells results in growth arrest and differentiation to trophoctoderm (Niwa et al., 2000, Matin et al., 2004). Poor differentiated tumors are more similar to ES cells in gene expression pattern than well differentiated tumors, including overexpression of ES-specific genes and underexpression of Polycomb-regulated genes (Ben-Porath et al., 2008). Furthermore, both ES and EC cells are capable to form chimera, though the chimera contribution of EC cells is lower than ES cells. The chimera formed by EC cells develops tumour and these cells are not germ-line transmitted (Barbaric and Harrison, 2012). The decreased differentiation potential of EC cells might be explained by the high aneuploidy of EC cells (Andrews, 2002).

The culture adaptation of ES cells *in vitro* might reflect the development and progression of germ cell tumor *in vivo* (Baker et al., 2007, Harrison et al., 2007, Andrews et al., 2005). Thus, *in vitro* culture of ES cells might be developed in a way that is similar to the tumor progression of EC cells *in vivo* (Andrews et al., 2005). In addition, the gene expression profiles of EC cell differentiation and normal embryogenesis are similar to each other (Skotheim et al., 2005). As ES and EC cells are pertinent to each other, these two cell lines could be used as complementary tools to study pluripotency, differentiation, stem cell biology and cancer (Andrews et al., 2005).

2.2.2.2 Human ES cells, HES3 and human EC cells, NCCIT, NT2D1 and GCT27C4

HES3 (Pera et al., 2004) and NCCIT (Teshima et al., 1988, Damjanov et al., 1993) are capable of self-renewal indefinitely and differentiate into cells of somatic and extraembryonic lineages. Upon injection of NCCIT cells into nude mice, tumors which consists of EC cells, immature somatic tissues, yolk sac tumors and trophoblastic giant cells are formed (Teshima et al., 1988).

NT2D1 (NTERA-2 c1.D1) is a pluripotent human EC cell line which was derived from NTERA2. NTERA2 shares the expression of the marker genes and surface antigens with other human EC cells and human ES cells. Among them are *POU5F1*, SSEA3, SSEA4, TRA-1-60, TRA-1-81 and human alkaline phosphatase-associated antigens (Andrews et al., 1982, Andrews et al., 1990, Thomson et al., 1998, Reubinoff et al., 2000, Draper et al., 2002). NT2D1 are flattened cells with a high nuclear-to-cytoplasmic ratio and prominent nucleoli. NT2D1 remains undifferentiated in the absence of feeder layer. In addition, NT2D1 could form embryoid body-like structures. Upon induction, NT2D1 could be differentiated into neuroectodermal and mesodermal derivatives (Andrews, 1984, Simões and Ramos, 2007).

GCT27C4 is a nullipotent human EC cell line (Pera et al., 1989) derived from a multipotent clone, GCT27. Both GCT27 and GCT27C4 cells express surface antigens SSEA3 and SSEA4 (Pera et al., 1987, Pera et al., 1989). GCT27C4 is predominantly hypotriploid. In the absence of feeder cells, GCT27C4 could form colonies with high efficiency. Upon injection of GCT27C4 into nude mice, tumours consisted of only EC cells are formed (Pera et al., 1989).

2.2.3 Induced pluripotent stem (iPS) cells

Induced pluripotent stem (iPS) cells are pluripotent cells reprogrammed from somatic cells by the overexpression of a set of transcription factors which are highly expressed in ES cells (Takahashi and Yamanaka, 2006, Takahashi et al., 2007). During reprogramming, reprogramming factors activate endogenous pluripotency genes and repress lineage differentiation genes. iPS cells are useful in differentiation studies, drug screening and regenerative medicine (Yu and Thomson, 2008).

Both adult progenitor and terminally differentiated cells could be reprogrammed to iPS cells (Dey and Evans, 2011) with reprogramming efficiency increases with immaturity of the starting cell type (Eminli et al., 2009). Mouse adult neural stem cells represent an intermediate state between pluripotent and differentiated cells. These neural stem cells express alkaline phosphatase and SSEA1 and could be reprogrammed earlier and more efficient than mouse embryonic fibroblast. Overexpression of *Pou5f1* alone is sufficient to induce pluripotency in mouse adult neural stem cells (Kim et al., 2009b). In addition to differentiation status of somatic cells, somatic cells from younger subjects accumulate minimal of somatic mutations compared to cells from older subjects and these cells represent advantageous cell source for reprogramming (Panepucci et al., 2012). Mouse embryonic fibroblast (MEF) could be reprogrammed at higher efficiency than adult skin cells, tail tip fibroblast, blood and cells from biopsy tissues (Rajarajan et al., 2012).

Somatic cell reprogramming is a progressive event in which pluripotency markers are expressed in sequential manner. Transgene expression from viral transduction is required for a minimum of 12 days in MEF cells in order to generate

iPS cells. During reprogramming of MEF cells, alkaline phosphatase is activated first on day-3 of transgene expression, followed by SSEA1 on day-9 which marks an intermediate stage of reprogramming. The activation of endogenous *Pou5f1* and *Nanog* which occurs late in the reprogramming process on day-16 marks the fully reprogrammed cells (Brambrink et al., 2008).

iPS cells are similar to ES cells in morphology, gene expression profile, proliferation rate, pluripotency and epigenetic status (Zwi-Dantsis et al., 2012). iPS cells are capable to self-renew and to differentiate into derivatives of three germ layers (Yu et al., 2007). Compared to iPS cells, ES cells are still the gold standard and ES cells are more efficient than iPS cells in differentiating into other cell types (Dey and Evans, 2011). The functional differences between iPS cells and ES cells might be explained by both genetic and epigenetic factors. As activation level of modular genes are inversely proportional to the DNA methylation level, DNA methylation might account as one of the epigenetic mechanism underlying distinct gene expression network and function between iPS and ES cells (Wang et al., 2011a). In addition, differential methylation regions between human iPS and ES cells (Doi et al., 2009) also suggest that iPS and ES cells are not equivalent in some aspects and iPS cells have some memories of tissues origin. iPS cells might have heterogeneous epigenetic profiles that alter the lineage-specific differentiation (Dey and Evans, 2011). As the use of ES cells in clinical application raised the ethical and immune rejection issues (Dey and Evans, 2011), the creation of patient-specific iPS cells are able to resolve the use of ES cells in therapeutic medicine. However, the use of iPS cells in clinical application is hampered by tumorigenicity concern which originates from the use of viral vector and *c-Myc* in reprogramming and random integration of transgene into host genome (Jalving & Schepers, 2009).

In addition to *in vitro* reprogramming, *in vivo* reprogramming was carried out by injecting mouse *Pou5f1*, *Sox2* and *Klf4* into *Xenopus* tadpole tail muscle. The resulting proliferating cell clusters display characteristics of pluripotency: alkaline phosphatase staining, activation of endogenous pluripotency genes, upregulation of epigenetic regulators and capable to differentiate into derivatives of three germ layers *in vitro* and neuronal and muscle phenotypes *in vivo*. This *in vivo* approach serves as an alternative strategy for iPS cell generation and allows the study of the influence of native environment on reprogramming. This study will provide a better understanding of the transcriptional regulatory network that controls pluripotency and lineage specification *in vivo* (Vivien et al., 2012).

2.2.3.1 Approaches for making iPS cells

The approaches for making iPS cells involve both genomic integrating and non-genomic integrating methods (Lowry & Plath, 2008).

2.2.3.2 Genomic integrating methods

The genomic integrating methods are the use of retroviral and lentiviral vectors to deliver transcription factors (Takahashi and Yamanaka, 2006, Takahashi et al., 2007, Yu et al., 2007) in reprogramming. Following genomic integration, viral vectors allow transgenes to be expressed for a prolonged period without provoking immune response (Sommer and Mostoslavsky, 2010).

These are several differences between retroviral and lentiviral vectors. Retroviral vector are capable to transduce dividing cells only; while lentiviral vector

is a subclass of retroviral vector that are capable to transduce both dividing and non-dividing cells. Retroviral vector tends to integrate near to the transcriptional start site; while lentiviral vector tends to integrate within the transcriptional unit (Wu et al., 2003). Lentiviral vector gives higher viral yield and better transduction efficiency than Moloney murine leukemia virus (MMuLV)-derived retroviral vector (Dick et al., 2011b).

Retroviral and lentiviral transduction are the easiest approach to generate iPS cell (Rajarajan et al., 2012). However, the use of retroviral and lentiviral vectors causes random transgene integrations into host genome (Takahashi and Yamanaka, 2006, Takahashi et al., 2007, Yu et al., 2007) which will lead to insertional mutagenesis, heterogeneous iPS clones and tumor formation (Dey and Evans, 2011). Incomplete transgene silencing has been described for both retroviral (Takahashi and Yamanaka, 2006) and lentiviral vectors (Brambrink et al., 2008), with silencing occurred more frequently with lentiviral vector (Dick et al., 2011b).

2.2.3.3 Non-genomic integrating methods

The non-genomic integrating methods are the use of adenoviral vector (Stadtfield et al., 2008), plasmid transfection (Okita et al., 2008, Si-Tayeb et al., 2010), episomal transfection of engineered synthetic factors (Wang et al., 2011c), synthetic modified mRNA (Warren et al., 2010), recombinant proteins (Kim et al., 2009a, Zhou et al., 2009) and small molecules (Huangfu et al., 2008, Dey and Evans, 2011, Esteban et al., 2010) in reprogramming. The reprogramming efficiency of these methods are 0.1 to 1% of that reported for retroviral method (Dick et al., 2011b).

Adenoviral vector and plasmid transfection are used to transiently express reprogramming factors in somatic cells. This transient expression causes the difficulty of maintaining reprogramming factors at sufficiently high level for a duration which is sufficient for reprogramming. Subsequently, the reprogramming efficiency with these methods is much lower than the reprogramming efficiency of viral methods. However, these methods reduce the tumorigenicity risk of the iPS cells (Stadtfield et al., 2008, Okita et al., 2008).

Episomal transfection of engineered synthetic factors is another non-genomic integrating method to generate iPS cells with higher efficiency and kinetics than native factors. These engineered synthetic factors were synthesized by the fusion of potent transactivation domain of herpes simplex virus protein VP16 to transcription factors *POU5F1*, *SOX2* and *NANOG*, respectively. The reprogramming efficiency and kinetics are higher with increasing copy number of VP16 fused to *POU5F1*. The fusion of VP16 to reprogramming factor elucidates the importance of transcriptional activation in reprogramming (Wang et al., 2011c).

In spite of the gene transfer methods, the use of synthetic modified mRNAs (Warren et al., 2010) and recombinant proteins (Kim et al., 2009a, Zhou et al., 2009) are another non-genomic integrating methods to generate iPS cells. Synthetic modified mRNAs allow reprogramming of human somatic cells to iPS cells and direct differentiation of RNA-iPS cells to terminally differentiated myogenic cells. The use of modified mRNA bases protects the mRNA from degradation. The reprogramming efficiency and kinetics of this mRNA approach is higher than the gene transfer techniques (Warren et al., 2010). To create recombinant reprogramming proteins, the C-terminus of each reprogramming factor was fused to a poly-arginine protein transduction domain which could penetrate the plasma

membrane of somatic cells. The recombinant proteins were then transduced into somatic cells in four cycles.

The use of small molecules is another non-genomic integrating method of reprogramming. This method eliminates insertional mutagenesis in iPS cells. Small molecules can substitute reprogramming factors, enhance reprogramming efficiency or induce epigenetics changes via restriction of chromatin modification enzymes (Sommer and Mostoslavsky, 2010). Small molecules target enzymes and signaling proteins that are involved in pluripotency and differentiation (Yuan et al., 2011).

2.2.3.4 Generation of iPS cells in different species

iPS cells were first established in mouse by Yamanaka group in 2006 with the overexpression of mouse pluripotency genes *Pou5f1*, *Sox2*, *Klf4*, *c-Myc* in mouse embryonic and adult fibroblast cells. These iPS cells are similar to ES cells in morphology, growth properties, expression of ES cell markers, involvement in embryonic development of chimera and formation of teratoma consisting of tissues from three germ layers (Takahashi and Yamanaka, 2006).

Subsequently, iPS cells were established in human by Yamanaka group in 2007 with the overexpression of human *POU5F1*, *SOX2*, *KLF4* and *c-MYC* in human adult fibroblast (Takahashi et al., 2007). In the same year, Thomson group established human iPS cells from human somatic cells using a different combination of human reprogramming factors: *POU5F1*, *SOX2*, *NANOG* and *LIN28A* (Yu et al., 2007). In agreement with the previous studies (Takahashi and Yamanaka, 2006, Takahashi et al., 2007), *NANOG* and *LIN28A* are dispensable for reprogramming.

These two genes enhance the efficiency and frequency of reprogramming. These human iPS cells generated by different groups are similar to human ES cells in morphology, proliferation, telomerase activity, expression of cell surface markers, epigenetic status of pluripotency genes and capable to differentiate into tissues of three germ layers in embryoid bodies and teratomas (Takahashi et al., 2007, Yu et al., 2007). Notably, *POU5F1* is the only factor that is irreplaceable in reprogramming (Nakagawa et al., 2008). The use of different gene combinations for reprogramming suggests that combination of specific transcription factors could modulate existing gene network and epigenetic marks (Nethercott et al., 2011).

In addition to reprogramming of human somatic cells from healthy individuals, iPS cells were generated from amyotrophic lateral sclerosis (ALS) (Dimos et al., 2008), Down syndrome (Mou et al., 2012), heart failure patients (Zwi-Dantsis et al., 2012) and a variety of other genetic diseases (Park et al., 2008). The heart failure-human-iPS cells could be differentiated into cardiomyocytes (Zwi-Dantsis et al., 2012) and ALS-human iPS cells could be differentiated into motor neurons (Dimos et al., 2008).

Human and mouse reprogramming factors were used in the iPS experiments in different species. Human reprogramming factors were used in the reprogramming of adult rat primary ear fibroblasts and bone marrow cells (Liao et al., 2009), newborn marmoset skin fibroblast (Wu et al., 2010), adult rabbit liver and stomach cells (Honda et al., 2010), porcine mesenchymal stem cells (West et al., 2010), quail embryonic fibroblast (Lu et al., 2011), and fibroblast of two endangered species, drill *Mandrillus leucophaeus* and northern white rhinoceros *Ceratotherium simum cottoni* (Friedrich Ben-Nun et al., 2011). Mouse reprogramming factors were used in the reprogramming of horse fetal fibroblast (Nagy et al., 2011).

The reprogramming of somatic cells from different species using human and mouse reprogramming factors reveals a high degree of cross-species complementation of pluripotency gene (Rajarajan et al., 2012) and reprogramming process (Lu et al., 2011). Direct reprogramming using transcription factors is a universal strategy in distantly-related species which might include all species (Lu et al., 2011). In addition, comparison of iPS cells from different species could elucidate the key aspect of pluripotency and early development (Rajarajan et al., 2012).

2.2.3.5 Characterizations of iPS cells

Alkaline phosphatase staining, expression of endogenous *POU5F1* and *NANOG* and cell surface markers SSEA3, SSEA4, TRA-1-60 and TRA-1-81, *in vitro* tri-lineage differentiation and *in vivo* teratoma formation are widely used for the initial characterization of ES and iPS cells (Adewumi et al., 2007).

Fully reprogrammed iPS cells are highly similar to ES cells in gene expression and epigenetic status. Fully reprogrammed iPS cells show complete transgene silencing, expression of only endogenous genes to maintain the pluripotency state and form teratoma that consists of tissues of ectodermal, mesodermal and endodermal origins (Chan et al., 2009, Mikkelsen et al., 2008). Partially reprogrammed iPS cells show an incomplete transgene silencing, expression of transgenes at higher level than endogenous pluripotency genes (Chan et al., 2009, Mikkelsen et al., 2008), activation of a distinctive subset of stem cell-related genes, incomplete repression of lineage-specific transcription factors, DNA hypermethylation at pluripotency gene loci (Mikkelsen et al., 2008) and form

teratoma that consists of tissues of ectodermal and mesodermal origins but not of endodermal origin (Chan et al., 2009).

In human fibroblast reprogramming, bona-fide iPS cells are CD13⁻, SSEA4⁺, TRA-1-60⁺, NANOG⁺ and transgene silenced. Alkaline phosphatase, SSEA4, *hTERT*, *GDF3* and NANOG are not reliable markers to distinguish fully reprogrammed cells from partially reprogrammed cells. However, proviral silencing, *REX1*, *DNMT3B* and *ABCG2* gene expression and TRA-1-60 expression are validated markers that distinguish fully reprogrammed cells from partially reprogrammed cells (Chan et al., 2009).

2.3 Transcription factor

Transcription factor is a protein that either binds directly to DNA or facilitates the binding by adjacent region of protein (Schleif, 1988). Different transcription factors could bind to identical DNA sequence and the interaction of these transcription factors regulate the expression of downstream genes (Jaynes and O'Farrell, 1988).

Spatial-temporal control of developmental genes in entire organisms is regulated by functional multiprotein complex formed from finite sets of transcription factors. Transcription factors have a propensity to cluster together at regulatory regions of downstream genes (Biggin, 2011, Kadonaga, 2004). The clustering of transcription factors on DNA motif is mediated through the interface of protein-protein interaction of individual transcription factor (Ng et al., 2012). In spite of the regulation by finite sets of transcription factors, DNA consensus sequence also